

The Geoengineering Model Intercomparison Project (GeoMIP)

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Submitted to *Atmospheric Science Letters*

February, 2010

Revised September, 2010

ABSTRACT

To evaluate the effects of stratospheric geoengineering with sulfate aerosols, we propose standard forcing scenarios to be applied to multiple climate models to compare their results and determine the robustness of their responses. Thus far, different modeling groups have used different forcing scenarios for both global warming and geoengineering, complicating the comparison of results. We recommend four experiments to explore the extent to which geoengineering might offset climate change projected in some of the Climate Model Intercomparison Project 5 experiments. These experiments focus on stratospheric aerosols, but future experiments under this framework may focus on different means of geoengineering.

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1 **Introduction**

2 Since the idea of geoengineering was thrust back into the scientific arena by Crutzen
3 (2006) and Wigley (2006), many have wondered whether it could reduce global warming as
4 mitigation measures are implemented. Several methods of geoengineering have been discussed,
5 but Lenton and Vaughan (2009) argue that among the most feasible is through stratospheric
6 sulfate aerosols. Analyses by Robock et al. (2009) indicate that such a scenario would be
7 relatively inexpensive, especially in comparison to the cost of mitigation as determined by the
8 Intergovernmental Panel on Climate Change (IPCC, 2007b), potentially making this idea
9 attractive to policy makers.

10 However, Robock et al. (2009) point out that stratospheric geoengineering with sulfate
11 aerosols could have unintended and possibly harmful consequences, including potential impacts
12 on the hydrologic cycle and ozone depletion. For policymakers to be able to make informed
13 decisions, the strength and patterns of these climate system responses need to be understood, and
14 climate modeling will play an important part in this analysis. So far, several groups have
15 conducted experiments, but these largely cannot be directly compared. For instance, Robock et
16 al. (2008) and Rasch et al. (2008a) used a 5 Tg SO₂ per year injection rate into the tropical lower
17 stratosphere, while Jones et al. (2010) injected the same amount, but uniformly globally. In
18 contrast, Govindasamy and Caldeira (2000), Govindasamy et al. (2002, 2003), Matthews and
19 Caldeira (2007), and Bala et al. (2008) reduced the solar constant to approximate the net effects
20 of stratospheric aerosols on the planetary energy balance. Robock et al. (2008) and Jones et al.
21 (2010) ramped up the anthropogenic greenhouse gas forcing using the IPCC A1B scenario
22 (IPCC, 2007a), while the others conducted equilibrium simulations at 2xCO₂.

23 Bala et al. (2008) explained why globally averaged precipitation would be reduced if the
24 solar constant is reduced to balance the radiative forcing from increased greenhouse gas
25 concentrations. However, simulation of the spatial patterns of such a reduction would likely be
26 model-dependent. The results of Robock et al. (2008) indicate that stratospheric geoengineering
27 in order to compensate for increased greenhouse gas concentrations would reduce summer
28 monsoon rainfall in Asia and Africa, potentially threatening the food supply for billions of
29 people. Jones et al. (2010) got similar results, but Rasch et al. (2008a) found different regional
30 patterns. Past large volcanic eruptions have disrupted the summer monsoon (Oman et al., 2005;
31 Trenberth and Dai, 2007) and even produced famine (Oman et al., 2006), but direct comparisons
32 between geoengineering with stratospheric sulfate aerosols and large volcanic eruptions are
33 limited by the differences in forcing. Some unanswered questions include whether a continuous
34 stratospheric aerosol cloud would have the same effect as a transient one and to what extent
35 regional changes in precipitation would be compensated by regional changes in
36 evapotranspiration. A consensus has yet to be reached on these, as well as other, important
37 issues.

38 To answer these questions, we propose a suite of standardized climate modeling
39 experiments to be performed by interested modeling groups. We also propose to establish a
40 coordinating framework for performing such experiments, which will be known as the
41 Geoengineering Model Intercomparison Project (GeoMIP). Aside from coordinating the
42 experiments described here, GeoMIP may consider additional geoengineering experiments in
43 response to interest from climate modeling groups and the broader community. The particular
44 experiment suite outlined in this document consists of four experiments, all of which are relevant
45 to the geoengineering strategy of injecting stratospheric sulfate aerosols in an attempt to offset

46 greenhouse gas warming. The Program for Climate Model Diagnosis and Intercomparison
47 (PCMDI) has consented to archive results from these experiments, so they can be openly studied.
48 We anticipate this set of standardized experiments will permit the level of intercomparison
49 necessary to achieve confidence in the results, similar to the level of scientific consensus that is
50 published in the assessment reports of the IPCC. Initially, largely for practical reasons, the
51 number of simulations to be performed must be kept small because the Climate Model
52 Intercomparison Project 5 (CMIP5; Taylor et al., 2008) is already stretching the capabilities of
53 the modeling groups.

54 **Experiment Design**

55 We use the codes G1, G2, G3, and G4 to refer to the four simulations that will be
56 conducted in this suite of experiments. We summarize these four experiments in Table 1. G1,
57 G2, and G3 are designed to produce an annual mean global radiative balance at the top of the
58 atmosphere. We seek to determine commonalities and differences among climate model
59 responses to these particular schemes of geoengineering. G1 and G2 are the simplest possible
60 explorations of balancing increased longwave forcing with reduced shortwave forcing, i.e.,
61 through a reduction of the solar constant. These idealized experiments are expected to reveal the
62 basic model responses to this forcing balance without the added complication of differing
63 treatments of stratospheric aerosols in the various models. The idealized specification of forcing
64 also makes it especially easy to implement. In all of these experiments, we define radiative
65 forcing to be the “adjusted forcing,” which applies after so-called “fast” radiative responses (e.g.,
66 stratospheric adjustment) occur, as discussed, for example, in Hansen et al. (2005). We note that
67 we will unlikely be able to attain a perfect balance in radiative forcings, but we are aiming for a
68 net balance as close to zero as possible. Included in CMIP5 is a historical run, which will

69 include simulation of past volcanic eruptions. We will use the results of these simulations to
70 validate the models as part of our interpretation of the GeoMIP runs.

71 The G1 experiment will be initiated from a model control run and will build on a CMIP5
72 simulation in which the CO₂ concentration is instantaneously quadrupled. We choose this
73 experiment to ensure a high signal-to-noise ratio of the climate response to radiative forcing from
74 CO₂. In G1, the global average radiative forcing from the CO₂ will be balanced by a reduction of
75 the solar constant. The CO₂ radiative forcing will be measured during the CMIP5 quadrupled
76 CO₂ run, and the reduction in solar constant needed to compensate for this forcing will be based
77 on a simple calculation using global average planetary albedo. A correction to this first estimate
78 of solar constant change can be made after simulating a few years and monitoring the radiative
79 balance. If a correction is necessary, the simulation will be restarted from the control run. In
80 each model, a different solar constant change may be needed since both the CO₂ radiative forcing
81 and the planetary albedo may differ from one model to the next. The tuning procedure described
82 above also determines the change in solar constant that will be applied in the G2 experiment.
83 Figure 1 illustrates the net radiative balance that would result from G1.

84 Similar to G1, the G2 experiment will involve a reduction in solar forcing to counteract
85 the additional forcing due to increasing CO₂ concentration. However, the G2 experiment will
86 build on the CMIP5 run specifying a 1% per year increase in CO₂, starting from a model control
87 run. In G2, the global average radiative forcing from increases in CO₂ concentration will be
88 balanced by gradually reducing the solar constant. Since we prescribe an exponentially
89 increasing CO₂ concentration, and the radiative forcing scales with the logarithm of CO₂
90 concentration, the solar constant will be prescribed to decrease linearly over time, with the

91 scaling for the solar constant changes inferred from G1. Figure 2 illustrates the radiative balance
92 that would result from G2.

93 Experiment G3 is similar to G1 and G2 but more realistic, in the sense that it will provide
94 a scenario of possible implementation of stratospheric geoengineering (Figure 3). It assumes an
95 RCP4.5 scenario (Representative Concentration Pathway, with a radiative forcing of 4.5 W m^{-2}
96 in the year 2100; Moss et al., 2008), but with additional stratospheric aerosol added starting in
97 the year 2020, which is a reasonable estimate of when the delivery systems needed to inject the
98 aerosols might be ready. Stratospheric aerosols will be added gradually, balancing the
99 anthropogenic forcing to keep the planetary temperature nearly constant. The aim of this
100 experiment is to achieve an ongoing radiative balance, which will likely require differing
101 amounts of aerosol, with a time-varying size distribution, to be added in the various models.
102 Ideally, the models will create, grow, and transport sulfate aerosols from an equatorial injection
103 of SO_2 . If a model does not have this capability, aerosols can be added at the Equator or globally
104 in a way similar to each model's treatment of volcanic aerosols. If the model is capable,
105 inclusion of O_3 chemistry or the carbon cycle, as well as the relevant couplings with the physical
106 climate system, will allow additional scientific issues to be addressed, but the models should be
107 run in concentration-driven rather than emission-driven mode for the carbon cycle. The G2 and
108 G3 results will differ from each other from model to model, which will inform us of the effects
109 of different treatments of stratospheric aerosols.

110 The radiative forcing due to anthropogenic greenhouse gases and aerosols has already
111 been estimated in preparing the RCP4.5 runs. Therefore, in the G3 simulations, this forcing
112 simply needs to be balanced by aerosol forcing. Hansen et al. (2005) found that the radiative
113 forcing at the tropopause due to a large tropical volcanic eruption such as Pinatubo, after

114 allowing stratospheric temperatures to adjust, is $-24\tau \text{ W m}^{-2}$, where τ is the sulfate aerosol
115 optical depth at 550 nm. In their geoengineering simulations, Jones et al. (2010) report an
116 increase in sulfate aerosol optical depth of 0.05 after 3-4 years, by which time the aerosol layer
117 has reached an equilibrium thickness. By the formula of Hansen et al. (2005), this should
118 correspond to a radiative forcing of -1.2 W m^{-2} , which is consistent with the results found in
119 Jones et al. Therefore, the amount of aerosol injected to achieve the desired radiative forcing can
120 use the formula by Hansen et al. (2005) as a rough guide. However, each modeling group likely
121 will need to fine-tune this calculation.

122 Experiment G4 (Figure 4), similar to experiment G3, simulates a stratospheric sulfate
123 aerosol layer. However, instead of achieving radiative balance, G4 involves injection of
124 stratospheric aerosols at a specific constant annual rate, turned on abruptly in the year 2020.
125 Results from this experiment will be helpful in assessing the uncertainties that can arise in
126 estimating the impact of geoengineering when models are used to transform emission rates into
127 concentrations. The sudden start of the aerosol injection in 2020 is meant to approximate the
128 kind of action that might result from society's sudden perception of a climate warming
129 "emergency" (e.g., an immediate imperative to stop ice sheet melting).

130 We base the proposed rate of aerosol injection of 5 Tg SO_2 per year on several
131 considerations. Several estimates (e.g., Robock et al., 2008; Rasch et al., 2008b) have indicated
132 that 3-5 Tg per year of SO_2 injected into the lower stratosphere would offset a doubling of CO_2
133 concentration. An injection rate of 5 Tg SO_2 per year translates into 0.0137 Tg SO_2 per day, as
134 in Crutzen (2006), Wigley (2006), and Robock et al. (2008). Rasch et al. (2008a) suggest 1.5 Tg
135 of sulfur (approximately 3 Tg SO_2) per year would be sufficient, but we propose using 5 Tg SO_2
136 per year, to reduce the global average temperature to about 1980 values. We choose to err on the

137 larger side of this interval to maximize the signal-to-noise ratio of the climate response to
138 geoengineering. Additionally, according to Heckendorn et al. (2009), previous studies used too
139 small of an aerosol effective radius, meaning the amounts used in prior experiments will be less
140 effective in cooling the planet than previously thought, bolstering our argument for the larger 5
141 Tg SO₂ per year injection.

142 An ensemble of simulations will be performed for each geoengineering experiment. The
143 suggested method of generating each ensemble and the recommended sizes of the ensembles will
144 closely align with the protocol set by CMIP5 for the simulations without geoengineering, but if
145 our results show the size of an ensemble is insufficient to obtain statistically significant results,
146 additional ensemble members will be generated. In experiments G2, G3, and G4, the
147 geoengineering will be applied for only the first 50 years, but with the runs extended an
148 additional 20 years to examine the response to a cessation of geoengineering.

149 In the RCP4.5 scenario, as outlined by Moss et al. (2008), the total radiative forcing in
150 2100 reaches and subsequently stabilizes at 4.5 W m⁻² (relative to preindustrial levels). This
151 stabilized forcing reflects a CO₂ equivalent concentration of 650 ppm. We have selected this
152 scenario because, as noted by Taylor et al. (2008), “RCP4.5 is chosen as a ‘central’
153 scenario...[and] is chosen for the decadal prediction experiments.” Using a more optimistic
154 scenario in which rapid mitigation is implemented would result in less robust results and is thus
155 not likely to be as illuminating. Conversely, choosing a scenario with higher radiative forcing
156 would reflect an irrational and unsustainable path, since if society cannot effectively mitigate
157 greenhouse gas emissions, then geoengineering would be needed on a massive scale for a long
158 period of time, due to the long atmospheric lifetime of CO₂ (Solomon et al., 2009).

159 Wigley (2006), Matthews and Caldeira (2007), and Robock et al. (2008) performed
160 simulations in which, after a period of time, they stopped geoengineering and then evaluated the
161 resulting rapid warming. Since this response has been fairly well established, it could be argued
162 that further investigation of the results of stopping geoengineering at this time would not be
163 particularly interesting. However, it will likely be very easy to continue experiments G2, G3,
164 and G4 for an additional 20 years after a 50-year geoengineering period, so we suggest that this
165 recovery period be part of the experiments and analyses.

166 The intended audience of this paper is much broader than the climate scientists who will
167 actually perform the experiments. For that reason, we have omitted many of the details which
168 are somewhat incidental to understanding the design and aims of the experiments. We refer the
169 reader to a technical report (Kravitz et al., 2010) which more thoroughly describes the
170 specifications under which the simulations should be run. This technical report is also expected
171 to serve as a working document, which will discuss problems encountered and modifications to
172 the protocol if that should become necessary.

173 **Model Specifications**

174 The models used should be the same as those used in the CMIP5 simulations. A fully
175 coupled atmosphere and ocean general circulation model (AOGCM) is necessary for these
176 experiments to properly assess the dynamic responses of the climate.

177 Each of the models will undoubtedly treat chemistry differently. Rasch et al. (2008b)
178 provide a thorough discussion of the chemistry of stratospheric injection of sulfate aerosols. If a
179 model cannot handle photochemical conversion of sulfate aerosol precursors into sulfate
180 aerosols, an aerosol size distribution should be used. Some models will be able to inject SO₂ and
181 calculate the resulting aerosols. Others will require the injection of aerosols, which they will

182 then transport, or the complete specification of the aerosol distribution and radiative properties,
183 which will be provided by the GeoMIP project team. In the G3 experiment, some models will
184 specify a time-invariant sulfate aerosol size distribution while others (e.g., Heckendorn et al.,
185 2009) will allow the aerosols to grow over time, which, in a realistic model, will lead to a
186 reduction of the aerosol backscattering efficiency and an increase in the sedimentation rate. The
187 differences between model results arising from different aerosol treatments will need to be
188 evaluated and understood.

189 Models with detailed treatment of stratospheric chemistry (SPARC CCMval, 2010)
190 typically are run with a specified seasonal cycle of the ocean. Their participation in GeoMIP,
191 running the first 50 years of G1, G2, and G3 with a specified ocean, but particularly G3, will
192 provide us with additional evaluation of the effects of stratospheric geoengineering on ozone
193 chemistry.

194 The specifications outlined here may exclude certain modeling groups from participating
195 in this complete suite of proposed experiments. However, we encourage these groups to
196 participate in whatever capacity they are capable and to adhere to the experiment protocol as
197 closely as possible. Although their results may not be directly comparable, they will
198 undoubtedly be interesting and useful to this study.

199

200 **Acknowledgments.** We thank Bjorn Stevens, Drew Shindell, Jerry Meehl, Ron Stouffer, Andy
201 Jones, Jim Haywood, Phil Rasch, and Marco Giorgetta for their suggestions in improving this
202 document and the outlined scenarios therein. We also thank the reviewers for their thorough,
203 helpful comments. This document also benefited from extensive discussion with attendees of the
204 Strategic Workshop on Geoengineering Research, Hamburg, Germany, November 25-26, 2009,

205 and subsequent discussions with researchers from the IMPLICC project. We thank Luke Oman
206 and Allison Marquardt for their past work on and assistance with our research. The work of B.
207 Kravitz, A. Robock, and G. Stenchikov is supported by NSF grant ATM-0730452. The work of
208 O. Boucher is supported by DECC/Defra Integrated Climate Programme (GA01101). The work
209 of H. Schmidt and M. Schulz is supported by the European Commission within the FP7 project
210 IMPLICC. K. E. Taylor's contribution was supported by the Department of Energy's (DOE's)
211 Global and Regional Climate Modeling Program, and this work was performed under the
212 auspices of the DOE at Lawrence Livermore National Laboratory under contract DE-AC52-
213 07NA27344.

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303 **Table 1.** A summary of the four experiments included in this proposal.

304

305 G1 Instantaneously quadruple the CO₂ concentration (as measured from preindustrial levels)
306 while simultaneously reducing the solar constant to counteract this forcing (Figure 1).

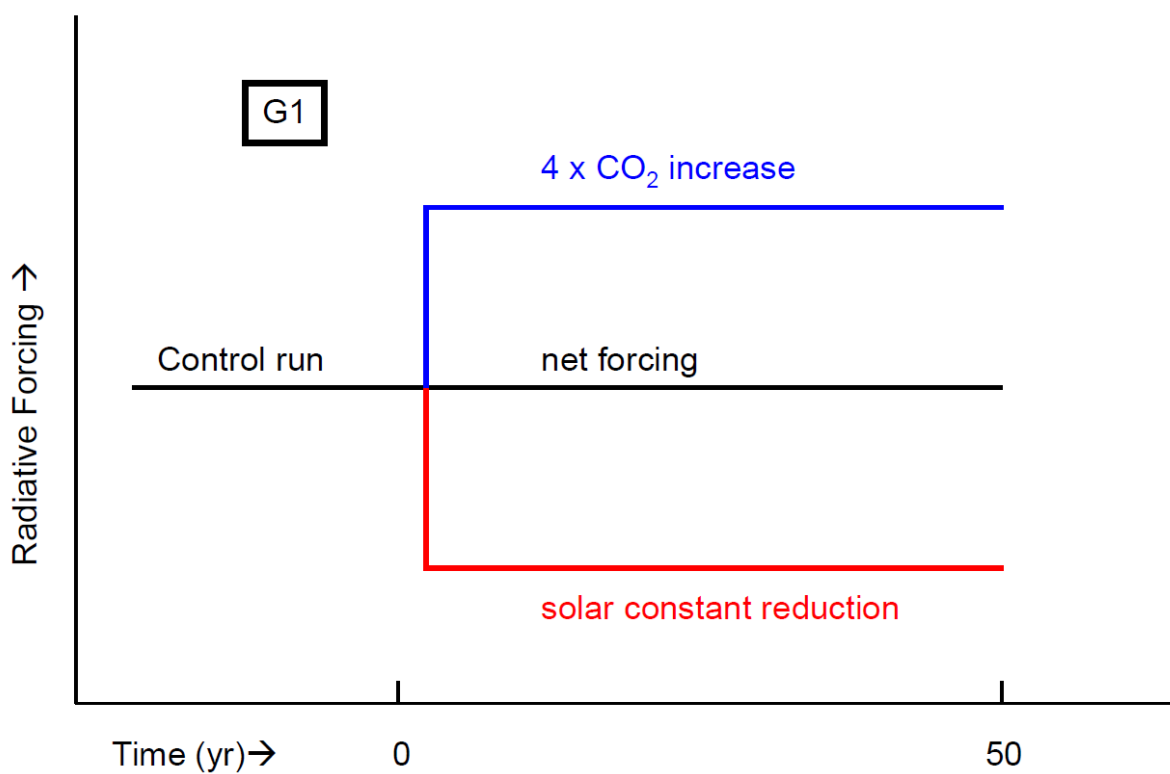
307 G2 In combination with a 1% increase in CO₂ concentration per year, gradually reduce the
308 solar constant to balance the changing radiative forcing (Figure 2).

309 G3 In combination with RCP4.5 forcing, starting in 2020, gradual ramp-up the amount of
310 SO₂ or sulfate aerosol injected, with the purpose of keeping global average temperature
311 nearly constant (Figure 3). Injection will be done at one point on the Equator or
312 uniformly globally. The actual amount of injection per year can be based on Hansen et
313 al. (2005) but may need to be fine tuned to each model.

314 G4 In combination with RCP4.5 forcing, starting in 2020, daily injections of a constant
315 amount of SO₂ at a rate of 5 Tg SO₂ per year at one point on the Equator through the
316 lower stratosphere (approximately 16-25 km in altitude) or the particular model's
317 equivalent. These injections would continue at the same rate through the lifetime of the
318 simulation (Figure 4).

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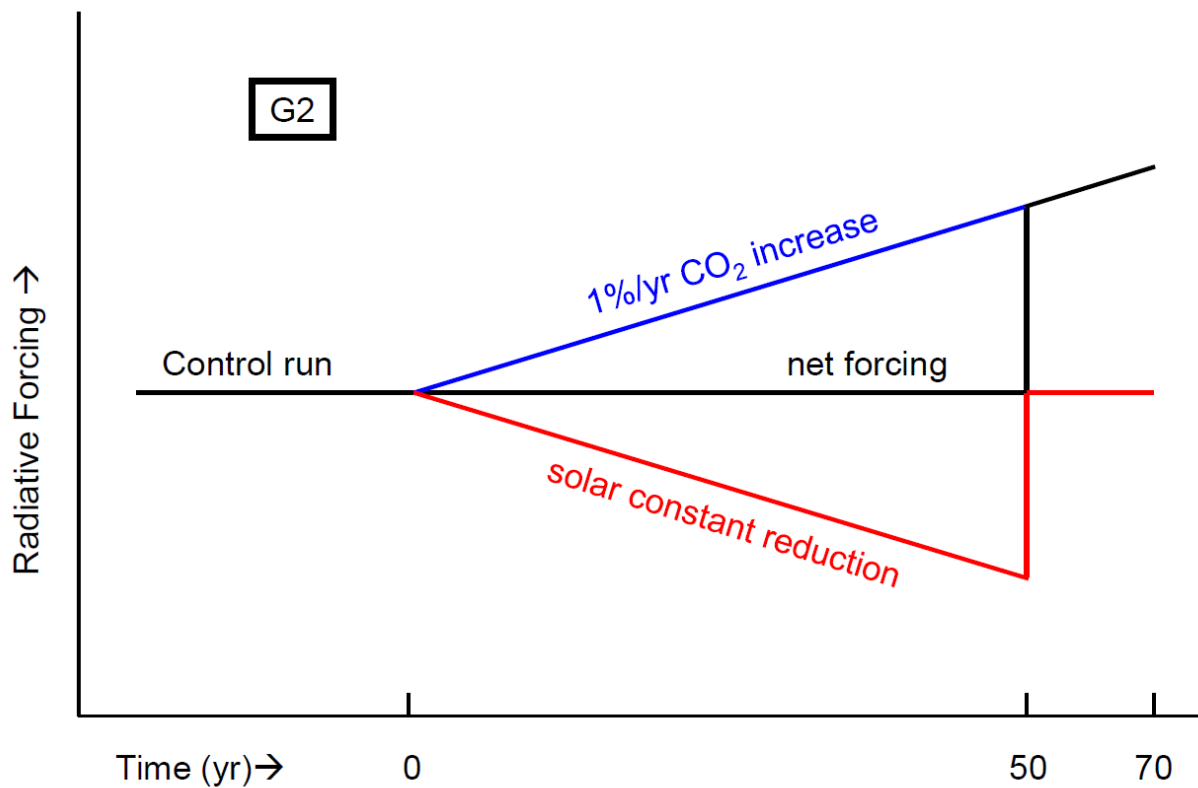


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322 **Figure 1.** Schematic of experiment G1. The experiment is started from a control run. The
323 instantaneous quadrupling of CO₂ concentration from preindustrial levels is balanced by a
324 reduction in the solar constant until year 50.

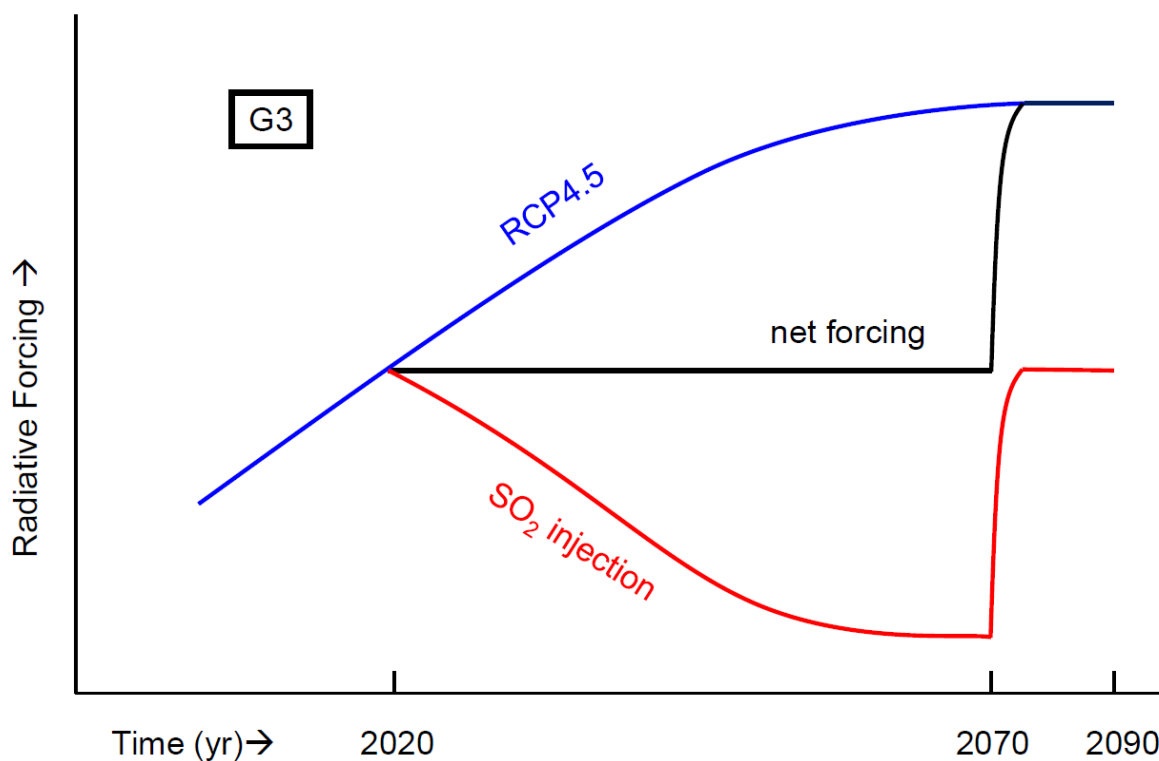
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326 **Figure 2.** Schematic of experiment G2. The experiment is started from a control run. The
327 positive radiative forcing of an increase in CO₂ concentration of 1% per year is balanced by a
328 decrease in the solar constant until year 50.

329

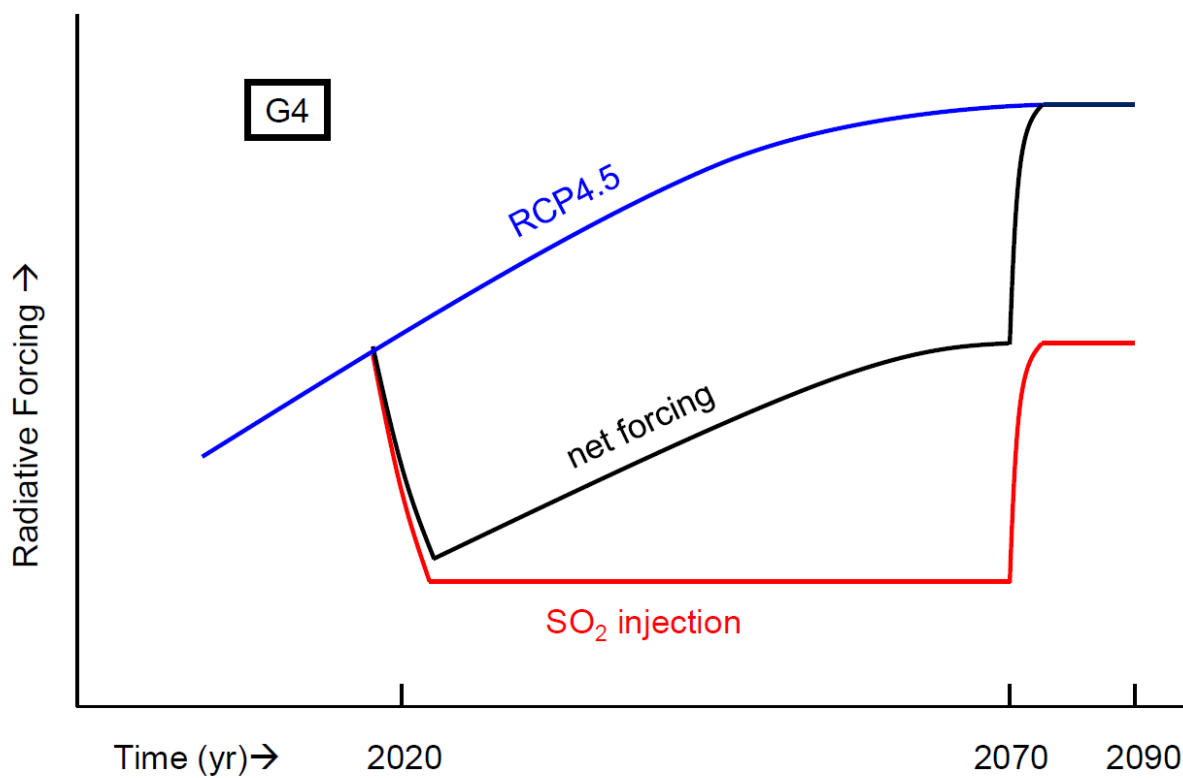


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331 **Figure 3.** Schematic of experiment G3. The experiment approximately balances the positive
332 radiative forcing from the RCP4.5 scenario by an injection of SO₂ or sulfate aerosols into the
333 tropical lower stratosphere.

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334

335

336 **Figure 4.** Schematic of experiment G4. This experiment is based on the RCP4.5 scenario,
337 where immediate negative radiative forcing is produced by an injection of SO₂ into the tropical
338 lower stratosphere at a rate of 5 Tg per year.