

Evaluation of Reanalysis Soil Moisture Simulations Using Updated Chinese Soil Moisture Observations

Haibin Li and Alan Robock

Department of Environmental Sciences, Rutgers University, New Brunswick, NJ, USA

Suxia Liu and Xingguo Mo

Institute of Geographical Sciences and Natural Resources Research, Chinese Academy of Sciences, Beijing, China

Pedro Viterbo

European Centre for Medium-Range Weather Forecasts, Reading, United Kingdom

Submitted to *Journal of Hydrometeorology*

June, 2004

Corresponding author address:

Prof. Alan Robock
Department of Environmental Sciences
Rutgers University
14 College Farm Road
New Brunswick, NJ 08901
Phone: (732) 932-9478
Fax: (732) 932-8644
E-mail: robock@envsci.rutgers.edu

Abstract

Using 19 years of Chinese soil moisture data from 1981-1999, we evaluate soil moisture in three reanalysis outputs: ERA40, NCEP/NCAR reanalysis (R-1), and NCEP/DOE reanalysis 2 (R-2) over China. R-2 shows better interannual variability and seasonal patterns of soil moisture than R-1 as the result of incorporation of observed precipitation. ERA40 produces a better mean value of soil moisture for most Chinese stations and good interannual variability. Limited observations in the spring indicate a spring soil moisture peak for most of the stations. ERA40 generally reproduced this event, while R-1 or R-2 generally did not capture this feature, either because the soil was already saturated or the deep soil layer is too thick and damps such a response. ERA40 and R-1 have temporal time scale comparable to observations, but R-2 has a memory of nearly 8 months, 3 times the temporal scale of observations. The unrealistic long temporal scale of R-2 can be attributed to the deep layer of the land surface model, which is too thick and dominates the soil moisture variability. R-1 has the same land surface scheme as R-2, but shows a temporal scale close to observations. This, however, actually is a response to the effects of soil moisture nudging. This new long time series of observed soil moisture will prove valuable for other studies of climate change, remote sensing, and model evaluation.

1. Introduction

Our climate system is chaotic, such that a minute difference in initial conditions may produce divergent weather patterns after a finite amount of time (Lorenz 1963, 1993), and this property of the climate system makes a precise weather forecast beyond a few weeks nearly impossible. However, as pointed out by Lorenz, climate predictability is possible if based on forcing by slowly changing boundary conditions (climate predictability of the second kind). Over the ocean, the tropical atmosphere large-scale circulation and rainfall are mainly determined by the boundary conditions of sea surface temperatures and it is possible to predict large-scale circulation and rainfall over tropics provided ocean temperature can be predicted over this region (Shukla 1998). Over the continents, soil moisture is the most important component of meteorological memory, along with snow cover (Delworth and Manabe 1988, 1993). Especially in the extratropics, with its large seasonal changes, the soil plays a role analogous to that of the ocean (Shukla and Mintz, 1982). This idea has been validated by various studies. For example, Durre et al. (2000) found a memory of past precipitation in the interior of continents at least during summers. Eltahir (1998) proposed that this memory is provided by the land surface through a positive feedback between soil moisture and rainfall. Thus as long as soil moisture is correctly simulated it is possible to result in better meteorological predictions, especially of precipitation.

Since soil moisture observations are limited both in time and space, model produced soil moisture often serves as an alternative in research work (Robock et al. 2000). Reanalyses are the most widely used substitutes, as they have the advantages of global coverage and long time series. However these reanalyses have to be carefully evaluated with *in situ* observations. In this paper, we take advantage of newly updated soil moisture observations from China to evaluate the three most wide-known soil moisture reanalysis from the European Centre for Medium Range

Weather Forecasting (ECMWF) and the National Centers for Environmental Prediction. In section 2, we describe our updated Chinese soil moisture, which is followed by a short review of soil moisture reanalysis products in section 3. In section 4, the soil moisture reanalysis is evaluated in terms of the seasonal cycle, interannual variability and temporal scale. Section 5 presents discussion and conclusions.

2. Updated Chinese Soil Moisture for 1981-1999

The Global Soil Moisture Data Bank archived a Chinese soil moisture data set for 43 stations for 1981-1991 (Robock et al., 2000). This data set has been extensively used to investigate the scales of soil moisture variations (e.g., Entin et al., 2000, Liu et al., 2001) and for land surface model evaluation, and has proved to be very helpful for model improvements (e.g., Entin et al., 1999). Recently we updated the Chinese soil moisture observations through 1999. Figure 1 shows the distributions of the stations, which are listed in Table 1. Soil moisture in China was measured 3 times each month on the 8th, 18th and 28th at 11 vertical layers – 5-cm layers from 0 cm down to 10 cm and 10-cm layers from 10 cm down to 1 m. The soil moisture is originally recorded as mass percentage by the gravimetric technique, which has two major advantages: no auxiliary calibration is necessary and relatively small errors. The soil moisture then is converted to volumetric soil moisture by using equation (1):

$$\theta_v = \theta_m \frac{\rho_b}{\rho_w} \quad (1)$$

where ρ_b is the bulk density of soil, ρ_w is the density of water, θ_m is the mass percent of measured soil moisture and θ_v is volumetric soil moisture. For evaluation purposes, volumetric soil moisture usually is converted to total soil water by multiplying by the corresponding layer thickness or plant available soil moisture by subtracting the wilting level from the total. Figure 2

gives a sample plot of total soil moisture for the top 10 cm, 50 cm and 1 m layers for station 9 (a northern station) and station 15 (a western station).

We did quality control for the data sets in terms of the homogeneity and measuring frequency (the ratio of available observations to the entire period). The resulting 40 homogeneous stations are unevenly distributed and most of the stations are located in Northern or Central China, mainly in the Yellow River and Song-Liao River basins (Figure 1). There are only three stations in less populated Western China and two stations in Southern China.

We also calculated the measuring frequency for the period from May to October, which generally covers the growing season, and we classified it into three categories: more than 80% of the time, between 60% and 80%, and less than 60%. There are 9 stations with measuring frequencies of more than 80% (Figure 1). Generally fewer measurements are available for Northern China due to the comparatively long frozen seasons when soil moisture is hard to measure. As for the top 10 cm, 28 out of 40 stations have a measuring frequency over 85%, which highlights the potential for remote sensing evaluations.

3. Soil moisture and nudging in reanalysis

Bengtsson and Shukla (1988) and Trenberth and Olson (1988) were pioneers who proposed the idea of reanalysis. Since then, several reanalysis projects have been initialized and three of the most well known global reanalyses come from the National Centers for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) (Reanalysis 1 (R-1), Kalnay et al. 1996, Kistler et al. 2001), the NCEP/Department of Energy (Reanalysis 2 (R-2), Kanamitsu et al., 2002) and the ECMWF 40-year reanalysis (ERA40, Simmons and Gibson, 2000). Recognizing the importance of soil moisture, these reanalyses archived model-calculated soil moisture at grid points. ERA40 produced soil moisture starting in 1957, and provided the values at a horizontal resolution of T159 (about 125 km) with global

coverage. R-1 has soil moisture back to 1950 at a horizontal resolution of T62 (about 210 km) and recently R-2, an updated version of R-1, became available with the major advantages of fixed human errors and improved soil wetness by using observed precipitation forcing, but it only started in 1979.

The soil moisture calculated by reanalyses depends on the land surface scheme used, the forcing (particularly precipitation and solar insolation), and the nudging employed. For example, ERA40 uses a simplified soil vegetation transfer scheme. The model (Viterbo and Beljaars 1995) has 4 prognostic layers for temperature and soil moisture with layer thicknesses of 7 cm, 21 cm, 72 cm and 189 cm going down from the top. The model includes free drainage and a zero heat flux condition at the bottom as boundary conditions. R-1 and R-2 use the Noah model (Chen et al. 1996, 1997), which has two layers with thicknesses of 10 cm and 190 cm. This simple layering scheme can be traced back to the model of Mahrt and Pan (1984), upon which it is based. It was designed to model the essential characteristics of the land interactions with the atmosphere primarily for partitioning of net radiation into latent and sensible heat.

Because model-generated precipitation and insolation are not perfect in reanalyses, soil moisture tends to drift to a too dry or too wet state. To prevent this, the soil moisture is nudged based on different criteria. In R-1, soil moisture is nudged to the Mintz and Serafini (1992) climatology with a 60-day time scale, so interannual variations are suppressed (Kistler et al. 2001). For ERA40, soil moisture drift is prevented by nudging to observed 2-m relative humidity and temperature (Douville et al. 2000, Mahfouf et al. 2000). The R-2 reanalysis is forced with observed pentad (5-day) precipitation, so there is no soil moisture nudging necessary (Kanamitsu et al. 2002).

4. Comparison of soil moisture observations with reanalyses

Srinivasan et al. (2000) used soil moisture observations for Illinois (Hollinger and Isard 1994) and central China (Robock et al. 2000) for 1981-1988 to evaluate R-1 and an earlier version of the ECMWF reanalysis (ERA-15, Gibson et al., 1997). They found that the reanalyses were able to capture some of the observed seasonal cycles, and the interannual variations in Illinois, but that the variations were damped out by the soil moisture nudging. Kanamitsu et al. (2002) compared R-2 to Illinois soil moisture, and found a better agreement than for R-1, in terms of mean, amplitude of seasonal cycle, and interannual variations. They cautioned about using R-2 for the first three years of the reanalysis due to spinup problems. We address this issue later, showing that this anomalously long spinup period for R-2 is due to the too large moisture reservoir in the land surface model. This was also found by Robock et al. (1998) in some of the Atmospheric Modeling Intercomparison Project climate models based on the simplified simple biosphere model (Xue et al. 1991). Xue et al. (1996) and Robock et al. (1997) have shown that this long time scale is due to the slow exchange of soil moisture between the deep third layer and the upper 2 layers in these models.

To take full advantage of the long time records we have, we compared the reanalyses to 10 stations with relatively high measuring frequency (Figure 1), one station from Western China, four from Northern China and the other five from Central China. We used the values from the 28th of each month from each station and the model grid point nearest the station. We used the original reduced Gaussian grid output from ERA40 to correct for ocean influences in the lower-resolution gridded data publicly available.

As first explained by Vinnikov et al. (1996), the scale of soil moisture variations includes a very small scale related to local soil, root, and topographic features and a much larger scale driven by the atmosphere. The atmospheric-driven spatial scale of soil moisture, which

represents most of the variance, is about 500 km (Entin et al., 2000; Liu et al. 2001). As the resolution of ERA40 is about 100 x 125 km² over China, and that of R-1 and R-2 is about 160 x 210 km², the mismatch of scale between point observations and reanalysis grid will not present a problem.

Time series and correlations

Figure 3 shows comparisons of observed soil moisture for three stations with the three reanalyses. Generally, R-1 has very large amplitude of seasonal variation but very small interannual variability, the only exception being for Station 15, which has nearly constant soil moisture and small amplitudes of variation for both R-1 and ERA40. R-2 obviously shows a more realistic interannual variability, consistent with the results of Kanamitsu et al. (2002). At the same time the amplitude of variations in R-2 is also comparable to observations, but R-2 underestimates the soil moisture most of the time for 8 out of our 10 stations and such systematic biases do not exist in ERA40 or R-1.

We have also calculated the correlation coefficients between models and observations (Figure 4). Generally, R-2 had a higher correlation than R-1 (8 out of 10 stations). The correlation of ERA40 is smaller, but still comparable to that of R-2. For station 23, both R-1 and R-2 had negative correlations. Observations are missing for the winter season, and both of the NCEP reanalyses have a large seasonal cycle, which induces trends in the summer (Figure 3). Removal of the seasonal cycle improved the correlations for these stations and for several other stations, which hints at problems in the mean seasonal cycle simulations by the models, discussed next.

Seasonal Cycle

Figure 5 gives the seasonal cycles of soil moisture for our three representative stations. Station 15 has nearly constant soil moisture estimates for both R-1 and ERA40, which do not

reproduce the observed seasonal cycle. For this arid climate, these models probably have too little precipitation. For all other stations, R-2 has a good climatology and patterns of seasonal variation similar to observations, but underestimates the mean soil moisture amount. This is in contrast with R-1, which has quite a strong seasonal variation, because it is nudged to the Mintz and Serafini (1992) climatology, as also pointed out by Kanamitsu et al. (2002). In terms of monthly average values, ERA40 is closest to observations. For the non-western stations, all models produce the soil moisture peak correctly around late summer due to the arrival of the summer monsoon precipitation.

Spring Snow Melt

Melting snow is an important source of moisture for northern and western agricultural regions since it can recharge the soil and produce runoff. Whether the melting snow will recharge the soil or run off as streamflow depends on the soil conditions. In the case of saturated soil, there is no extra space for water to infiltrate (Robock et al. 1998, 2003), and thus it is very likely the melting snow produces spring runoff. Generally, ERA40 has a small soil moisture peak in early spring due to snow melting recharging, while such a soil moisture peak is basically missing in R-1, especially for northern China where the soil is pretty wet in winter. Although the soil in R-2 is not as wet as in R-1, the spring soil moisture peak is still missing or too weak. Our speculation is that this may be attributed to the physical configuration of R-1 and R-2, since the deep layer in R-1 and R-2 is too thick (190 cm) and the water holding capacity is unrealistically too large. Although missing observations in the cold season inhibit a deeper investigation for spring snow melting events, limited observations from stations in central China in cold seasons confirm the existence of a soil moisture peak in early spring. This may be similar to the observations in Russia (Robock et al. 1998) where the water table intrudes into the top 1 m, a phenomenon which is not included in the R-1 and R-2 reanalysis land surface schemes.

Monthly and Interannual Variability

Figure 6 shows the monthly and interannual variations of soil moisture for selected stations and the reanalyses, and Figure 7 shows the soil moisture anomalies. R-1 shows a rather small interannual variability, especially during winter. Since snow melting is mainly responsible for soil moisture changes in cold season, and a unit error for snow melt in R-1 wets the ground excessively (Kanamitsu, personal communication), this is especially evident for Northern stations. R-2 shows a larger interannual and seasonal variability than R-1 although the soil generally is too dry. Drier soil in R-2 may partly be attributed to warmer soil and 2-m air temperature as well as better albedo algorithm than R-1 (Kanamitsu et al., 2002). The variability of ERA40 is closest to the observations.

Models generally did a pretty good job of reproducing the anomalous wet and dry years, such as the wet years of 1984 and 1990 at Station 33 and the dry year of 1982 at Station 23 (Figure 7). It is also obvious in Figure 7 that the soil moisture does not change in the winter for R-2. This means that the time scale of soil moisture anomaly in R-2 is comparatively large. Delworth and Manabe (1988) developed a theory that soil moisture variations can be approximated as a first-order Markov process,

$$r(t) = e^{-\frac{t}{T}} \quad (2)$$

where r is the autocorrelation, t is the time lag, and T is the time scale. This theory has been extensively used to investigate the scales of soil moisture variations using observations (Vinnikov et al. 1996, 1999a, 1999b). Using this theory, Entin et al. (2000) calculated the temporal scale of Chinese soil moisture to be 1.6-2.4 months, which increases from south to north.

Here we adapt the same theory and assume that the soil moisture variation is stationary. We removed the seasonal cycle and calculated the temporal scale for all the 10 stations. Two

groups of calculations are carried out. One considers the missing values in observations by taking out the corresponding data in reanalyses, thus making the results comparable. To investigate the possible influence of the cold season on temporal scale (because missing values in observations are generally in winter), we did another set of calculations for the full data sets for the reanalyses. Figure 8 shows the temporal autocorrelation results and Table 2 gives the numeric values. The slopes of the lines in Figure 8 correspond to the temporal scales.

ERA40 shows the highest variability between stations. The soil moisture increments were set to be zero when the air temperature is below freezing or the snow covers the ground in ERA40 (ECMWF 2003), so this should increase the temporal scale (about 1 month in general, see Table 2) for the northern stations.

Station 15 exhibits a much lower autocorrelation than the other stations in R-1 and R-2, which must be related to the parameters for the land surface model at that point. The calculated temporal scale for R-1 shows the largest similarity between stations and between full data and only data that correspond to the observations. This must be the effect of relaxing the values to the Mintz and Serafini climatology. R-2 has a temporal scale longer than 6 months for all nonwestern stations. The mean temporal scale of R-1 and ERA40 is comparable to observations, which is consistent with the results of Entin et al. (2000), while R-2 has an unrealistically long mean time scale of about 8 months. Thus these calculations support that the deep layer in R-2 is too thick and dominates the overall variability of soil moisture (Roads et al. 1999). This could further impact the evaporation and precipitation.

Since R-1 uses the same land surface scheme as R-2, this brings up the question of why its temporal scale is so much smaller. This is because R-1 nudges soil moisture to the Mintz and Serafini (1992) climatology with a 60-day time scale while there is no nudging in R-2. Thus the advantage of R-2, which uses observed precipitation and thus requires no nudging, is

compromised by its use of a model with a very large soil moisture reservoir, which produces an unrealistically large time scale.

5. Conclusions

An updated Chinese soil moisture data set has proven valuable to evaluate reanalysis simulations of soil moisture. This new data set is available without restriction at the Global Soil Moisture Data Bank (http://climate.envsci.rutgers.edu/soil_moisture).

Using 19 years soil moisture observations from a monsoon-dominated region; we evaluated three prominent soil moisture reanalysis data sets: ERA40, R-1 and its updated counterpart R-2. Kanamitsu et al. (2002) in their studies found improved soil moisture fields from R-2 when validating with Illinois soil moisture observations (Hollinger and Isard 1994). Our analysis supports their conclusions with soil moisture observations from a different climate, where R-2 also exhibits the highest correlation with observations among the three soil moisture reanalyses. However ERA40 is also generally highly correlated with observations after removing seasonal cycle, and produces less bias and a time scale closer to observations. Although Kanamitsu et al. (2002) argued that direct comparison between observed soil moisture and model simulations could be misleading, negative R-2 biases exist when comparing the Illinois soil moisture observations with R-2 even after removing the unavailable soil moisture (see Figure 1 of Kanamitsu et al., 2003). Whether these results are universal would require further investigation for different regions.

The temporal scale of soil moisture anomalies in ERA40 (disregarding stations 9 and 13) and R-1 are comparable to that of observations, but the scale of R-2 is extraordinarily long – about 3 times that of observations. This prolonged memory may further propagate into evaporation and precipitation. Our suspicion is that R-2 has a too thick a deep layer which has a dominant influence on the soil moisture variability of the whole soil column. Clearly it is

responsible for the long spinup problems found by Kanamitsu et al. (2002). An improved land surface scheme is capable of resulting in a much better precipitation prediction (e.g., Betts et al. 1996, Beljaars et al. 1996), which will be beneficial to weather forecasting. We expect that improved land surface models in future reanalyses combined with actual precipitation forcing will produce an excellent soil moisture product. The new regional reanalysis (Mitchell et al. 2004), which uses the Noah model (Ek et al. 2003), which performed well in North American Land Data Assimilation System experiments (Robock et al. 2003) and which assimilates actual precipitation observations, has the potential to produce such excellent soil moisture simulations.

Acknowledgments. NCEP/NCAR Reanalysis data were obtained from the NOAA-CIRES Climate Diagnostics Center, Boulder, Colorado, USA, from their web site at <http://www.cdc.noaa.gov/>. NCEP/DOE Reanalysis-2 data were obtained from their web site at http://www.nomad2.ncep.noaa.gov/ncep_data/. We thank Prof. Ming Xu, Rutgers University, for providing soil texture and some elevation data and Masao Kanamitsu for valuable discussions. This study was supported by NOAA grant NA03-OAR-4310057 and by the National Natural Science Foundation of China project 90211007.

References

- Beljaars, Anton C. M., Pedro Viterbo, Martin J. Miller, and Alan K. Betts, 1996: The anomalous rainfall over the US during July 1993: Sensitivity to land surface parameterization. *Mon. Weather Rev.*, **124**, 362-383.
- Bengtsson, L., and J. Shukla, 1988: Integration of space and in situ observations to study global climate change. *Bull. Amer. Meteor. Soc.*, **69**, 1130-1143.
- Betts, Alan K., John H. Ball, Anton C. M. Beljaars, Martin J. Miller, and Pedro Viterbo, 1996: The land surface-atmosphere interaction: A review based on observations and global modeling perspectives. *J. Geophys. Res.*, **101**, 7209-7225.
- Chen, Fei, Kenneth E. Mitchell, John C. Schaake, Yongkang Xue, Hua-Lu Pan, Victor Koren, Qingyun Duan, Michael Ek, and Alan K. Betts, 1996: Modeling of land-surface evaporation by four schemes and comparison with FIFE observations. *J. Geophys. Res.*, **101**, 7251-7268.
- Chen, Fei, Z. Janjic and Kenneth E. Mitchell, 1997: Impact of atmospheric-surface layer parameterizations in the new land-surface scheme of the NCEP mesoscale Eta numerical model. *Boundary Layer Meteorol.*, **85**, 391-421.
- Delworth, T., and S. Manabe, 1988: The influence of potential evaporation on the variabilities of simulated soil wetness and climate. *J. Climate*, **1**, 523-547.
- Delworth, T., and S. Manabe, 1993: Climate variability and land surface processes. *Adv. Water Resources*, **16**, 3-20.
- Douville, H., P. Viterbo, J.-F. Mahfouf, and A. C. M. Beljaars, 2000: Evaluation of the optimal interpolation and nudging techniques for soil moisture analysis using FIFE data. *Mon. Wea. Rev.*, **128**, 1733-1756.

- Durre, Imke, John M. Wallace, and Dennis P. Lettenmaier, 2000: Dependence of extreme daily maximum temperatures on antecedent soil moisture in the contiguous United States during summer. *J. Climate*, **13**, 2641-2651.
- Ek, M. B., K. E. Mitchell, Y. Lin, E. Rogers, P. Grunmann, V. Koren, G. Gayno, and J. D. Tarpley, 2003: Implementation of Noah land surface model advances in the National Centers for Environmental Prediction operational mesoscale Eta model, *J. Geophys. Res.*, **108 (D22)**, 8851, doi:10.1029/2002JD003296.
- Eltahir, Elfatih A. B., 1998: A soil moisture-rainfall feedback mechanism 1. theory and observations. *Water Resour. Res.*, **34**, 765-776
- Entin, Jared, Alan Robock, Konstantin Y. Vinnikov, Shuang Qiu, Vladimir Zabelin, Suxia Liu, A. Namkhai, and Ts. Adyasuren, 1999: Evaluation of Global Soil Wetness Project soil moisture simulations. *J. Meteorol. Soc. Japan*, **77**, 183-198.
- Entin, Jared K., Alan Robock, Konstantin Y. Vinnikov, Steven E. Hollinger, Suxia Liu, and A. Namkai, 2000: Temporal and spatial scales of observed soil moisture variations in the extratropics. *J. Geophys. Res.*, **105**, 11,865-11,877.
- European Centre for Medium Range-Weather Forecasts, 2003: IFS Documentation (CY23r4), <http://www.ecmwf.int/research/ifsdocs/CY23r4>.
- Food and Agriculture Organization (FAO) of the United Nations, 1970-78: *Soil map of the world*, scale 1:5,000,000, volumes I-X: United Nations Educational, Scientific, and Cultural Organization, Paris.
- Hollinger, S. E., and S. A. Isard, 1994: A soil moisture climatology of Illinois. *J. Climate*, **7**, 822-833.
- Kalnay, E., et al., 1996: The NCEP/NCAR 40-year reanalysis project. *Bull. Amer. Meteor. Soc.*, **77**, 437-471.

- Kanamitsu, Masao, Wesley Ebisuzaki, Jack Woollen, Shi-Keng Yang, J. J. Hnilo, M. Florino, and G. L. Potter, 2002: NCEP-DOE AMIP –II reanalysis (R-2). *Bull. Amer. Meteor. Soc.*, **83**, 1631-1643.
- Kanamitsu, Masao, Cheng-Hsuan Lu, Jae Schemm and Wesley Ebisuzaki, 2003: The predictability of soil moisture and near-surface temperature in hindcasts of the NCEP seasonal forecast model. *J. Climate*, **16**, 510-521.
- Kistler, R., et al., 2001: The NCEP-NCAR 50-year reanalysis: Monthly means CD-ROM and documentation, *Bull. Amer. Meteorol. Soc.*, **82**, 247-267.
- Liu, Suxia, Xingguo Mo, Haibin Li, Gongbing Peng, and Alan Robock, 2001: Spatial variation of soil moisture in China: Geostatistical characterization. *J. Meteorol. Soc. Japan*, **79**, 555-574.
- Lorenz, Edward N., 1963: Deterministic nonperiodic flow. *J. Atmos. Sci.*, **20**, 130-141.
- Lorenz, Edward N., 1993: *The Essence of Chaos*. (University of Washington Press, Seattle), 227 pp.
- Mahrt, L. and H.-L. Pan, 1984: A two layer model of soil hydrology. *Bound. Layer Meteorol.*, **29**, 1-20.
- Mahfouf, J.-F., P. Viterbo, H. Douville, A. C. M. Beljaars and S. Saarinen, 2000: A revised land-surface analysis scheme in the integrated forecasting system. *ECMWF Newsletter*, **88**, 8-13.
- Mintz, Y., and Y. V. Serafini, 1992: A global monthly climatology of soil moisture and water balance. *Climate Dyn.*, **8**, 13-27.
- Mitchell, K., et al., 2004: The Eta regional reanalysis, *GEWEX News*, in press.
- Roads, J. O., S. -C. Chen, M. Kanamitsu, and H. Juang, 1999: Surface water characteristics in NCEP Reanalysis and Global Spectral Model. *J. Geophys. Res.*, **104 (D16)**, 19,307-19,327.

- Robock, Alan, Konstantin Ya. Vinnikov, C. Adam Schlosser, Nina A. Speranskaya, and Yongkang Xue, 1995: Use of midlatitude soil moisture and meteorological observations to validate soil moisture simulations with biosphere and bucket models. *J. Climate*, **8**, 15-35.
- Robock, Alan, Konstantin Ya. Vinnikov, and C. Adam Schlosser, 1997: Evaluation of land-surface parameterization schemes using observations. *J. Climate*, **10**, 377-379.
- Robock, Alan, C. Adam Schlosser, Konstantin Ya. Vinnikov, Nina A. Speranskaya, and Jared K. Entin, 1998: Evaluation of AMIP soil moisture simulations. *Global and Planetary Change*, **19**, 181-208.
- Robock, Alan, Konstantin Y. Vinnikov, Govindarajalu Srinivasan, Jared K. Entin, Steven E. Hollinger, Nina A. Speranskaya, Suxia Liu, and A. Namkhai, 2000: The Global Soil Moisture Data Bank. *Bull. Amer. Meteorol. Soc.*, **81**, 1281-1299.
- Robock, Alan, Lifeng Luo, Eric F. Wood, Fenghua Wen, Kenneth E. Mitchell, Paul R. Houser, John C. Schaake, Dag Lohmann, Brian Cosgrove, Justin Sheffield, Qingyun Duan, R. Wayne Higgins, Rachel T. Pinker, J. Dan Tarpley, Jeffrey B. Basara, and Kenneth C. Crawford, 2003: Evaluation of the North American Land Data Assimilation System over the Southern Great Plains during the warm season. *J. Geophys. Res.*, **108 (D22)**, 8846, doi:10.1029/2002JD003245.
- Robock, Alan, Mingquan Mu, Konstantin Y. Vinnikov, and David Robinson, 2003: Land surface conditions over Eurasia and Indian summer monsoon rainfall. *J. Geophys. Res.*, **108 (D4)**, 4131, doi:10.1029/2002JD002286.
- Shukla, J., 1998: Predictability in the midst of chaos: A scientific basis for climate forecasting. *Science*, **282**, 728-731.
- Shukla, J., and Y. Mintz, 1982: Influence of Land-surface evapotranspiration on the Earth's climate. *Science*, **215**, 1498-1501.

- Simmons, A. J., and J. K. Gibson, 2000: The ERA-40 Project Plan, ERA-40 Project Report Series No. 1, ECMWF, Shinfield Park, Reading, UK, 63pp.
- Srinivasan, G., Alan Robock, Jared K. Entin, Konstantin Y. Vinnikov, Lifeng Luo, Pedro Viterbo, and Participating AMIP Modeling Groups, 2000: Soil moisture simulations in revised AMIP models. *J. Geophys. Res.*, **105**, 26,635-26,644.
- Trenberth, K. E., and J. G. Olson, 1988: An evaluation and intercomparison of global analyses from NMC and ECMWF. *Bull. Amer. Meteor. Soc.*, **69**, 1047-1057.
- United States Geological Survey (USGS), 2004: *GTOPO30 Documentation*, <http://edcdaac.usgs.gov/gtopo30/README.asp>.
- Vinnikov, Konstantin Ya., Alan Robock, Nina A. Speranskaya, and C. Adam Schlosser, 1996: Scales of temporal and spatial variability of midlatitude soil moisture. *J. Geophys. Res.*, **101**, 7163-7174.
- Vinnikov, Konstantin Y., Alan Robock, Shuang Qiu, Jared K. Entin, Manfred Owe, Bhaskar J. Choudhury, Steven E. Hollinger and Eni G. Njoku, 1999a: Satellite remote sensing of soil moisture in Illinois, United States. *J. Geophys. Res.*, **104**, 4145-4168.
- Vinnikov, Konstantin Y., Alan Robock, Shuang Qiu, and Jared K. Entin, 1999b: Optimal design of surface networks for observation of soil moisture. *J. Geophys. Res.*, **104**, 19,743-19,749.
- Viterbo, P., and A. C. M. Beljaars, 1995: An improved land-surface parameterization in the ECMWF model and its validation. *J. Climate*, **8**, 2716-2748.
- Xue, Y., P. J. Sellers, J. L. Kinter, and J. Shukla, 1991: A simplified biosphere model for global climate studies. *J. Climate*, **4**, 345-364.
- Xue, Y., F. J. Zeng, and C. A. Schlosser, 1996: SSiB and its sensitivity to soil properties-a case study using HAPEX-Mobilhy data. *Glob. Plan. Change*, **13**, 183-194.

Table 1. List of soil moisture stations. “-” means that different types of vegetation were planted together and “/” means the vegetation changes from year to year. The elevation data with “*” were extracted from a 1 km x 1 km digital elevation map of China (USGS, 2004). Soil types are based on a 1°×1° soil type map of China (FAO, 1970-78), thus providing only the dominant soil type for that particular grid box, which may not be representative of the actual station soil type.

Station ID	Name	Elevation (m)	Soil type	Vegetation	Record Period
1	Huma	177	Silt clay	wheat/bean	1981-1999
2	Jiayin	90	Loam	wheat/bean	1981-1999
3	Fuyu	167	Loam	Not Available	1981-1999
4	Hailun	239	Loam	Not Available	1981-1999
5	Qinggang	205	Loam	wheat	1981-1999
6	Bayan	135	Loam	maize/bean	1981-1999
7	Jiamusi	81	Loam	cabbage-maize-bean	1981-1999
8	Baoqing	83	Loam	bean/wheat	1981-1999
9	Fuyu2	*134	Loam	maize	1981-1999
10	Haerbin	*154	Silt clay	bean/maize	1981-1999
11	Boli	217	Clay loam	cabbage/beet	1981-1999
12	Hulin	100	Silt clay	wheat-bean	1981-1999
13	Wulanwusu	468	Sand	wheat	1981-1999
14	Tulufan	-49	Clay loam	cotton	1981-1999
15	Shache	1231	Silt clay	wheat	1981-1999
16	Xilinguole	*1231	Clay loam	grass	1981-1999
17	Yongning	1117	Silt clay	wheat	1981-1999
18	Guyuan	1753	Silt clay	Not Available	1981-1999
19	Huanxian	*1302	Silt clay	wheat	1981-1999
20	Tongwei	1768	Silt clay	Not Available	1981-1999
21	Xifengzhen	1421	Silt clay	wheat	1981-1999
22	Xinxiang	79	Clay loam	wheat-maize	1981-1999
23	Changling	189	Loam	Not Available	1981-1999
24	Dunhua	524	Silt clay	maize/maize-bean	1981-1999
25	Hainong	*336	Silt clay	maize/millet	1981-1999
26	Chaoyang	169	Loam	Not Available	1981-1999
27	Jianping	*454	Loam	rice-maize-bean-potato	1981-1999
28	Xinmin	31	Loam	vegetables/bean/maize	1981-1999
29	Jinzhou	*22	Silt clay	Not Available	1981-1999
30	Jinxian	27	Silt clay	maize/potato/bean/vegetables	1981-1999
31	Tianshui	1083	Silt clay	wheat	1981-1999
32	Lushi	569	Silt clay	wheat-maize	1981-1999
33	Nanyang	129	Sand	wheat-maize	1981-1999
34	Zhumadian	83	Loam	maize-wheat	1981-1999
35	Nanchong	309	Sand	Not Available	1981-1999
36	Xuzhou	*46	Clay loam	wheat-potato-bean	1981-1999
37	Suxian	*30	Loam	wheat-bean/sesame	1981-1999
38	Zhenjiang	*15	Clay loam	vegetables-bean-wheat	1981-1999
39	Jinjiang	54	Loam	peanut-sweet potato	1981-1992
40	Baise	174	Clay loam	maize	1981-1999

Table 2. Temporal scale of soil moisture variations (months) for observations and each reanalysis for each station and the mean values. Calculations for reanalyses were done only for the times when data existed for the observations, and for the complete time series (rows with * and in italics). Also shown in parentheses is 1 standard deviation from the mean.

Station	<u>West</u>	<u>North</u>				<u>Center</u>					Mean
	15	9	23	24	29	20	21	31	33	36	
OBS	2.9	3.6	0.7	0.8	5.2	3.5	2.8	2.3	3.6	3.0	2.8 (± 1.4)
ERA40	6.1	11.5	4.2	2.7	2.7	1.2	1.2	2.8	5.5	8.0	4.6 (± 3.3)
<i>ERA40*</i>	<i>6.3</i>	<i>10.5</i>	<i>6.9</i>	<i>12.9</i>	<i>4.0</i>	<i>2.1</i>	<i>2.0</i>	<i>2.8</i>	<i>3.7</i>	<i>3.4</i>	<i>5.4 (± 3.7)</i>
R-1	2.4	2.2	1.9	1.8	1.8	2.8	1.9	2.2	2.7	1.9	2.2 (± 0.4)
<i>R-1*</i>	<i>3.5</i>	<i>1.8</i>	<i>1.8</i>	<i>1.6</i>	<i>1.9</i>	<i>2.0</i>	<i>2.1</i>	<i>2.1</i>	<i>2.6</i>	<i>2.0</i>	<i>2.1 (± 0.5)</i>
R-2	2.0	3.7	3.2	4.2	5.9	2.7	4.5	5.8	8.2	6.3	4.7 (± 1.9)
<i>R-2*</i>	<i>2.7</i>	<i>8.7</i>	<i>7.4</i>	<i>6.6</i>	<i>12.2</i>	<i>7.4</i>	<i>8.2</i>	<i>8.2</i>	<i>8.3</i>	<i>7.5</i>	<i>7.7 (± 2.3)</i>

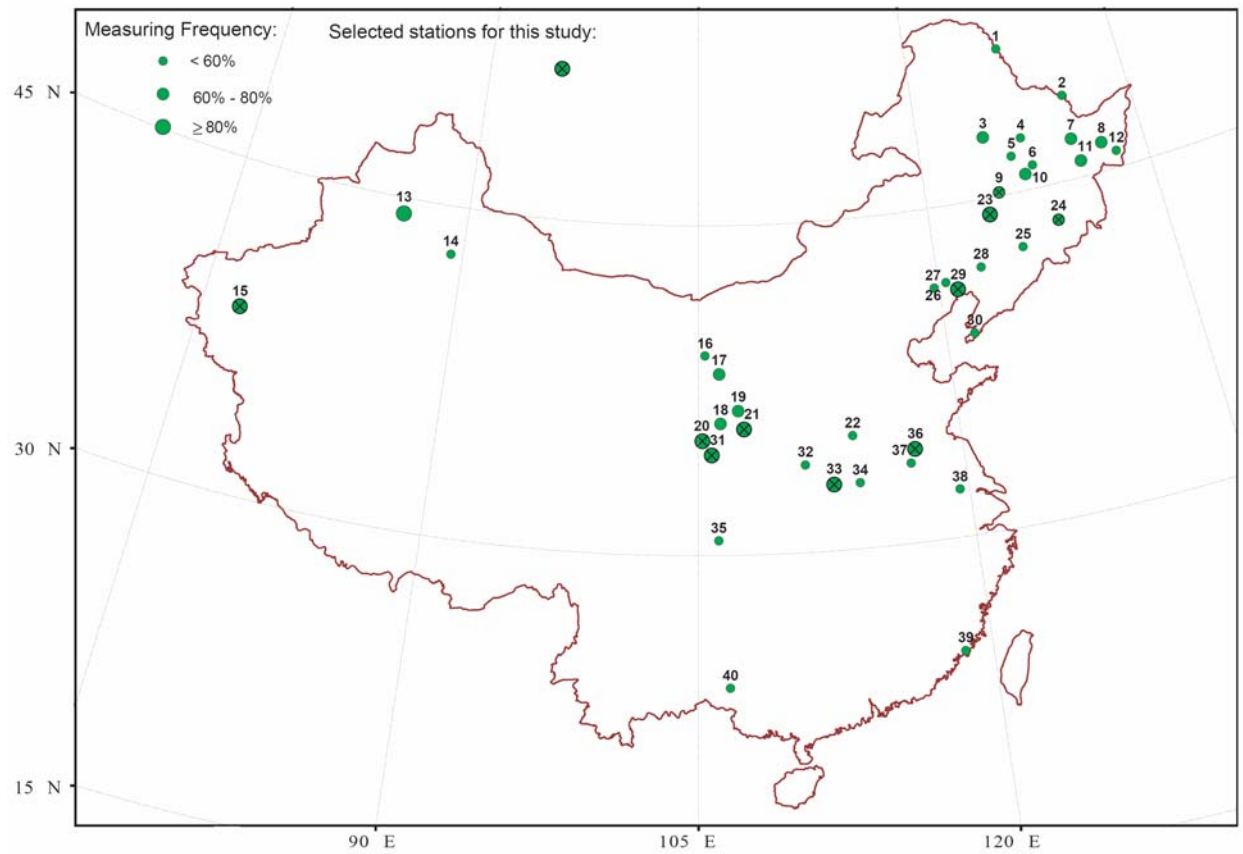


Figure 1. Soil moisture station map. The number is the station ID (see Table 1). The size of the circles indicates the data quality (frequency of available observations during the period April-October) and circles with an “X” are the stations chosen for comparison with the models.

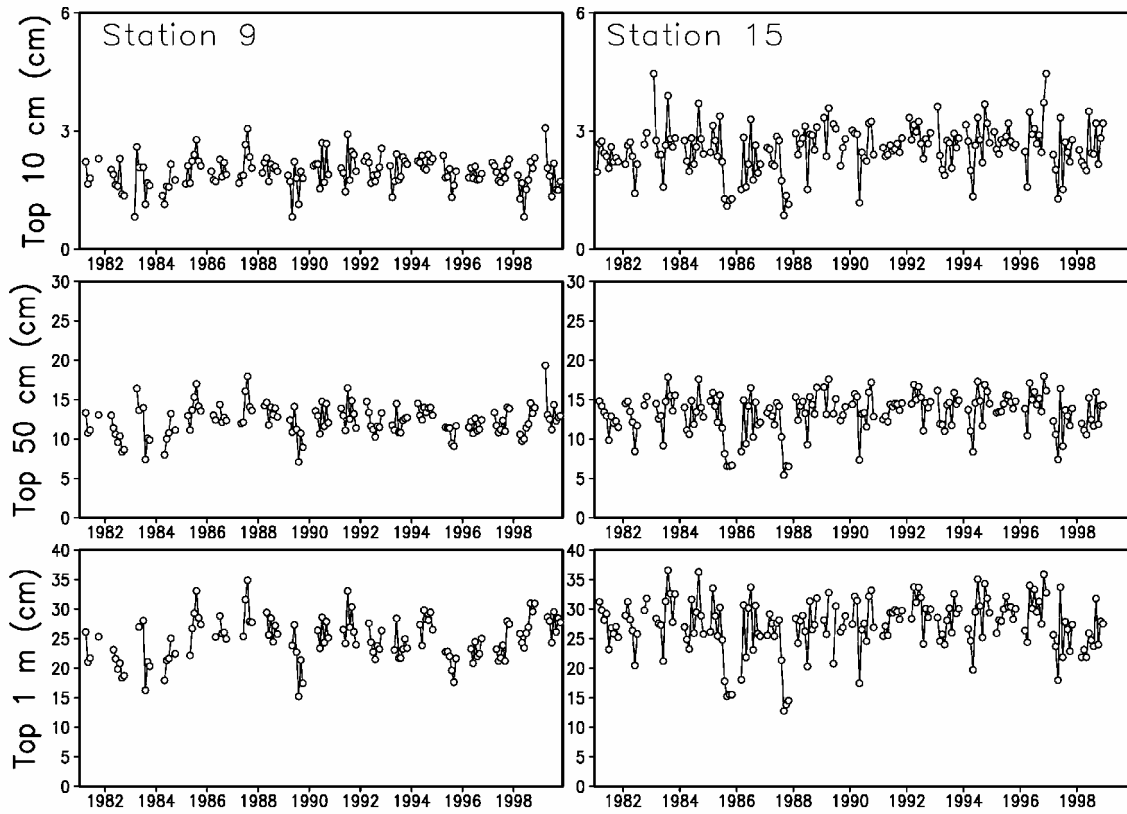


Figure 2. Total soil moisture (cm) at three levels: top 10 cm, top 50 cm and top 1 m for two representative stations, Station 9 from Northern China in the left column and Station 15 from Western China in the right column.

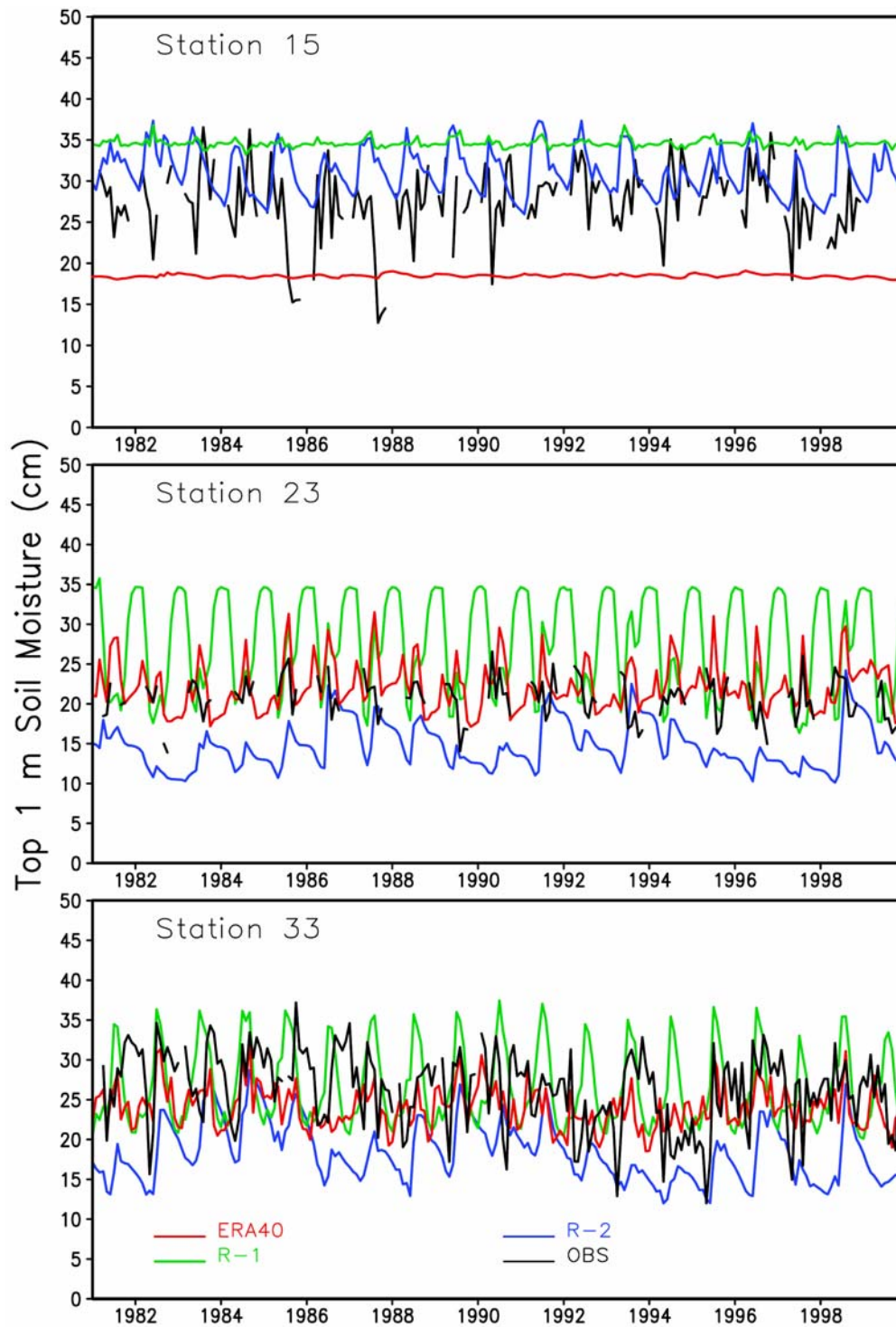


Figure 3. Total top 1 m soil moisture for Station 15 (Western China), Station 23 (Northern China) and Station 33 (Central China) from observations and reanalyses. R-1 has very little interannual variability. For Station 15, the amplitude of the interannual variability is too small for R-1 and ERA40. R-2 underestimates soil moisture most of the time, except for Station 15.

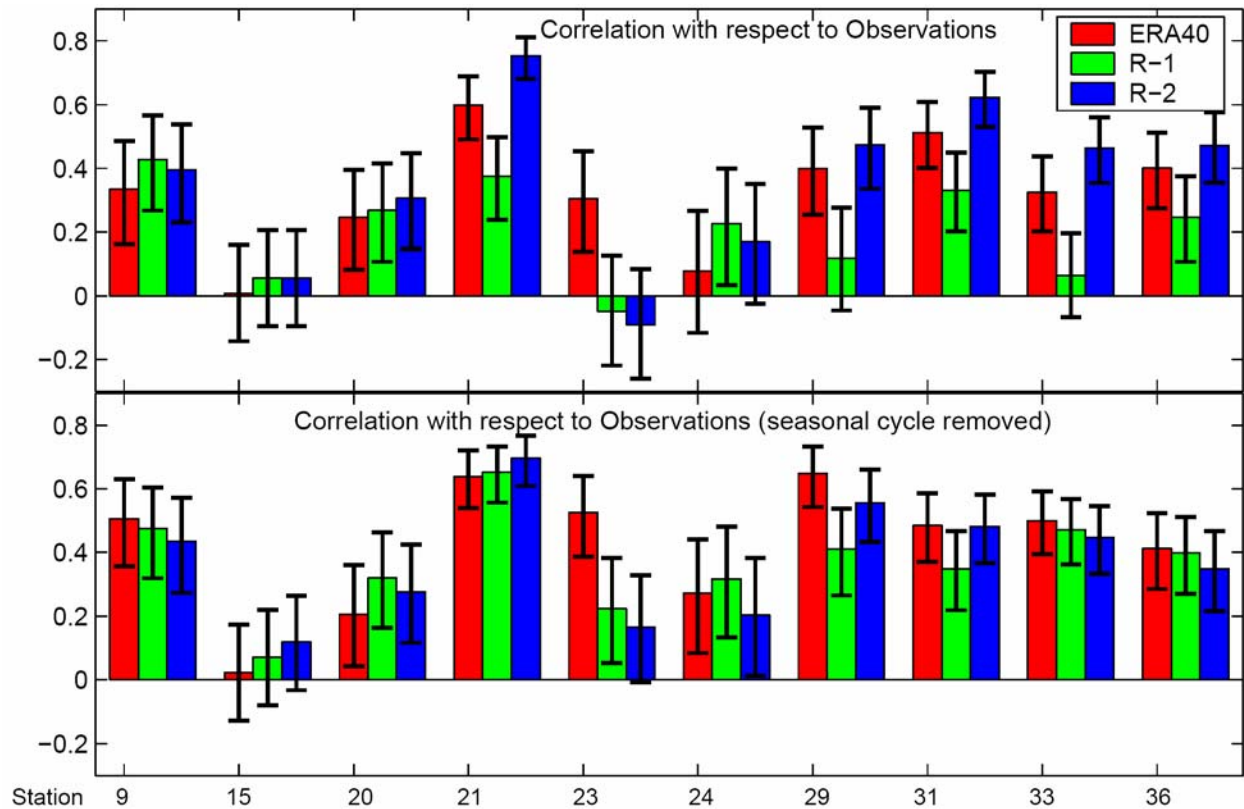


Figure 4. Correlation of monthly (day 28 of each month) soil moisture observations for 10 stations indicated in Figure 1 with reanalyses. Top panel includes the seasonal cycle and bottom panel has the mean seasonal cycle removed. The black error bars indicate the 95% significance level for the correlation coefficients. Remarkably, in general the correlations are higher with the seasonal cycle removed.

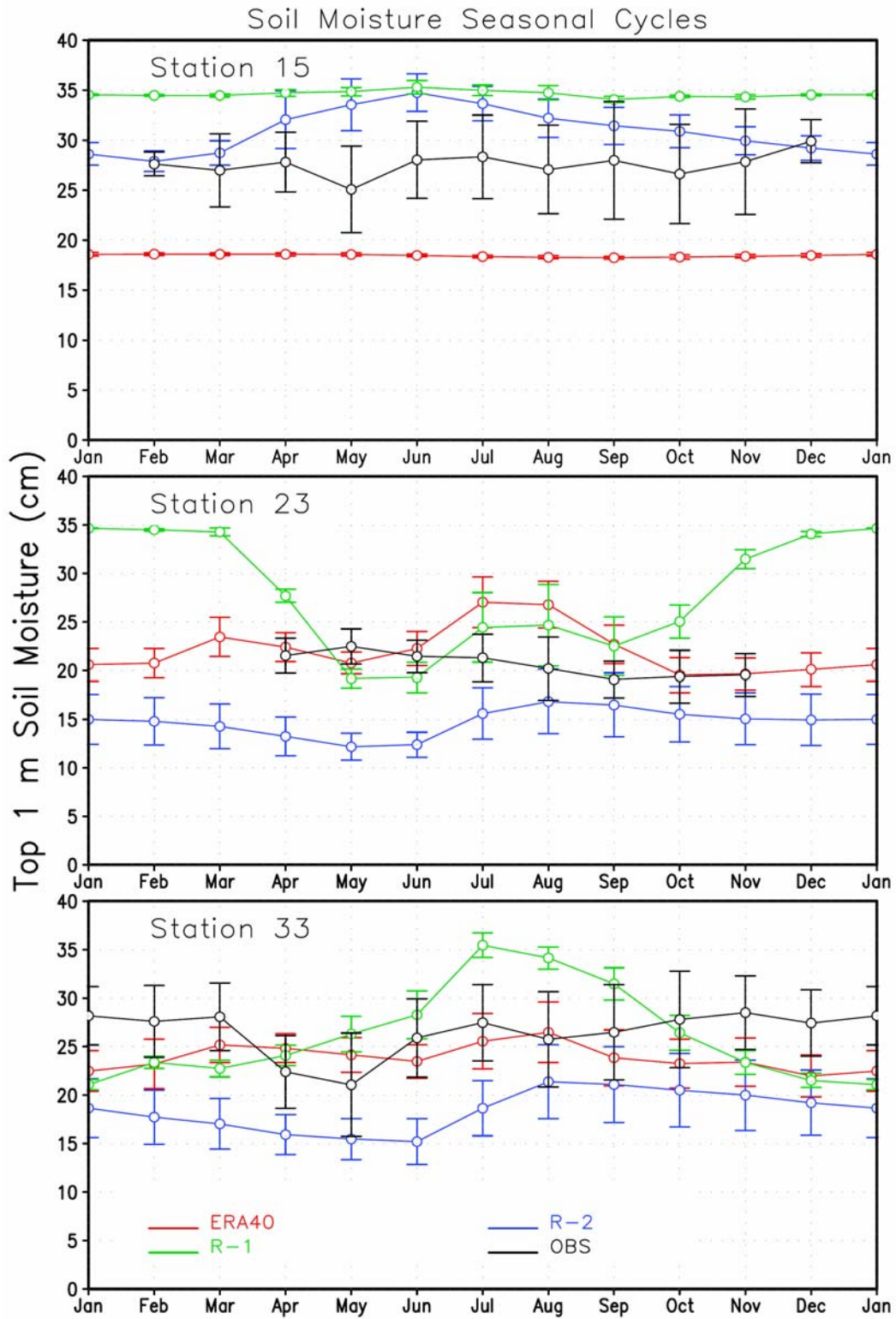


Figure 5. Seasonal cycle of top 1 m total soil moisture for three stations (see Figure 1) and reanalyses for those locations. The error bars are ± 1 standard deviations from the means.

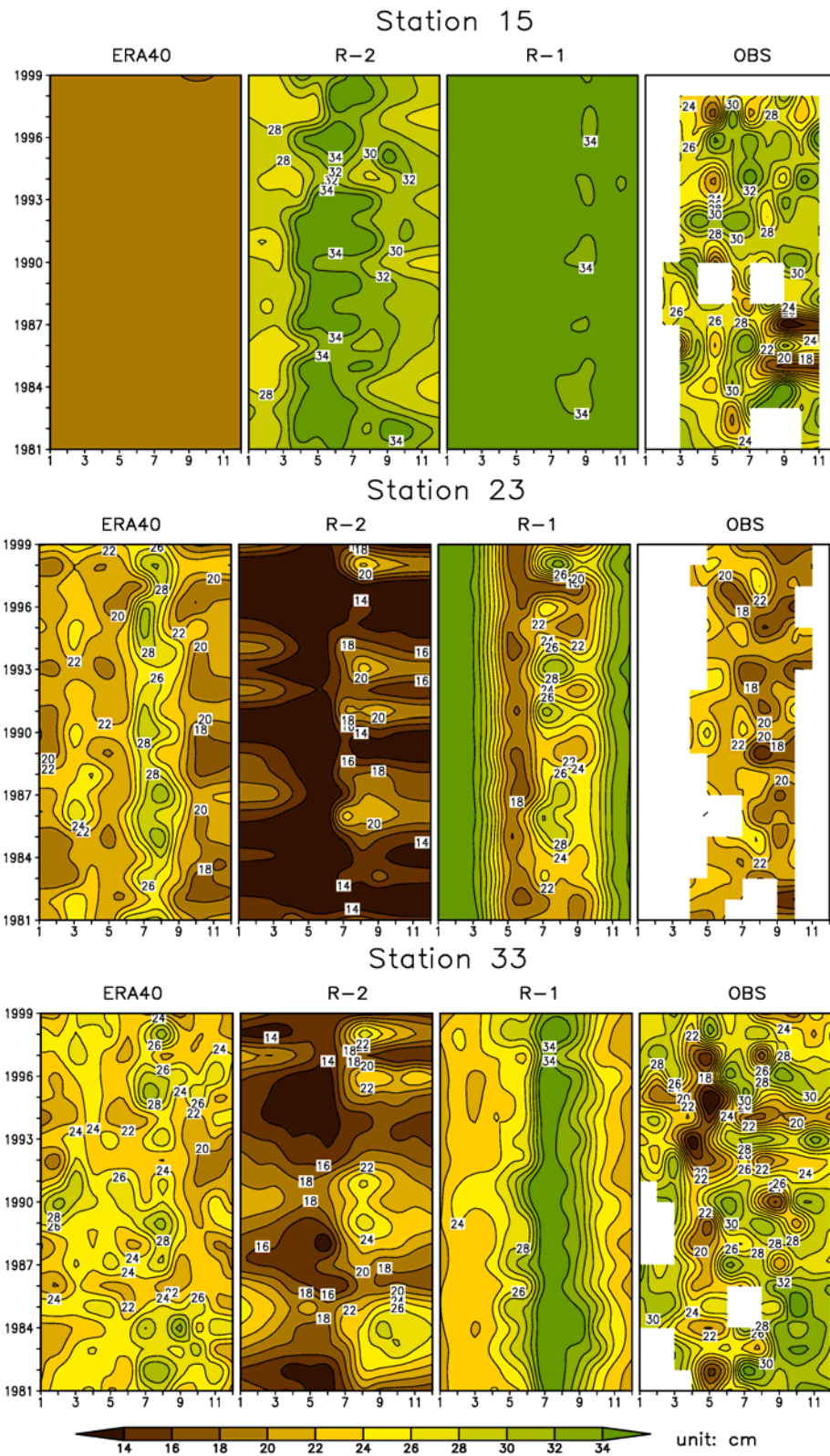


Figure 6. Month-year plots of top 1 m total soil moisture evolution for three stations and reanalyses.

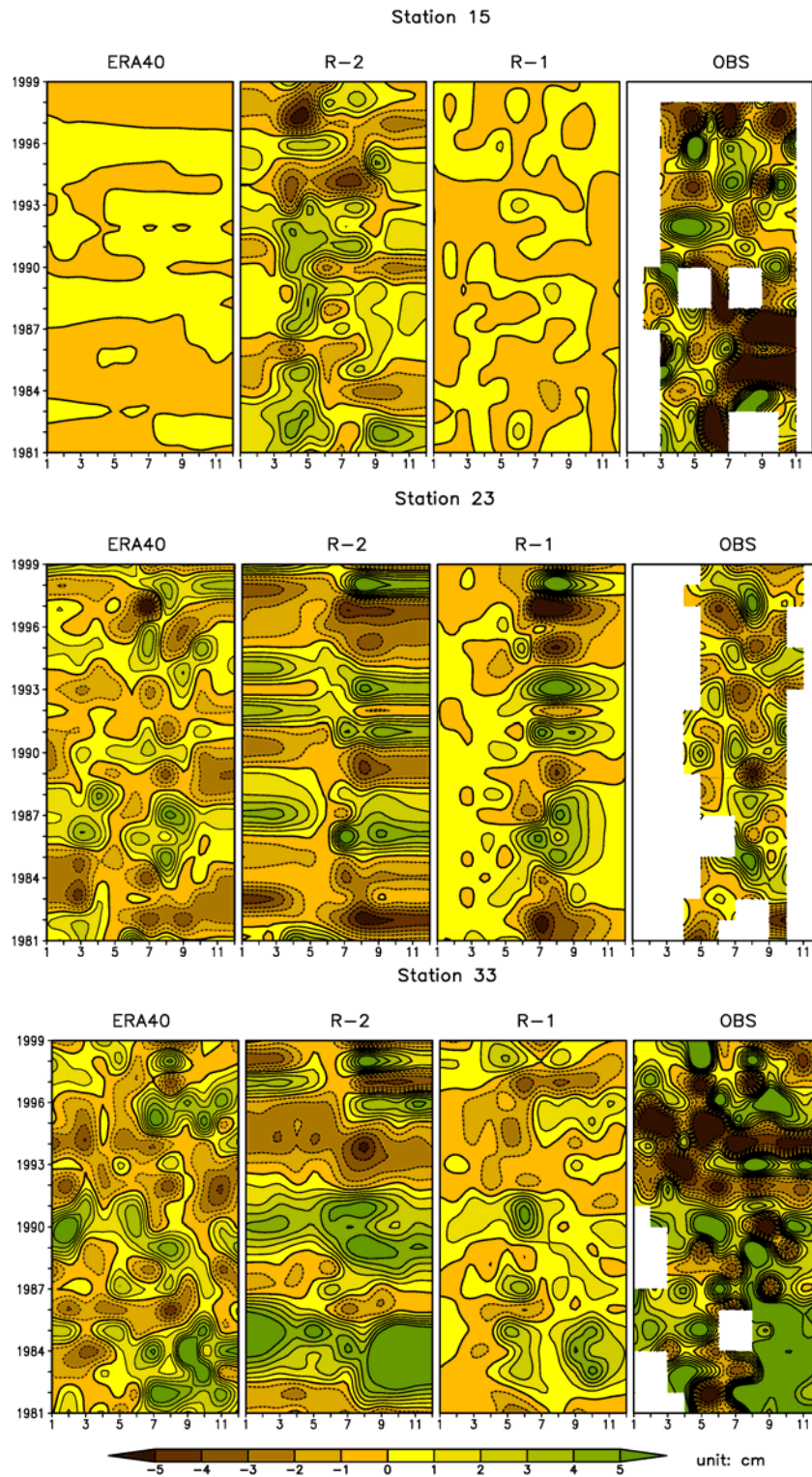


Figure 7. Same as Figure 6, but for anomalies with respect to the mean for 1990-1998. Thick line is 0, and contour interval is 1 cm.

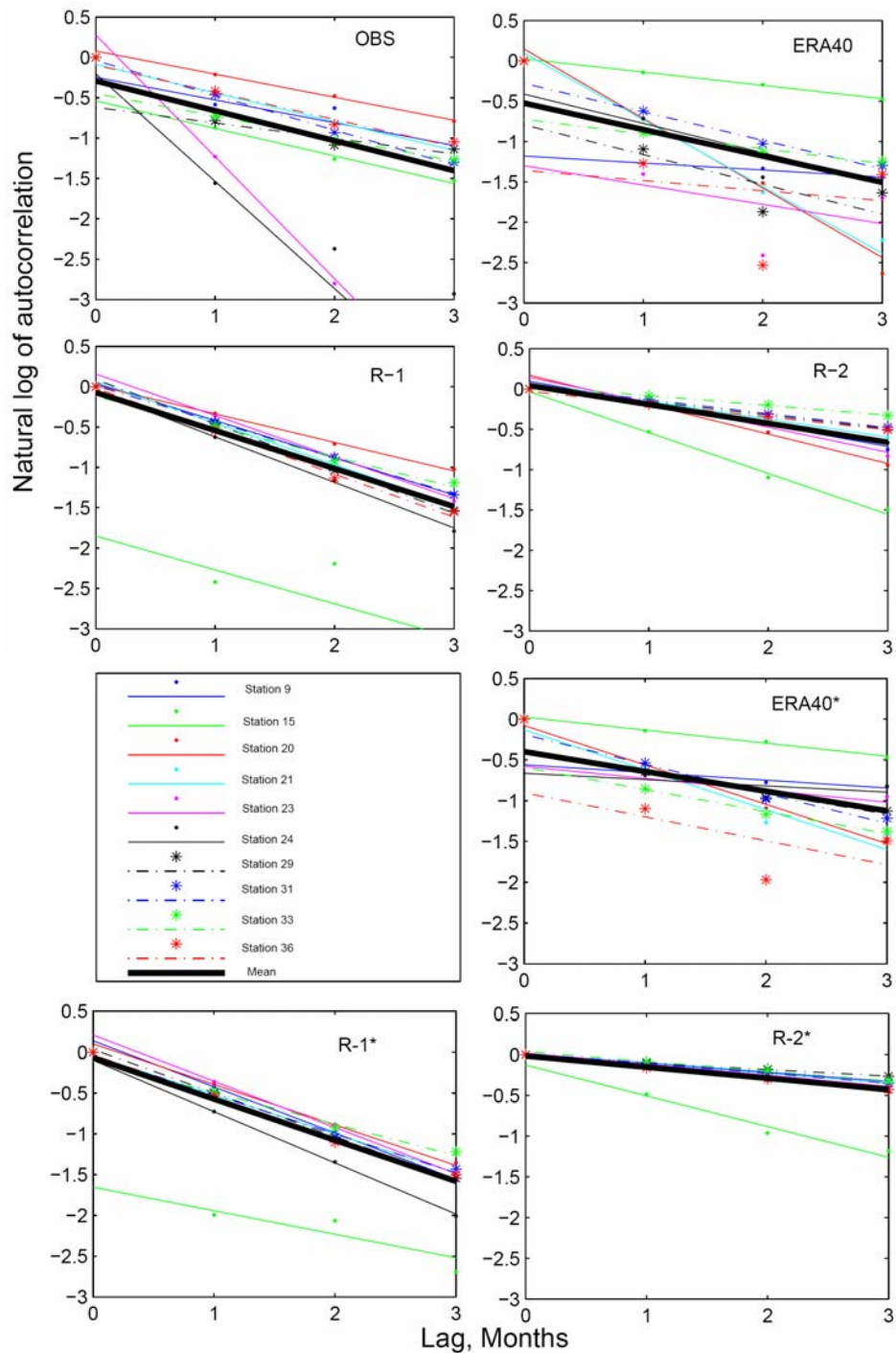


Figure 8. Temporal autocorrelations of observations and reanalyses for all 10 stations indicated in Figure 1, plotted as natural logarithm of the correlation coefficients. The slope of the best fit line gives the temporal scale. The top four panels are the results when taking out the corresponding data from the reanalyses when there are missing values in the observations. The bottom three panels are calculations based on full data sets in the reanalyses. The thick lines in black show the arithmetic average for all 10 stations.