

# **The Hydrological Impact of Geoengineering in the Geoengineering Model Intercomparison Project (GeoMIP)**

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24 **Abstract.** The hydrological impact of enhancing Earth's albedo by solar ra-  
25 diation management is investigated using simulations from 12 models contribut-  
26 ing to the Geoengineering Model Intercomparison Project (GeoMIP). We con-  
27 trast an idealized experiment, G1, where the global mean radiative forcing is kept  
28 at pre-industrial conditions by reducing insolation while the CO<sub>2</sub> concentration  
29 is quadrupled, to a 4xCO<sub>2</sub> experiment. Global average temperature in G1 is pre-  
30 served at its pre-industrial value, but precipitation is reduced by around 4.4%  
31 compared to the control, while it is increased by around 6.2% in the 4xCO<sub>2</sub> ex-  
32 periment. An initial reduction of evapotranspiration over land with instantaneously  
33 increasing CO<sub>2</sub> concentrations in both experiments largely contributes to a re-  
34 duction in evaporation. A warming surface associated with the transient adjust-  
35 ment in 4xCO<sub>2</sub> generates an increase of global precipitation, with considerable  
36 regional changes, such as a drastic precipitation increase of 10% over India and  
37 East Asia but also a reduction of 7% for the North American summer monsoon.  
38 Reduced global evaporation (10%) and precipitation persists in G1 with precip-  
39 itation reduction over monsoonal land regions: East Asia (6%), South Africa (5%),  
40 North America (7%) and South America (6%). Uncertainties of results are dis-  
41 cussed. In contrast to the 4xCO<sub>2</sub> experiment, where the frequency of months  
42 with heavy precipitation intensity is increased by over 50% in comparison to  
43 the control, a reduction of up to 20% is simulated in G1. These changes in pre-  
44 cipitation in both total amount and frequency of extremes, point to a consider-  
45 able weakening of the hydrological cycle in a geoengineered world.

## 1. Introduction

46 Geoengineering, also called climate engineering, is discussed in recent literature as a potential  
47 option for reducing the most dangerous changes to Earth's climate as a result of large green-  
48 house gas increases [*Lauder and Thompson, 2009*]. One of the proposals to "buy some time"  
49 while mitigation scenarios are aggressively ramped up, considers the reduction of incoming  
50 shortwave radiation, called Solar Radiation Management (SRM). This approach is expected to  
51 offset the warming arising from increasing greenhouse gas concentrations with a corresponding  
52 reduction in solar absorption [e.g., *Crutzen, 2006*].

53 Natural analogues for SRM have been observed after the occurrence of large volcanic erup-  
54 tions, such as that of Mt. Pinatubo in 1991, which injected 20 Mt of SO<sub>2</sub> into the stratosphere  
55 [*Bluth et al., 1992*]. The increased planetary albedo arising from stratospheric volcanic aerosols  
56 resulted in a temporary cooling of the Earth's surface of about 0.5 K [*Soden et al., 2002*]. How-  
57 ever ancillary effects were also observed, such as a weakening of the hydrological cycle, which  
58 was identified based on a significant reduction of the continental river discharge [*Trenberth and*  
59 *Dai, 2007*]. Further, an increase in stratospheric ozone depletion in the Arctic polar vortex was  
60 observed in the two years following the eruption [*Tilmes et al., 2008*]. Simulations of a geo-  
61 engineered atmosphere using sulfate aerosols also indicate changes in stratospheric dynamics  
62 and chemistry caused by SRM [*Tilmes et al., 2009; Heckendorn et al., 2009*].

63 Increasing greenhouse gas concentrations are heating the planet and warming the surface and  
64 troposphere, leading for instance to increases in atmospheric water vapor [*Solomon et al., 2007*].  
65 Combined with an increased net downward radiative flux, these transient feedbacks result in a  
66 strengthening of the hydrological cycle, characterized by an increase in both total rainfall and

67 the frequency of heavy precipitation events [*Trenberth, 1999; Trenberth et al., 2003; Held and*  
68 *Soden, 2006; Solomon et al., 2007*]. Furthermore, a robust contrast of hydrologic and radiative  
69 feedbacks between land and ocean is observed [e.g., *Wang and Ding, 2006; Sutton et al., 2007*]  
70 and simulated by climate models in a high CO<sub>2</sub> environment [e.g., *Joshi et al., 2008; Fasullo,*  
71 *2010, 2012; Lambert et al., 2011*]. Greater warming over land than over the ocean leads to  
72 contrasting feedbacks with reductions in low level relative humidity over land increasing the  
73 lifting condensation level and suppressing rainfall increases relative to those over ocean.

74 SRM has been suggested as a mechanism to stabilize global temperatures. The continuous  
75 increase in positive forcing from increasing greenhouse gases is countered by a continuous  
76 increase in negative forcing from SRM produced by an increase in the Earth's albedo. This  
77 may be achieved by adjusting the amount of aerosol loading in the stratosphere [e.g., *Wigley,*  
78 *2006*]. However, such experiments will alter the flow of energy throughout the climate system  
79 and reduce surface downwelling shortwave and latent heat fluxes, as studied in many different  
80 model scenarios [*Govindasamy and Caldeira, 2000; Govindasamy et al., 2003; Lunt et al., 2008;*  
81 *Bala et al., 2008; Hegerl and Solomon, 2010; Irvine et al., 2010; Schmidt et al., 2012; Pongratz*  
82 *et al., 2012*]. Some geoengineering studies have shown a significant decrease of precipitation,  
83 for example, over the Indian monsoon, as a result of geoengineering [*Robock et al., 2008; Jones*  
84 *et al., 2010*]. *Kravitz and Robock [2011]* and *Haywood et al. [2013]* have explored scenarios  
85 where injections of aerosol were made into solely one hemisphere, which results in different  
86 regional precipitation responses. However, different models and scenarios do not always agree  
87 in the sign of the change of monsoonal precipitation in response to geoengineering [*Rasch*  
88 *et al., 2008; Jones et al., 2010*]. Therefore, the impact of SRM in the context of a high CO<sub>2</sub>

89 environment on the global and regional precipitation across different climate models has yet to  
90 be quantified.

91 To explore the impact of geoengineering on the climate system, the Geoengineering Model  
92 Intercomparison Project (GeoMIP) was initiated [*Kravitz et al.*, 2011]. A set of geoengineering  
93 experiments was designed in conjunction with the fifth phase of the Coupled Model Intercom-  
94 parison Project (CMIP5) effort [*Taylor et al.*, 2012]. These geoengineering experiments were  
95 performed by a number of modeling groups. Here, we use the experiment called “G1” in which  
96 the incoming solar radiation at the top of the atmosphere (TOA) is reduced to balance the radia-  
97 tive forcing at the TOA in an atmosphere with four times the pre-industrial CO<sub>2</sub> concentration  
98 (4xCO<sub>2</sub>). This experiment thus depicts a strongly forced case of geoengineering with carbon  
99 dioxide concentrations at 1139 ppm, providing a robust signal-to-noise ratio relative to internal  
100 variability. This forcing is roughly equivalent to the RCP8.5 radiative forcing by the end of the  
101 21st century.

102 A detailed investigation of global and regional precipitation and evaporation changes in two  
103 experiments is performed: 1) an abrupt 4xCO<sub>2</sub> increase and 2) G1, compared to 1850 control  
104 conditions, is discussed in this paper, with a particular focus on changes over monsoonal re-  
105 gions. The following questions are addressed: Do climate models simulate a robust response of  
106 SRM in global and regional precipitation and how does the magnitude compare to the 4xCO<sub>2</sub>  
107 response? How does SRM impact precipitation intensities globally and in different regions?  
108 And finally, what is the relative compensation for CO<sub>2</sub>-induced changes and are there distinct  
109 differences evident from SRM, over land and ocean?

110 We quantify the global and regional hydrologic changes based on GeoMIP model simula-  
111 tions, which are summarized in Section 2. The global temperature and precipitation response to

112 CO<sub>2</sub> quadrupling and SRM for individual models are discussed in Section 3. In Section 4, we  
113 investigate the question of how much SRM in a high CO<sub>2</sub> environment changes global and re-  
114 gional precipitation patterns in comparison to 1850 conditions. We contrast these results to the  
115 4xCO<sub>2</sub> experiment without SRM and focus on large-scale features in the tropics and northern  
116 mid-latitudes. Beyond analyzing the response of total precipitation and evaporation, changes  
117 in the frequency of various precipitation intensities are also assessed, providing further insight  
118 into the changing character of rainfall. Section 5 focuses on monsoonal regions, as defined by  
119 *Wang and Ding* [2006], who provide an objective method for comparison of monsoon variabil-  
120 ity among observations and models. The separation of monsoonal land and ocean components  
121 helps to isolate contrasting responses based on constraints imposed by surface albedo and mois-  
122 ture effects. The ability of models to reproduce the area of observed monsoonal regions and  
123 precipitation amounts is evaluated in Section 5.1 and the hydrologic changes in both 4xCO<sub>2</sub>  
124 and G1 relative to pre-industrial conditions are investigated in Section 5.2. In Section 6, we  
125 discuss results in the context of the hydrological cycle and a summary is given in Section 7.

## 2. Model Experiments and Analysis

126 Results from 12 climate models are used that each performed three model experiments: a  
127 preindustrial control (labeled 1850), an abrupt quadrupling of CO<sub>2</sub> (labeled 4xCO<sub>2</sub>), and the  
128 G1 GeoMIP experiment that adds SRM to the 4xCO<sub>2</sub> scenario. A detailed description of the  
129 setup of the GeoMIP experiments is outlined in *Kravitz et al.* [2011]. For each experiment, up to  
130 three ensemble members are available per model. The 1850 control simulations are derived from  
131 an extended integration designed to produce equilibrated global-mean surface temperatures. At  
132 least 50 years of this well-balanced experiment are available for all models.

133 The 4xCO<sub>2</sub> experiment (also called “abrupt4xCO<sub>2</sub>”) is started from the 1850 control experi-  
134 ment and the simulation extended for 150 years for most models (2 models provided only 50 and  
135 75 year simulations) (Table 1, second column). For this simulation a strong radiative imbalance  
136 exists for at least 10 years at the TOA caused by a CO<sub>2</sub> forcing of about 6 to 9 Wm<sup>-2</sup> [Kravitz  
137 *et al.*, 2013]. For this reason, precipitation and temperature changes from the first 10 years of  
138 this experiment are not considered. However, all subsequent years of the 4xCO<sub>2</sub> experiment  
139 are included in our analysis in order to maximize the number of years per simulation for all the  
140 models, including those with shorter simulations. Since the 4xCO<sub>2</sub> simulations still experience  
141 moderate transient adjustment after the first decade, considering 10 – 150 years for most of the  
142 models results in a slightly smaller ( $\approx 0.5$  K) average temperature change and  $\approx 0.5\%$  smaller  
143 precipitation change compared to the results presented in Table 4 by *Schmidt et al.* [2012], who  
144 considered the years 101 to 150.

145 The G1 experiment is branched off the 1850 control experiment. The climate in this experi-  
146 ment is controlled by two forcings, an instantaneous quadrupling of CO<sub>2</sub> and a corresponding  
147 reduction of incoming solar radiation in reduction the solar constant to balance the residual TOA  
148 imbalance. The experiment is performed for 50 years with 1 to 3 ensemble simulations [Kravitz  
149 *et al.*, 2013]. We include all available years of the G1 simulation in our analysis (Table 1, third  
150 column), following the approach of *Schmidt et al.* [2012]. The simulation is designed to por-  
151 tray a strongly forced climate system, to produce a large signal-to-noise ratio in the context of  
152 internal variability in response to solar dimming. These results are expected to differ to some  
153 degree from transient geoengineering experiments, which are characterized by a steady ramp-up  
154 of greenhouse gas concentrations and solar dimming. However, many of the principal processes  
155 are expected to be similar between the two simulations, as further discussed below.



156 General features of the climate response in the G1 experiment are described by *Kravitz et al.*  
157 [2013] and by *Schmidt et al.* [2012] who considered only a subset of the models. For the G1  
158 simulation, the incoming shortwave radiation was reduced by an amount that differed a bit  
159 between individual model, ranging from 3.8% to 5.0% (Table 1, last column). This value differs  
160 across models due to their individual responses to solar dimming, for instance due to differences  
161 in cloud feedbacks [*Schmidt et al.*, 2012]. Most models were able to achieve a global balance.  
162 However, as described by *Schmidt et al.* [2012] and *Kravitz et al.* [2013], spatial and temporal  
163 structure in net radiative forcing at TOA ( $F_{\text{TOA}}$ ) persists due to differences in spatiotemporal  
164 patterns of the two opposing forcings, resulting in a net decrease of the  $F_{\text{TOA}}$  at low latitudes,  
165 and a net increase in high latitudes. Consequently, a cooling in comparison to 1850 conditions  
166 was found in the tropics, while the high latitudes warm strongly with a maximum in winter for  
167 each hemisphere. Precipitation changes were briefly investigated in these studies, considering  
168 the change of global mean precipitation, changes in the Bowen ratio [*Schmidt et al.*, 2012], and  
169 the difference between precipitation and evaporation [*Kravitz et al.*, 2013]. Based on results  
170 from only four models, *Schmidt et al.* [2012] identified a consistent decrease of precipitation in  
171 South-East Asia for all the models, while models do not agree in sign for the other monsoonal  
172 regions. *Kravitz et al.* [2013] found a reduction in precipitation minus evaporation ( $P - E$ )  
173 by up to  $1.4 \text{ mm day}^{-1}$  in some tropical regions but less than  $0.2 \text{ mm day}^{-1}$  in summer over  
174 monsoonal regions for the ensemble mean.

175 In our analysis we contrast precipitation and evaporation changes of two perturbed experi-  
176 ments, the  $4\times\text{CO}_2$  and the G1 experiment, in comparison to 1850 control conditions. Monthly  
177 outputs for near surface temperature, total precipitation and evaporation are used from avail-  
178 able ensemble members provided by each model group. Area-weighted global and regional

179 responses are derived. Other variables such as runoff and soil moisture that are part of the hy-  
180 drological cycle vary greatly between models, and there are uncertainties in observations of the  
181 fields, so we choose not focus on them in this study (see Section 6 for further discussion).

182 Specifics of each model, including their vertical and horizontal resolution and climate sen-  
183 sitivity, are summarized by *Kravitz et al.* [2013] (suppl. online material, Table S1). Model  
184 parameterizations of convection and microphysics are listed in Table 2.

### 3. Global Temperature and Precipitation Response

185 The simulated global surface air temperature response in the 4xCO<sub>2</sub> experiment compared  
186 to the 1850 control experiment varies in proportion to model sensitivity [*Gregory et al.*, 2004].  
187 The global average temperature change from model to model differs by 2.9 K to 5.9 K, ignoring  
188 the first 10 years of the simulation (Figure 1, top panel, and Table 1, fourth column). Changes  
189 in global precipitation between the 4xCO<sub>2</sub> and the control experiment are positively correlated  
190 to warming and, therefore, to climate sensitivity. The increase of global precipitation derived  
191 from different models ranges between 45 and 110 mm a<sup>-1</sup> (4.5 – 12%) with the GISS model  
192 being an outlier showing an increase of only about 20 mm a<sup>-1</sup> or 2%.

193 The initial decrease of precipitation due to the instant quadrupling of CO<sub>2</sub> in the first few  
194 months of the simulation is called the “fast response” or “rapid adjustment” [e.g., *Andrews*  
195 *et al.*, 2009; *Andrews and Forster*, 2010], and is followed by the transient feedback, usually  
196 called the “slow response”. The fast response is believed to be caused by the initial increase of  
197 atmospheric stability in a high CO<sub>2</sub> environment. The transient feedback is driven by changes  
198 in surface temperature, which is a function of the uptake of heat by the ocean in a warming  
199 climate and its impact on water vapor and the divergent tropical circulation [e.g., *Held and*

200 *Soden, 2006*]. Precipitation changes in models are often reported in changes per K considering  
201 the slow response only.

202 The transient feedback is estimated here by fitting a straight line through the annual averaged  
203 global precipitation changes versus temperature changes for the first 10 years of the 4xCO<sub>2</sub>  
204 experiment started from 1850 average conditions (Figure 1, thin colored line). Intersections of  
205 each line with the x-axis can be understood as the fast response of the precipitation for each  
206 model for the 4xCO<sub>2</sub> experiment (see *Andrews et al. [2009]*).

207 The estimated range of the transient feedback is 20 – 35 mm a<sup>-1</sup> K<sup>-1</sup> (2.0 - 3.5% K<sup>-1</sup>),  
208 which is less than what would be expected from the increase in water vapor ( $\approx 7\% \text{ K}^{-1}$ ) due to  
209 a weakened divergent circulation. GeoMIP and therefore CMIP5 results are in good agreement  
210 with earlier studies using the CMIP3 archive (*Held and Soden [2006]*, 2.2% K<sup>-1</sup>, *Andrews*  
211 *and Forster [2010]*, 2.4% K<sup>-1</sup>). The transient feedback will not be further discussed, since  
212 it does not include the transient adjustment of the fast response, and therefore results in an  
213 overestimation of the precipitation response to a CO<sub>2</sub> increase [*Andrews and Forster, 2010*].

214 Contrasting G1 and 4xCO<sub>2</sub> simulations highlights the precipitation response to solar dim-  
215 ming in a high CO<sub>2</sub> environment (Figure 1, lower left quadrant of each panel). Global mean  
216 temperatures in the G1 experiment are 3.2 – 6.1 K cooler compared to the 4xCO<sub>2</sub> experiment  
217 (Figure 1, top panel; Table 1, fifth column) and precipitation rates are reduced by 90 to 140  
218 mm a<sup>-1</sup> (8 – 12.5%) for most models, with the largest reduction of 170 mm a<sup>-1</sup> (16%) in  
219 IPSL-CM5A-LR. Precipitation changes due to decreases in solar forcing are largely governed  
220 by the slow response referred to above [*Andrews and Forster, 2010*]. These changes are very  
221 similar, but have opposite sign compared to the slow response under the transient adjustment of  
222 the 4xCO<sub>2</sub> experiment, as they relate to changes in the surface energy balance. Global mean

223 precipitation differences between G1 and 4xCO<sub>2</sub> are also positively correlated to the surface  
224 temperature change (correlation coefficient  $r = 0.80$ ).

225 On the other hand, considering the G1 experiment with regard to 1850 conditions, global  
226 mean surface temperatures do not change significantly (Figure 1, middle cluster). Global pre-  
227 cipitation decreases by  $47 \pm 15 \text{ mm a}^{-1}$  ( $4.5 \pm 1.3\%$ ) (see Figure 1). Global average precipi-  
228 tation deviations from the control are thus more than half of the precipitation changes induced  
229 by a quadrupling of CO<sub>2</sub>. Total precipitation amount is controlled by the surface energy budget  
230 [Hansen *et al.*, 1997] and the slow response in the precipitation rate is expected to be small.  
231 All the models simulate a global near-surface temperature change within  $\pm 0.3 \text{ K}$  compared  
232 to the control, whereas the BNU-ESM model is biased high by 0.63 K (Table 1, column 6).  
233 Interestingly, the precipitation reduction in G1 is correlated to the fast response of the 4xCO<sub>2</sub>  
234 experiment ( $r = 0.88$ , if ignoring the BNU-ESM model) with a slightly smaller reduction in  
235 precipitation than suggested by the fast response (Figure 2). Therefore, models with the largest  
236 fast response of the 4xCO<sub>2</sub> experiment also produce the greatest reductions in precipitation in  
237 response to solar dimming.

238 This suggests that both the fast response in the 4xCO<sub>2</sub> experiment and the hydrologic sensi-  
239 tivity under G1 forcing may underlay a similar mechanism. An initial increase in atmospheric  
240 stability in the G1 experiment is shown by Kravitz *et al.* [2013] and is also simulated for other  
241 geoengineering experiments [e.g., Bala *et al.*, 2008]. However, Fyfe *et al.* [2013] and Schmidt  
242 *et al.* [2012] have suggested that the reduction in evapotranspiration from plants over land [e.g.,  
243 Doutriaux-Boucher *et al.*, 2009; Cao *et al.*, 2010] plays an important role as well. In Appendix  
244 A, we explore this issue using the NCAR CCSM4 in contrasting results of the first year of the  
245 model experiments performed with and without an interactive land carbon cycle. We show that

246 the abrupt CO<sub>2</sub> increase reduces the stomatal conductance and results in a similar initial change  
247 of surface fluxes in both the 4xCO<sub>2</sub> and G1 experiments. For the first year of the simulation,  
248 reduced evapotranspiration is responsible for the reduction of upwelling latent heat flux over  
249 land with consequences for precipitation and surface temperatures, but with little change over  
250 the ocean.

251 The reduction in precipitation for G1 experiments is therefore largely influenced by CO<sub>2</sub>  
252 concentration changes. This is discussed by *Fyfe et al.* [2013]. Models that do not include  
253 the interactive land carbon cycle, and therefore do not consider stomatal conductance, simu-  
254 late smaller reductions in precipitation than those that include this process. These findings are  
255 also in agreement with the GeoMIP results, showing the smallest precipitation response to CO<sub>2</sub>  
256 for the EC-EARTH DMI, the only model that does not include this process. If the evapotran-  
257 spiration from plants scale inversely with CO<sub>2</sub> concentration, we also expect precipitation in  
258 transient SRM model experiments to vary inversely with CO<sub>2</sub>. Nevertheless, changes in surface  
259 temperature are the most important factor that control changes in maximum precipitation events  
260 on the large scale, as was already found in CO<sub>2</sub> ramp-up and down experiment by *Boucher et al.*  
261 [2012].

262 Therefore, even though global surface temperatures do not generally change in G1 compared  
263 to 1850 conditions, precipitation changes are a likely result of both the influences of changes  
264 in atmospheric stability and stomatal conductance over land. Since we do not find a correlation  
265 between precipitation reduction in the G1 experiment and climate sensitivity, we report absolute  
266 precipitation and evaporation changes for the 4xCO<sub>2</sub> and the G1 experiments rather than those  
267 normalized by temperature changes (see *Bala et al.* [2008]).

#### 4. Precipitation and Evaporation Response in Tropics and Mid-Latitudes

268 Zonal mean precipitation and evaporation responses in the 4xCO<sub>2</sub> and G1 experiments are  
269 derived for land and ocean separately (Figure 3). In the remainder of the text, model responses  
270 are always shown in comparison to 1850 conditions. Precipitation decreases in G1 over both  
271 land and ocean, with similar latitudinal structure. The strongest reduction occurs in the tropics  
272 and northern mid-latitudes (as further discussed below). Changes in the 4xCO<sub>2</sub> experiment  
273 are relatively large and exhibit distinct latitudinal structure between land and ocean. Further,  
274 evaporation is significantly smaller over land compared to the ocean for both the G1 and 4xCO<sub>2</sub>  
275 experiment. For G1, evaporation decreases by around 10% over land, while changes over the  
276 ocean are small.

277 With an intensification of the hydrologic cycle in 4xCO<sub>2</sub> conditions, rainfall and evaporation  
278 increase generally, with reductions in the subtropics over ocean [e.g., *Durack et al.*, 2012]. The  
279 reduction of precipitation in the 25°S – 45°S latitude band over the ocean coincides with a  
280 strong decrease in cloud amount and relative humidity [*Fasullo and Trenberth*, 2012] and is  
281 also connected to poleward shifts in the mid-latitude storm tracks [*Scheff and Frierson*, 2012].  
282 The disproportionate reduction in evaporation over land compared to the ocean is due to the  
283 reduced stomatal conductance of plants in a high CO<sub>2</sub> environment, as discussed in Appendix  
284 A.

285 In the following, we distinguish between global and regional responses of each experiment,  
286 including monsoon components, the Intertropical Convergence Zone (ITCZ) and the mid-  
287 latitudes. Monsoon components, as shown in Figure 4, are derived using the criteria of *Wang*  
288 *and Ding* [2006]. These criteria are based on the local annual range (AR) of precipitation, which  
289 is defined as the difference between total summer (JJA for the Northern Hemisphere, DJF for

290 the Southern Hemisphere) and winter (DJF for the Northern Hemisphere, JJA for the Southern  
291 Hemisphere) precipitation. Regions are defined to be monsoonal if the AR exceeds 180 mm  
292 and the local summer monsoon precipitation comprises at least 35% of the total annual rainfall  
293 [Wang and Ding, 2006]. In addition to the regions identified by Wang and Ding [2006], we con-  
294 sider two sub-regions of Asia: India and East Asia. These criteria are applied to the GeoMIP  
295 simulations and to two independent precipitation datasets (as discussed in Section 5.1). The  
296 global mean intensity of the summer monsoon precipitation of all identified monsoon components  
297 is defined as the global monsoon index (GMI) [Wang and Ding, 2006]. The ITCZ is defined  
298 here as the region between 25°N and 25°S, excluding any monsoonal regions in this latitude  
299 band, to distinguish between their respective precipitation responses. We report mean and me-  
300 dian values of multi-model results and consider changes to be robust if 75% of all models agree  
301 on the sign of the change. Further, the mean interannual variability of the experiment has to be  
302 smaller than the change compared to the control to produce a significant result.

303 We also assess relative changes in frequency of precipitation intensities based on monthly  
304 averaged values, as demonstrated in Figure 5. The shape of the precipitation distribution is  
305 obviously not Gaussian and its statistics can be more precisely expressed in terms of percentiles  
306 rather than in the mean of the distribution (Figure 5, lower part). Consideration of changes in  
307 the probability density functions (PDFs) helps to identify, for instance, changes in the tail of  
308 the distribution, similar to the method of O’Gorman [2012]. For the global average, the 4xCO<sub>2</sub>  
309 simulations have significantly more frequent occurrences of large monthly mean precipitation  
310 events than the control simulations; the G1 experiments are much closer to the control for the  
311 99th percentile and SRM has generally a reduced probability of extreme precipitation events. A

312 detailed assessment of changes in extreme precipitation events, drizzle and storms, on a daily  
313 basis is beyond the scope of this work and will be performed in future studies.

#### 4.1. Large Scale Features and Tropical Response

314 For the  $4xCO_2$  experiment, the global multi-model mean (MMM) precipitation over land is 34  
315  $mm\ a^{-1}$  (3.6%) larger compared to the control (Table 3, third column), with an agreement in sign  
316 for less than 75% of the models, as indicated by the quartiles in Figure 6 (top and middle panels).  
317 Three models show a decrease of precipitation over land in the  $4xCO_2$  experiment (see Table 3).  
318 The GISS model simulates the largest global reduction over land, aligned with the largest fast  
319 response (as discussed above) and a stronger reduction in evaporation over land compared to the  
320 other models. Over the ocean, models show a robust increase of  $79\ mm\ a^{-1}$  (6.8%) compared  
321 to the control simulation (Figure 6, top and middle panel). In contrast, precipitation for the G1  
322 experiment decreases robustly by  $43\ mm\ a^{-1}$  (4.5%) over land and  $50\ mm\ a^{-1}$  (4.3%) over the  
323 ocean (Table 3, fourth column). The multi-model median global precipitation changes (Figure 6,  
324 dark red and grey squares) are different from the MMM values, because outliers are valued less  
325 in this measure. Multi-model median precipitation changes over land and ocean in the  $4xCO_2$   
326 are more similar to each other than considering the MMM, with values of around 6.2%. For  
327 G1, the multi-model median precipitation reduction is 3.6% over land and 4.6% over the ocean.  
328 Therefore, MMM precipitation reduction over land for both experiments is amplified by the  
329 outliers. For regional changes, we only report multi-model median precipitation changes.

330 A large portion of global precipitation occurs over the monsoonal regions and ITCZ (Figure 6,  
331 top and middle panel). An increase in precipitation is simulated in  $4xCO_2$  in both monsoonal  
332 land and ocean regions of  $68\ mm\ a^{-1}$  (5.2%) and  $118\ mm\ a^{-1}$  (8.1%), respectively, and in  
333 oceanic ITCZ regions of  $80\ mm\ a^{-1}$  (5.3%). This increase is qualitatively consistent with what



334 was found in the CMIP3 archive by *Fasullo* [2012] and the CMIP5 archive [*Hsu et al.*, 2013;  
335 *Lee and Wang*, 2012]. In the land ITCZ region, the multi-model median precipitation increased  
336 by  $58 \text{ mm a}^{-1}$  (6.9%), however, the sign of projected precipitation changes is not consistent  
337 among models. The limit extent of the land monsoon region and its associated susceptibility to  
338 internal variability of this region might cause these inconsistencies.

339 In contrast, the G1 simulations show a robust decrease in monsoonal precipitation of  $56 \text{ mm}$   
340  $\text{a}^{-1}$  (4.2%) over land and  $66 \text{ mm a}^{-1}$  (4.5%) over the ocean (Figure 6, top, and middle panel).  
341 Precipitation in the ITCZ is reduced by around 5% with a larger interannual variability and  
342 spread among the models over land compared to the ocean. Deviation from preindustrial values  
343 is slightly smaller in G1 than for the  $4\text{xCO}_2$  simulations.

344 For the  $4\text{xCO}_2$  experiment, evaporation increases globally, particularly in the tropics over the  
345 ocean between 6 and 10% while it does not significantly change over land (Figure 6, bottom  
346 left panels), in agreement with earlier work [*Held and Soden*, 2006; *Seager et al.*, 2010]. These  
347 studies show reductions in  $P - E$  over the ocean and increases over land in the tropics take place  
348 in a warming climate. For the G1 experiment, evaporation decreases monotonically over both  
349 land and ocean, with a significantly stronger decrease over land consistently for all the models  
350 with values around 10% over land and 4% over the ocean (Figure 6, bottom right panel).

351 Along with the increase in precipitation for the  $4\text{xCO}_2$  experiment, precipitation intensity  
352 frequencies for months with small to medium intensities precipitation (below  $8 \text{ mm day}^{-1}$ )  
353 in the monsoon domains and ITCZ are reduced by 10% and 20%, respectively (Figure 7, left  
354 panels). This reduction in the  $4\text{xCO}_2$  experiment is qualitatively consistent with a decrease  
355 in relative humidity in the lower troposphere, a higher lifted condensation level, and increased  
356 convective inhibition in a warming climate [e.g., *Hansen et al.*, 1997; *Fasullo*, 2010]. On the

357 other hand, we find a significant increase in the intensity of frequency of heavy precipitation  
358 (99th percentile of the PDF) reaching values of above 80% for the monsoon and around 50%  
359 for ITCZ (Figure 7, left). This is consistent with estimations by *O’Gorman* [2012], following  
360 the concept of “rich get richer” referring to wet regions getting wetter and dry regions getting  
361 drier [*Kharin et al.*, 2007; *Sun et al.*, 2007; *Neelin et al.*, 2009; *O’Gorman and Schneider*, 2009;  
362 *Chou et al.*, 2009; *Xie et al.*, 2010].

363 The relative changes in precipitation frequencies for monsoonal regions in G1 (Figure 7, right)  
364 indicate that the frequency of intense global precipitation is more strongly reduced over land  
365 than over the ocean, even though the PDF for the ocean describes a longer tail than for the land.  
366 Heavy monsoon rainfall ( $> 10 \text{ mm day}^{-1}$ ) over land is reduced in frequency by around 20%  
367 (further discussed in Section 5.2). A considerable reduction in frequency of heavy precipitation  
368 ( $> 8 \text{ mm day}^{-1}$ ) over the ITCZ is found for both land and ocean with values up to 20% for  
369 land and up to 30% for the ocean for the 99th percentile of the PDF. This reduction in the  
370 frequency of precipitation accompanies a pronounced reduction in evaporation. In comparison  
371 to the  $4x\text{CO}_2$  simulations, perturbations from preindustrial intensities of heavy precipitation are  
372 much smaller in G1.

## 4.2. Seasonal Precipitation Response in Mid-Latitudes

373 Precipitation in mid- and high latitudes is influenced by both local temperature and relative  
374 humidity changes and by the moisture transport from low to mid-latitudes [*Trenberth et al.*,  
375 2003], which vary with season. The strong warming toward higher latitudes in the  $4x\text{CO}_2$  ex-  
376 periment results in increased evaporation and precipitation (as discussed above). In the  $45^\circ\text{N}$   
377 –  $65^\circ\text{N}$  latitude band for the  $4x\text{CO}_2$  experiment, a disproportionate increase in frequency of  
378 medium intensity precipitation is found over land compared to the ocean in winter (see Fig-

ure 8), in alignment with a stronger warming over land than over the ocean (colored diamonds in Figure 8). In addition, heavy precipitation frequencies increase more strongly over the ocean compared to the land (Figure 8, left). In contrast, the frequency of summer median precipitation of  $1 - 3 \text{ mm day}^{-1}$  is reduced for both land and ocean while the frequency of months with heavy precipitation intensities rises above 50% for the 95th percentile of the PDF. These changes likely result in increased aridity in the region, as higher frequencies of heavy rainfall are generally associated with increased runoff [Trenberth and Dai, 2007].

The response of precipitation and evaporation in G1 is robust and negative for all latitude zones except for southern latitudes of  $65^{\circ}\text{S} - 90^{\circ}\text{S}$  (not shown). The strongest relative reduction outside the tropics occurs between  $45^{\circ}\text{N} - 65^{\circ}\text{N}$ , with a decrease in precipitation of 6% over land and 4% over the ocean (Figure 3, right). Seasonal changes are much more pronounced than annual average values (not shown). In summer, a robust reduction of precipitation frequency of around 30% over land and around 25% over the ocean is simulated for months with precipitation intensities between 3 and  $7 \text{ mm day}^{-1}$ . This reduction occurs even though temperatures are about half a degree warmer in mid-latitudes over land for this season. On the other hand precipitation changes are rather small in winter. The strong reduction of the frequency of medium and heavier precipitation (Figure 8, right) at  $45^{\circ}\text{N} - 65^{\circ}\text{N}$  over land coincides with a suppression of clouds, as pointed out by Schmidt *et al.* [2012].

## 5. Monsoonal Regions

### 5.1. Evaluation of Precipitation in Monsoonal Regions

Detailed evaluation of the representation of the global monsoon for a more complete set of CMIP5 models is given in Hsu *et al.* [2013]; Lee and Wang [2012] and Sperber *et al.* [2012]. Here, we focus on evaluating the simulated monsoon areas (shown in Figure 4) and seasonal-

400 ity of precipitation in GeoMIP models using two precipitation datasets, the Global Precipita-  
401 tion Climatology Project (GPCP) and the Tropical Rainfall Measuring Mission (TRMM) [*Liu*  
402 *et al.*, 2012]. The GPCP dataset is based on merged multispectral satellite retrievals and sur-  
403 face observations between 1979 and 2010 [*Adler et al.*, 2003]. TRMM precipitation estimates  
404 are derived from merging satellite radar retrievals with surface gauge estimates [*Huffman et al.*,  
405 2009]. While the TRMM estimates are not global, they do cover latitudes of the major monsoon  
406 systems. Both datasets have shortcomings related to their ability to detect shallow and extra-  
407 tropical rainfall, and distinguish between suspended and falling hydrometeors. Recent work  
408 has suggested that as a result, these products systematically underestimate rainfall amounts  
409 [*Stephens et al.*, 2012], though it remains a challenge to balance the surface energy budget  
410 under these assumptions. Consistent with this, models simulate larger rainfall amounts than  
411 the retrieval estimates by an average of  $0.3 \text{ mm day}^{-1}$ , or 12% (see Table 3, second column),  
412 however much of this excess rainfall is associated with known biases in their representation of  
413 the ITCZ [e.g., *Lin*, 2007; *Zheng et al.*, 2012]. As such, resolving these issues lies beyond the  
414 scope of this manuscript and the range of observations will be estimated here using GPCP and  
415 TRMM datasets. Even though the period of observed precipitation does not coincide with the  
416 experiments performed, evaluation of its large-scale features is possible since changes across  
417 the experiments are in general less than the differences between the two observational datasets  
418 (see Figure 9).

419 The MMM area of the global-land monsoon for all three experiments is similar to that ob-  
420 served, but the global-ocean monsoon area is smaller for most models (Figure 9). The monsoon  
421 domain was shown to not change appreciably over land with increasing  $\text{CO}_2$  concentrations,  
422 besides some changes over Asia were identified [*Lee and Wang*, 2012], which are not apparent

423 using the GeoMIP models. The largest model spread of the land monsoon extent occurs in East  
424 Asia and Australia. The area of the West African land monsoon component is smaller than  
425 observed in all models. Over the ocean, the simulated monsoon areas vary more widely across  
426 models than over land and simulated ocean monsoonal regions are generally smaller than ob-  
427 served in Australia, South Africa, and the South Pacific Convection Zone (SPCZ) while they are  
428 larger than observed in South America. For these regions it is known that model biases are char-  
429 acterized by an excessive Pacific cold tongue and Atlantic zonal gradient. Under G1 forcing, the  
430 area of the monsoonal regions decreases over both land and ocean, generally, while for  $4xCO_2$   
431 conditions, increases in extent are simulated over ocean. The observed land-to-ocean ratio of  
432 global precipitation of 0.89 (for GPCP) is on average 7% smaller in models and falls below 0.80  
433 in half of the models in the GeoMIP archive, resulting in a MMM of 0.82 (Table 4). For the  
434  $4xCO_2$  experiment, the models show on average a slight reduction in the land to ocean ratio,  
435 suggesting a stronger increase of precipitation over the ocean than over land due to moisture  
436 constraints over land and additional warming [e.g., Fasullo, 2012]. In the G1 experiments, the  
437 land to ocean ratio in the MMM does not change significantly and individual models simulate  
438 differences in comparison to observations ranging between -6% to and 3%.

439 The seasonal cycle of global monsoon precipitation over land for 1850 control conditions is  
440 compared to the two independent precipitation datasets (Figures 10 and 11). The comparison  
441 is valid since precipitation changes between 1850 and present day are not expected to be larger  
442 than the estimated transient feedback ( $2 - 3\% K^{-1}$ ), which is less than the uncertainty of ob-  
443 servations. Model precipitation over land is in general larger than observed in the summer SH  
444 monsoons (Figure10). Biases and inter-model spread in monthly mean summer precipitation  
445 are greater over ocean monsoonal regions, than over land, and are particularly large for the

446 SPCZ (Figure 11). Summer precipitation is larger than reported in observations for the MMM  
447 in most regions. The seasonal cycle of the Indian monsoon over ocean has its onset in May and  
448 maximum in June, which is delayed in most models, in agreement with findings by *Seth et al.*  
449 [2010] and *Sperber et al.* [2012].

450 The MMM (Figures 10 and 11) and the mean interannual variability of precipitation over  
451 monsoonal regions for 1850 control conditions is for most regions within the range of GPCP  
452 and TRMM, even though there are large differences between individual models. Simulated de-  
453 ficiencies occur for the Australian, North and South American and South African land monsoon  
454 components and for the South American and SPCZ ocean monsoon. The simulated variability is  
455 excessive for the Indian and South African oceans. However, in general reasonable representa-  
456 tion of the monsoon for most models and regions provides confidence in precipitation responses  
457 simulated by the models under various GeoMIP forcing scenarios.

## 5.2. Precipitation and Evaporation Response in Monsoonal Regions

458 The separation of global monsoon precipitation into its regional components enables an eval-  
459 uation of the regional hydrologic responses (Figure 12). We only consider the summer season  
460 for each region, the time of maximum precipitation (JJA for the Northern Hemisphere and DJF  
461 for the Southern Hemisphere).

462 For the  $4\times\text{CO}_2$  experiment, the median response of all the models is an increase in precipita-  
463 tion for both land and ocean, except for the North American monsoon, where a weakening of  
464 the monsoon precipitation is evident, in agreement with *Lee and Wang* [2012]. In detail for the  
465  $4\times\text{CO}_2$  experiment, a robust increase of precipitation by about  $70 \text{ mm season}^{-1}$  (10%) occurs  
466 over both land and ocean for India and East Asia. A robust decrease of precipitation is simu-

467 lated for North America with values around 30 mm season<sup>-1</sup> (7%) over land and around 40 mm  
468 season<sup>-1</sup> (5%) over the ocean.

469 Evaporation for the 4xCO<sub>2</sub> experiment increases over the ocean and does not change over  
470 land for most regions (Figure 12, the two left whiskers symbols of each region). A robust  
471 decrease in evaporation over land only occurs for the monsoonal regions of America and South  
472 Africa, as overall, the hydrologic cycle strengthens in these simulations. The differences in  
473 monsoonal precipitation response over land and ocean in a warming climate and their governing  
474 mechanisms are further discussed by *Fasullo* [2010], and arise in part from the land-ocean  
475 contrast in warming and a reduction in evaporation due to reduced stomatal conductance in a  
476 high CO<sub>2</sub> environment.

477 For the G1 experiments, a decrease in median precipitation is simulated for all regions except  
478 for a zero change for Australia over land (see Figure 12, top and middle panel). In particular,  
479 we find a decrease in precipitation over land for East Asia ( $\approx 40$  mm season<sup>-1</sup>, 6%), North and  
480 South America ( $\approx 30$  mm season<sup>-1</sup>, 7% and 6%, respectively) where all models agree on the  
481 sign of the change, and South Africa (7 mm season<sup>-1</sup>, 5%), where 95% of the models agree on  
482 the sign of the change. The relative multi-model median differences between the G1 and 1850  
483 experiment also exceed the interannual variability of G1 (dark red error bars), reported changes  
484 are therefore significant. Precipitation is reduced over India by  $\approx 15$  mm season<sup>-1</sup> (2%), with  
485 a reduction simulated for more than 75% of the models. However, due to the large interannual  
486 variability the change is not considered to be significant. Also, precipitation changes over the  
487 ocean for most regions are not significant due to the large interannual variability.

488 Departures of the multi-model median precipitation in G1 from the 1850 control over land  
489 are much smaller for Australia, West Africa, and Asia, than those of the 4xCO<sub>2</sub>, but they are at

490 least half as large for South Asia. For the other regions, departures of the multi-model median  
491 precipitation in G1 are similar for North and South America and South Africa to those of the  
492  $4\times\text{CO}_2$  simulation, with North America being the only region that shows a change in the same  
493 direction for both experiments. Evaporation over land is strongly decreased for all the models  
494 in G1 (Figure 12, bottom panel) with strongest reductions over East Asia, North and South  
495 America, and South Africa. The disproportionate cooling of the ocean might allow less moisture  
496 to be drawn from the ocean, which may further contribute to the reduction of precipitation and  
497 evaporation over land [*Boos and Kuang, 2010*].

498 The frequency of the precipitation intensity for the  $4\times\text{CO}_2$  experiment between the 25th and  
499 75th percentile of the PDF is reduced for all regions and models, whereas heavy precipitation  
500 frequency increases (Figure 13), as has also been noted in other recent work [*Chou et al., 2012*].  
501 In some respects the Asian monsoon is unique as the heating over ocean draws water vapor  
502 from the Arabian Sea and the Bay of Bengal to support rainfall over land [*Fasullo, 2012*],  
503 which is likely responsible for the increase in precipitation frequency for months that experience  
504 heavy precipitation of more than  $10\text{ mm day}^{-1}$  over land and ocean (Figure 13, left, first and  
505 second row). The increase in heavy precipitation is limited over land for North and South  
506 American monsoon domains. For North America, this results in reduction of precipitation for  
507 most models, which may be a result of its negligible ocean component and inland location,  
508 where moisture is limited and evapotranspiration is reduced.

509 In contrast to the  $4\times\text{CO}_2$  experiment, the geoengineering simulations show an increase in the  
510 frequency of small and medium precipitation intensity that coincides with a slight increase in  
511 tropical stability, as discussed above. For all regions considered besides India, the frequency of  
512 months with medium to heavy precipitation intensity is reduced, with a larger reduction over



513 land than over the ocean (Figure 13, right). Reductions in the frequency of heavy precipitation  
514 over North and South American monsoonal regions are particularly large around 20 – 30% for  
515 intensities above the 95th percentile of the distribution.

516 The spread of the precipitation response across individual models is in general much larger  
517 for 4xCO<sub>2</sub> than for G1, suggesting less variability and therefore less uncertainty in the results  
518 in signatures of precipitation change in the G1 simulations. Some models are outliers regarding  
519 their precipitation and evaporation responses (see Figure 14). The GISS-E2-R model stands out  
520 in simulating the largest reduction in evaporation over land for most regions, which is consistent  
521 with the largest global precipitation reduction in G1 compared to the other models, as discussed  
522 above. EC-Earth and BNU-ESM models show the smallest reduction in evaporation in G1 and  
523 the largest increase for the 4xCO<sub>2</sub> experiment over land. For EC-Earth this is consistent with the  
524 missing stomatal conductance effect with changing CO<sub>2</sub> concentrations (as discussed above).  
525 However, the extreme behavior in evaporation in these models is not reflected in the monsoonal  
526 summer precipitation response for most regions. This is because the monsoonal precipitation  
527 is influenced by both local and large-scale processes, due to the complex interactions of the  
528 different components that contribute to the hydrological cycle.

## 6. Discussion

529 The simulated precipitation and evaporation changes in the highly idealized 4xCO<sub>2</sub> and G1  
530 experiments point to the fact that the hydrological cycle would be perceptibly weakened by SRM  
531 in comparison to the 1850 control. Precipitation and evaporation are considerably reduced in  
532 G1, while departures from the preindustrial control are generally smaller or similar to those  
533 that occur in the 4xCO<sub>2</sub> experiment with no geoengineering performed. Further, the expected  
534 increase in flooding in a high CO<sub>2</sub> environment, especially over the Asian monsoonal regions,

535 is reduced in G1. Instead, a reduction in frequency of heavy precipitation, of much smaller  
536 but considerable magnitude than in the  $4\times\text{CO}_2$  experiment, is simulated in G1 compared to the  
537 control.

538 However, from this analysis it is not clear how simulated changes in the  $P - E$  balance  
539 are going to impact runoff and soil moisture. These highly uncertain variables depend often on  
540 simple parameterizations in climate models [e.g., *Trenberth et al.*, 2003] and improved and more  
541 detailed and complex models are necessary to investigate the full impact of SRM on changes in  
542 regional soil moisture and aridity, with inherent consequences for vegetation. In addition, more  
543 realistic experiments are required to support these findings, for instance future transient model  
544 simulations with more realistic forcings as designed in other GeoMIP experiments (G3 and G4,  
545 described in *Kravitz et al.* [2011]).

546 The G1 experiment applies a reduced solar constant to simulate a uniform reduction of the  
547 Earth's planetary albedo, which might be achieved by placing mirrors into space [e.g., *Angel*,  
548 2006]. It has to be pointed out that the climate response of such an experiment may be very  
549 different from other theoretical experiments where, for instance, stratospheric aerosols are used  
550 to reduce the planetary albedo. However, such experiments do not usually provide as large a  
551 negative forcing as G1, thereby making them less useful experiments for examining the gross  
552 aspects of hydrological sensitivity of interest here. In addition, stratospheric aerosols result in  
553 heating of the stratosphere, particularly in the tropics, and this results in dynamic perturbations  
554 [e.g., *Stenchikov et al.*, 2002; *Tilmes et al.*, 2009]. Changes in heating rates in the stratosphere  
555 and at the tropopause are likely to further influence the tropospheric lapse rate, which may  
556 alter relative humidity and the hydrological cycle. Furthermore, changes in stratospheric and  
557 tropospheric chemical composition are likely to interact with radiation and dynamics while in

558 this study the impact of increasing CO<sub>2</sub> concentration with and without SRM on chemistry is not  
559 considered. Finally, the interaction of thermal and hydrological changes with biogeochemical  
560 cycles (e.g., of carbon, nitrogen) in the land and ocean in the context of SRM is an interesting  
561 topic that requires further investigation.

## 7. Summary

562 In this study we have explored the robustness of the simulated global and regional response  
563 of the hydrologic cycle to a reduction of incoming solar radiation. The solar constant was  
564 reduced to counteract the radiative imbalance at the top of the atmosphere from quadrupling of  
565 preindustrial CO<sub>2</sub>. The hydrologic response of this experiment compared to 1850 conditions is  
566 contrasted to the response of a quadrupling CO<sub>2</sub> experiment without solar dimming.

567 The abrupt increase of CO<sub>2</sub> in both 4xCO<sub>2</sub> and G1 experiments leads to an initial decrease of  
568 upwelling latent heat flux at the Earth's surface, mainly controlled by the changes in the increase  
569 of atmospheric stability, which has a global impact on evaporation. In addition, changes in  
570 evapotranspiration over land strongly affect the precipitation over land. These changes have  
571 implications for temperatures, clouds, and precipitation.

572 The response due to the warming in the 4xCO<sub>2</sub> experiment leads to global precipitation in-  
573 creases of 34 mm a<sup>-1</sup> (3.6%) over land and 79 mm a<sup>-1</sup> (6.8%) over the ocean considering the  
574 multi-model mean and around 6.2% for the multi-model median. East Asia and India experience  
575 a robust precipitation increase of around 10%. On the other hand, a robust regional reduction  
576 in precipitation for the North American monsoon of around 7% over land is simulated. The  
577 frequency of weak and medium precipitation intensities is generally reduced for monsoonal re-  
578 gions while the frequency of heavy precipitation is strongly increased which likely results in  
579 increased aridity and flooding in these regions.

580 Solar reduction in G1 is found to significantly impact precipitation and evaporation in both the  
581 tropics and mid-latitudes. Despite a stabilization of global mean temperatures in G1, the tropics  
582 experience a cooling while high latitudes are warming. This results in a robust (in the sense  
583 that most models provide the same signature, unlike the  $4xCO_2$  simulations) global decrease in  
584 precipitation of  $43 \text{ mm a}^{-1}$  (4.5%) over land and  $50 \text{ mm a}^{-1}$  (4.3%) over the ocean considering  
585 the multi-model mean and 3.6% over land and 4.6% over ocean for the multi-model median.  
586 Significant changes of precipitation and evaporation are also simulated for mid-latitudes, with  
587 a maximum reduction in the  $45^\circ\text{N} - 65^\circ\text{N}$  band for G1 of 6% over land and 4% over the ocean.  
588 For this region, the frequency of monthly precipitation intensity between  $3$  and  $7 \text{ mm day}^{-1}$  is  
589 reduced by about 30% over land and 25% over the ocean in summer, while changes are much  
590 smaller in winter. These changes are generally smaller than the changes produced by the  $4xCO_2$   
591 forcing.

592 A detailed analysis of monsoonal precipitation and evaporation provides information about  
593 regional impacts of geoengineering. The statistics of total precipitation, monsoonal area, and  
594 seasonal cycle performed in the control experiments are in general in good agreement with  
595 observational estimates for present day conditions using GPCP and TRMM datasets, besides the  
596 delay of the onset of the Indian monsoon over the ocean for most models. Further, the simulated  
597 global land to ocean ratio of precipitation is about 7% smaller compared to observations, due to  
598 an overestimate of the amount of the precipitation in various monsoonal regions over the ocean  
599 during their peak season. Also, observations might underestimate precipitation in those regions  
600 [*Stephens et al.*, 2012]. This may have an impact on the response of the climate forcings in  
601 different experiments, but cannot be identified for individual model responses.

602 Considering the multi-model median and the interannual variability of G1, we find a robust  
603 and significant decrease of monsoonal precipitation over land for East Asia (6%), North Amer-  
604 ica (7%), South America (6%) and South Africa (5%), and a robust but not significant decrease  
605 of 2% over India. These decreases occur primarily in months with larger precipitation intensities  
606 (above the 95th percentile of the precipitation distribution) which experience a robust decrease  
607 in frequency of about 20% for all monsoonal regions. These changes are in general greater  
608 over land than over the ocean. Decreases in evaporation of more than 10% occur over land as  
609 compared to 3% over the ocean.

610 The resulting weakening of the hydrologic cycle due to SRM in comparison to the control re-  
611 duces precipitation and evaporation over land by a considerable amount globally and especially  
612 in most monsoonal regions and in north mid-latitudes. On the other hand, dramatic increases in  
613 heavy precipitation globally in the  $4xCO_2$  experiment and inferred increases in flooding might  
614 be prevented by SRM as extreme rainfall intensities are likely to decrease, especially for Asian  
615 monsoonal regions, if G1 type geoengineering is to be employed. Changes in soil moisture and  
616 implications for agriculture as a result of SRM should be investigated in future studies and more  
617 work is needed to assess the positive and negative consequences of this effect on the biosphere  
618 and society, using more appropriate models and realistic scenarios. In summary, we have shown  
619 that even though global temperatures can be approximately balanced in this experiment, the hy-  
620 drological cycle does not resume control conditions, even though extreme deviations from the  
621 control are in general smaller than in an experiment where no geoengineering is performed.

## Appendix A: Importance of an Interactive Land Carbon Cycle to the Surface Energy Budget in CESM4

622 The importance of changes in stomatal conductance for the surface energy budget due to  
623 abrupt CO<sub>2</sub> increase for the 4xCO<sub>2</sub> and G1 experiments is discussed here in the context of ad-  
624 ditional CCSM4 simulations. Changes during the first year of each GeoMIP simulation (Figure  
625 A1) are contrasted to simulations that assume background CO<sub>2</sub> levels in the land component of  
626 the model and therefore ignore the impact of CO<sub>2</sub> on the stomatal conductance (Figure A2).

627 The responses of surface fluxes of latent heat (LH) and sensible heat (SH), and relative humid-  
628 ity (RH) are very similar in the GeoMIP G1 and 4xCO<sub>2</sub> simulations (Figure A1 and A2), even  
629 though the downwelling shortwave (SW) radiation is of opposite sign due to the global decrease  
630 in solar intensity in G1. Over land, LH and RH are strongly reduced at most latitudes with the  
631 largest absolute changes in LH occurring in the tropics (Figure A1, second row). Further, SH  
632 and net LW flux (not shown) over land increase to balance the reduction in LH.

633 Simulations omitting the response in stomatal conductance reveal the importance of this pro-  
634 cess (Figure A2). Changes in LH and RH over land are small relative to the GeoMIP simu-  
635 lations including the influence of stomatal conductance (Figure A2, second row). Significant  
636 differences are also obvious for the other fields over land. For instance, if the stomatal response  
637 is turned off, warming over land is small under G1 conditions (Figure A2, first row), whereas  
638 it exceeds 0.5 K when the stomatal response is included (Figure A1, first row), consistent with  
639 earlier studies [e.g., *Joshi et al.*, 2008; *Cao et al.*, 2010]. On the other hand, no significant  
640 differences over ocean are evident.

641 Therefore, these simulations suggest that the fast precipitation response over land is strongly  
642 influenced by stomatal conductance. A reduction of LH in both 4xCO<sub>2</sub> and G1 experiments is

643 to a large part controlled by the abrupt reduction of the stomatal conductance of plants due to the  
644 increase of  $\text{CO}_2$ , which in turn impacts temperature, precipitation, and clouds [*Ban-Weiss et al.*,  
645 2011; *Fyfe et al.*, 2013]. For the  $4\times\text{CO}_2$  experiment, clouds decrease over land in mid-latitudes  
646 but increase markedly in the deep tropics (Figure A1). Increases in the deep tropics appear to be  
647 related to warming of the land surface and an overall strengthening of the hydrologic cycle in  
648 the  $4\times\text{CO}_2$  experiment and a resultant increase in convective instability, rainfall, and large-scale  
649 convergence. For G1, changes in clouds are relatively weak throughout most of the tropics, and  
650 coincide with an overall weakening of the hydrologic cycle, a reduction in LH flux compared  
651 to the  $4\times\text{CO}_2$  experiment, which further interacts with the downwelling SW radiation. If the  
652 stomatal conductance is not adjusted to high  $\text{CO}_2$  concentrations, the initial increase in rainfall  
653 over land in the  $4\times\text{CO}_2$  experiment (Figure A2 first column, third row) is actually smaller than  
654 with the adjustment (Figure A1 first column, third row) for the first year of the simulation, which  
655 coincides with less warming over land. Subsequent work is warranted to more fully understand  
656 these changes and to investigate the robustness of these results by examining other models.

657 **Acknowledgments.** We thank all participants of the Geoengineering Model Intercomparison  
658 Project and their model development teams, the CLIVAR/WCRP Working Group on Coupled  
659 Modeling for endorsing GeoMIP, and the scientists managing the Earth System Grid data nodes  
660 who have assisted with making GeoMIP output available. We further acknowledge the World  
661 Climate Research Programme's Working Group on Coupled Modelling, which is responsible  
662 for CMIP, and we thank the climate modeling groups for producing and making available their  
663 model output. For CMIP the U.S. Department of Energy's Program for Climate Model Diag-  
664 nosis and Intercomparison provides coordinating support and led development of software in-  
665 frastructure in partnership with the Global Organization for Earth System Science Portals. We

666 thank the TRMM Online Visualization and Analysis System (TOVAS) and the GPCC Global  
667 Precipitation Climatology Centre for providing the rainfall dataset. The participation of J. Fa-  
668 sullo is supported by NASA Award NNG06GB91G. J. Haywood and A. Jones were supported  
669 by the joint DECC/Defra Met Office Hadley Centre Climate Programme (GA01101). K. Al-  
670 terskjær, D. B. Karam, J. E. Kristjánsson, U. Niemeier, H. Schmidt, and M. Schulz received  
671 funding from the European Unions Seventh Framework Programme (FP7/2007-2013) under  
672 grant agreement no. 226567-IMPLICC. K. Alterskjær and J. E. Kristjánsson received support  
673 from the Norwegian Research Council's Programme for Supercomputing (NOTUR) through a  
674 grant of computing time. B. Kravitz is supported by the Fund for Innovative Climate and En-  
675 ergy Research. Simulations performed by B. Kravitz were supported by the NASA High-End  
676 Computing (HEC) Program through the NASA Center for Climate Simulation (NCCS) at God-  
677 dard Space Flight Center. Computer resources for P. J. Rasch, B. Singh, and J.-H. Yoon, were  
678 provided by the National Energy Research Scientific Computing Center, which is supported  
679 by the Office of Science of the U.S. Department of Energy under Contract No. DE-AC02-  
680 05CH11231. J.-H. Yoon was further supported by the NERSC. D. Ji and J. Moore thank all  
681 members of the BNU-ESM model group, as well as the Center of Information and Network  
682 Technology at Beijing Normal University for assistance in publishing the GeoMIP dataset. A.  
683 Robock is supported by US National Science Foundation grant AGS-1157525. S. Watanabe  
684 was supported was supported by SOUSEI Program, MEXT, Japan, and the Earth Simulator was  
685 used for the simulations of MIROC-ESM. Finally, we thank Gary Strand for CCSM4 output  
686 formatting and James Hurrell for supporting this study. The National Center for Atmospheric  
687 Research is funded by the National Science Foundation.



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**Table 1.** Global temperature response (in K) and solar constant reduction of the 12 GeoMIP models, summarized in *Kravitz et al.* [2013]. First ten years of each 4xCO<sub>2</sub> ensemble are disregarded in the values shown here.

Model	4xCO <sub>2</sub> years	G1 years	4xCO <sub>2</sub> minus 1850	G1 minus 4xCO <sub>2</sub>	G1 minus 1850	Solar Reduction (W/m <sup>2</sup> )
BNU-ESM	150	102	5.71	-5.08	0.63	3.8%
CanESM2	150	300	5.42	-5.41	0.01	4.0%
CESM-CAM5.1-FV	150	59	4.82	-5.02	-0.21	4.7%
CCSM4	255	150	4.48	-4.26	0.22	4.1%
EC-EARTH DMI	50	50	4.38	-4.32	0.06	4.3%
GISS-E2-R	210	210	2.86	-3.16	-0.30	4.5%
HadGEM2-ES	75	70	5.19	-5.07	0.12	3.9%
HadCM3	149	98	5.11	-5.04	0.07	4.1%
IPSL-CM5A-LR	260	50	5.59	-5.50	0.10	3.5%
MIROC-ESM	150	52	5.91	-6.13	-0.22	5.0%
MPI-ESM-LR	150	70	5.36	-5.40	-0.04	4.7%
NorESM1-M	150	50	3.76	-3.79	-0.02	4.0%

**Table 2.** Convection schemes of different GeoMIP models

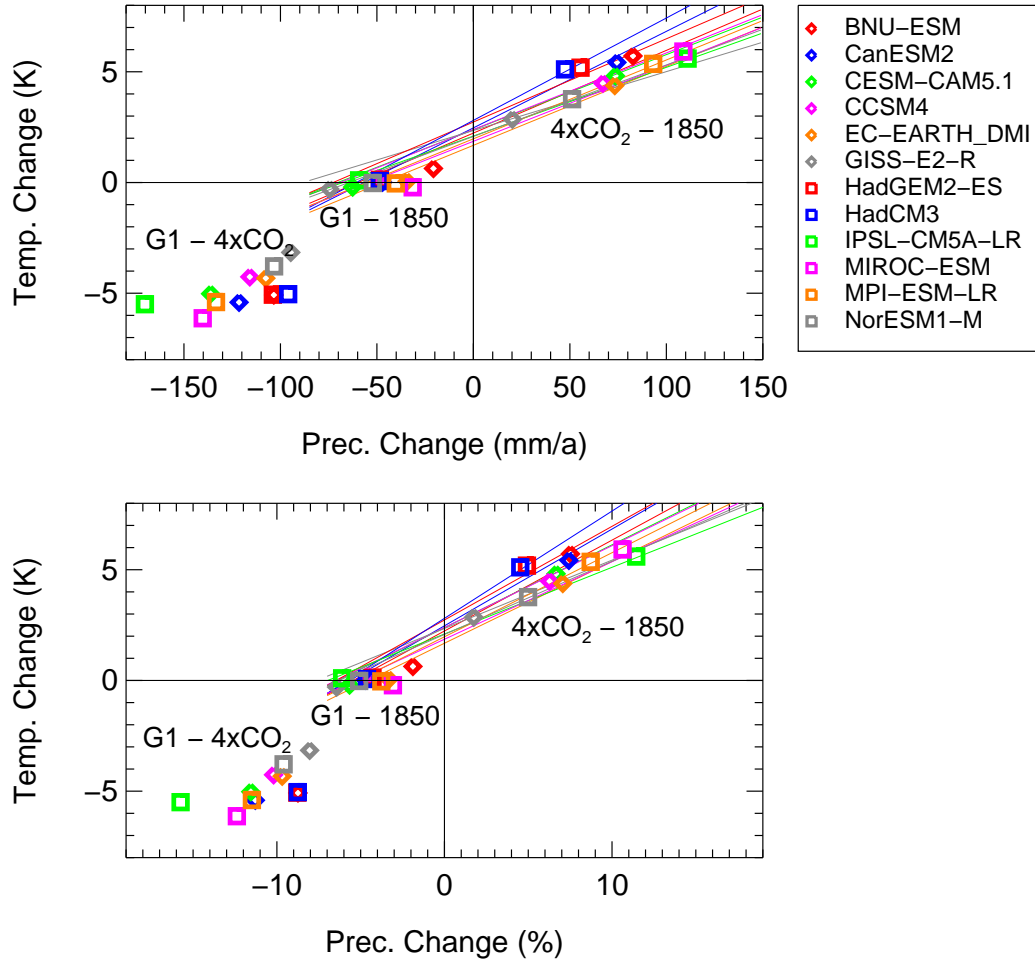
Model	Convection Scheme
BNU-ESM	based on <i>Zhang and McFarlane</i> [1995] convection scheme [ <i>Zhang and Mu</i> , 2005]
CanESM2	separated deep moist (bulk mass flux) scheme and shallow moist convection scheme [ <i>von Salzen et al.</i> , 2013]
CESM-CAM5.1-FV	same deep convection scheme as in CCSM4, but with updated shallow convection scheme [ <i>Park and Bretherton</i> , 2009]
CCSM4	deep convection is treated by the <i>Zhang and McFarlane</i> [1995] scheme, with improvements due to <i>Richter and Rasch</i> [2008] and <i>Neale et al.</i> [2008]
EC-EARTH DMI	bulk mass flux scheme for deep shallow and mid-level convection <i>Tiedtke</i> [1989] with updates ( <a href="http://www.ecmwf.int/research/ifsdocs/CY33r1/PHYSICS/IFSPart4.pdf">http://www.ecmwf.int/research/ifsdocs/CY33r1/PHYSICS/IFSPart4.pdf</a> )
GISS-E2-R	mass flux approach to cumulus parameterization with one undiluted and one entraining plume, similar to <i>Del Genio and Yao</i> [1993]
HadGEM2-ES	mass-flux convection scheme with representation of cloud ensemble characteristics and stability-dependent closure [ <i>Gregory and Powntree, P.</i> , 1990].
HadCM3	mass flux convection scheme with representation of cloud ensemble characteristics and stability-dependent closure [ <i>Gregory and Powntree, P.</i> , 1990]
IPSL-CM5A-LR	deep convection scheme using the episodic mixing and buoyancy sorting Emanuel scheme [ <i>Emanuel</i> , 1991].
MIROC-ESM	modified version of the <i>Arakawa and Schubert</i> [1974] scheme [ <i>Emori et al.</i> , 2001]
MPI-ESM-LR	bulk mass flux scheme for deep shallow and mid-level convection [ <i>Tiedtke</i> , 1989] with modifications for penetrative convection according to <i>Nordeng</i> [1994].
NorESM1-M	deep convection is treated by the <i>Zhang and McFarlane</i> [1995] scheme, with improvements due to <i>Richter and Rasch</i> [2008] and <i>Neale et al.</i> [2008]

**Table 3.** Global averaged precipitation with global, land, and ocean averages (in  $\text{mm d}^{-1}$ ) for 1850 control simulations and percent change of global precipitation of  $4\times\text{CO}_2$  and G1 simulations compared to the 1850 control case. Estimates from Global Precipitation Climatology Project (GPCP) dataset (between 1979 and 2010) [Adler *et al.*, 2003] are  $2.60 \pm 0.03 \text{ mm d}^{-1}$  globally,  $2.45 \pm 0.05 \text{ mm day}^{-1}$  over land and  $2.76 \pm 0.03 \text{ mm day}^{-1}$  over the ocean.

Model	1850 ( $\text{mm day}^{-1}$ )			$4\times\text{CO}_2$ (% change)			G1 (% change)		
	global	land	ocean	global	land	ocean	global	land	ocean
BNU-ESM	3.01	2.90	3.22	7.5	6.9	6.3	-1.9	-1.4	-2.5
CanESM2	2.74	2.13	3.08	7.4	2.2	7.6	-4.7	-6.9	-4.2
CESM-CAM5.1-FV	3.03	2.59	3.31	6.7	8.7	5.2	-5.7	-5.3	-5.6
CCSM4	2.93	2.85	3.12	6.3	8.0	4.9	-4.6	-2.1	-5.2
EC-EARTH DMI	2.84	2.40	3.13	7.1	6.1	6.8	-3.3	-2.8	-3.4
GISS-E2-R	3.17	3.01	3.40	1.7	-5.4	3.6	-6.4	-10.5	-4.6
HadGEM2-ES	3.09	2.70	3.43	4.9	-1.1	6.3	-4.3	-3.1	-4.7
HadCM3	2.87	2.58	3.11	4.5	-2.2	5.8	-4.6	-3.6	-4.8
IPSL-CM5A-LR	2.66	2.27	3.00	11.4	7.9	12.4	-6.1	-10.1	-4.3
MIROC-ESM	2.80	2.74	2.93	10.6	3.7	11.0	-3.1	-3.7	-2.8
MPI-ESM-LR	2.92	2.30	3.26	8.7	1.9	9.5	-3.8	-2.5	-3.9
NorESM1-M	2.81	2.76	2.97	5.0	7.4	3.5	-5.1	-2.9	-6.0
Model Mean	2.91	2.60	3.16	6.7	3.6	6.8	-4.5	-4.5	-4.3
GPCP (present)	2.60	2.45	2.76						

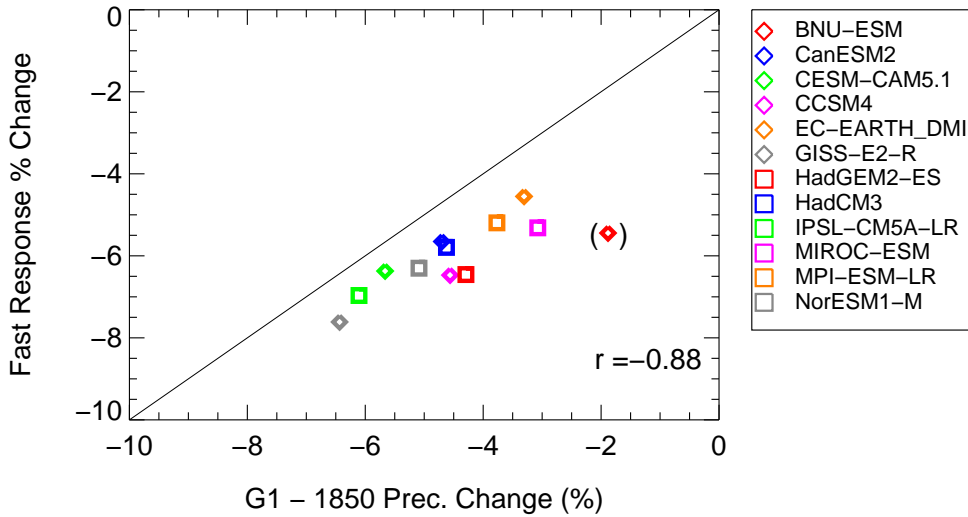
**Table 4.** Global land/ocean precipitation ratio for 1850 control, 4xCO<sub>2</sub> and G1 simulations and estimates from Global Precipitation Climatology Project (GPCP) dataset [Adler *et al.*, 2003].

Model	1850	4xCO <sub>2</sub>	G1
BNU-ESM	0.90	0.91	0.91
CanESM2	0.69	0.66	0.67
CESM-CAM5.1-FV	0.78	0.81	0.78
CCSM4	0.92	0.94	0.95
EC-EARTH DMI	0.77	0.76	0.77
GISS-E2-R	0.89	0.81	0.83
HadGEM2-ES	0.79	0.73	0.80
HadCM3	0.83	0.77	0.84
IPSL-CM5A-LR	0.76	0.72	0.71
MIROC-ESM	0.94	0.87	0.93
MPI-ESM-LR	0.71	0.66	0.72
NorESM1-M	0.93	0.96	0.96
Model Mean	0.82	0.80	0.82
GPCP (present)	0.89		

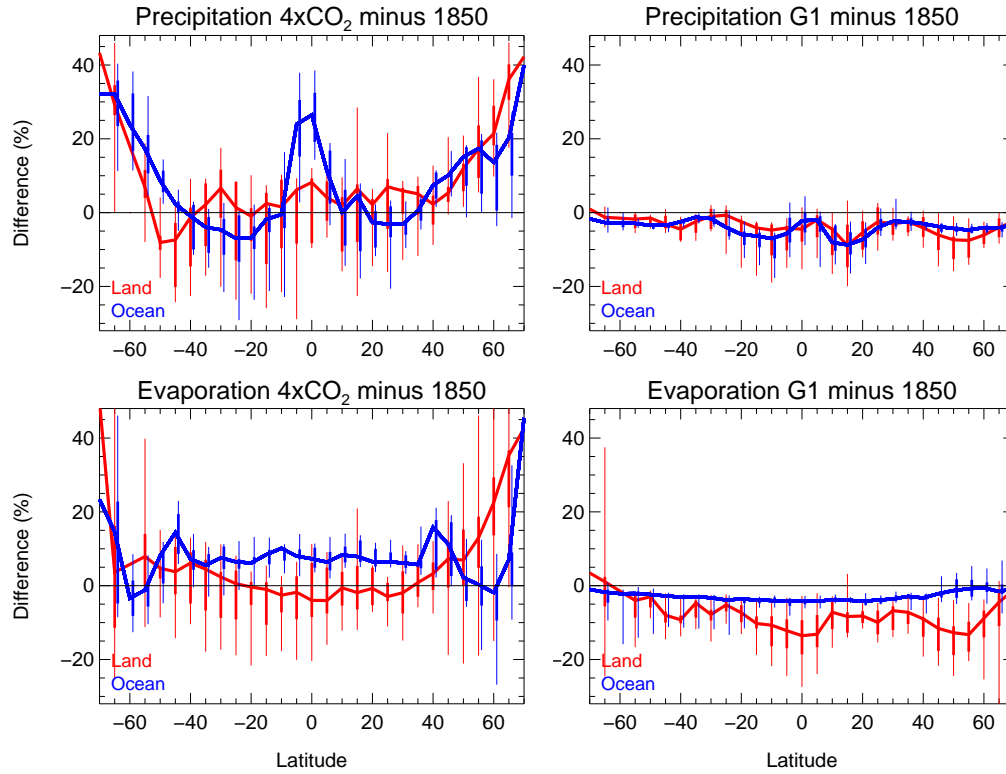


**Figure 1.** Annual and global averages of absolute (top) and relative (bottom) precipitation response to change in temperature for three cases:  $4xCO_2 - 1850$ ,  $G1 - 4xCO_2$ , and  $G1 - 1850$ . All symbols are averages over all available ensemble members for each model and all years, besides the first 10 for the  $4xCO_2$  experiment, see text and Table 1. For each model a linear fit (colored line) is derived from the precipitation response for the  $4xCO_2$  experiment compared to 1850 conditions based on the results of the first 10 years of each simulation.

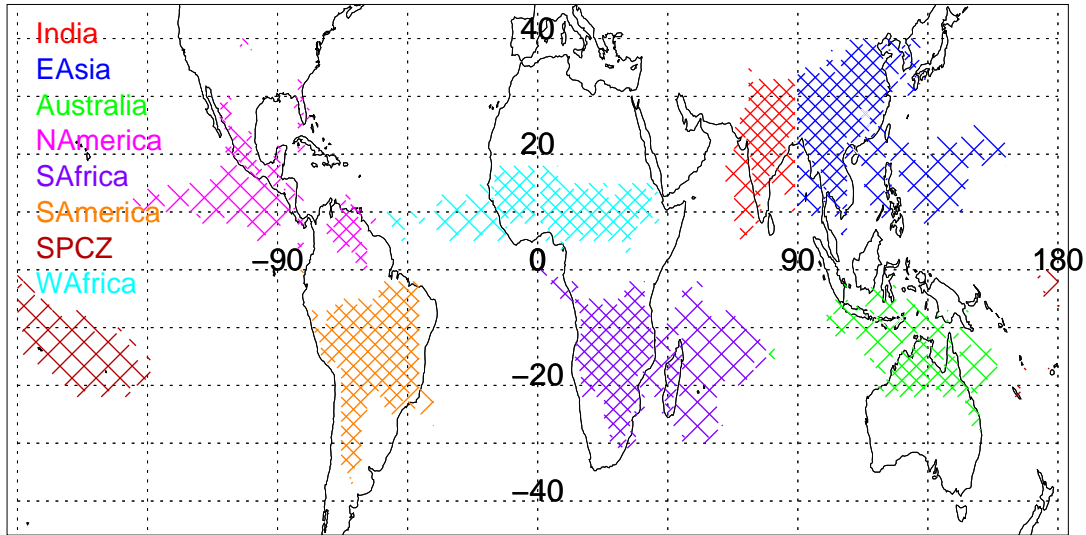




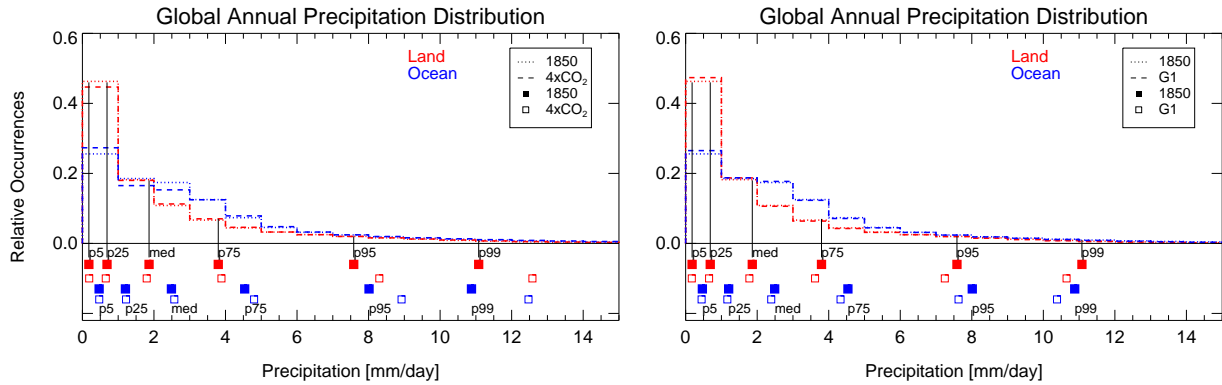
**Figure 2.** Fast precipitation response (see text) in relative terms derived from Figure 1 (bottom panel) versus precipitation change for G1 - 1850 for different models (colored symbols). The correlation of these values (lower right corner) is derived while ignoring the BNU-ESM model (see text for further details).



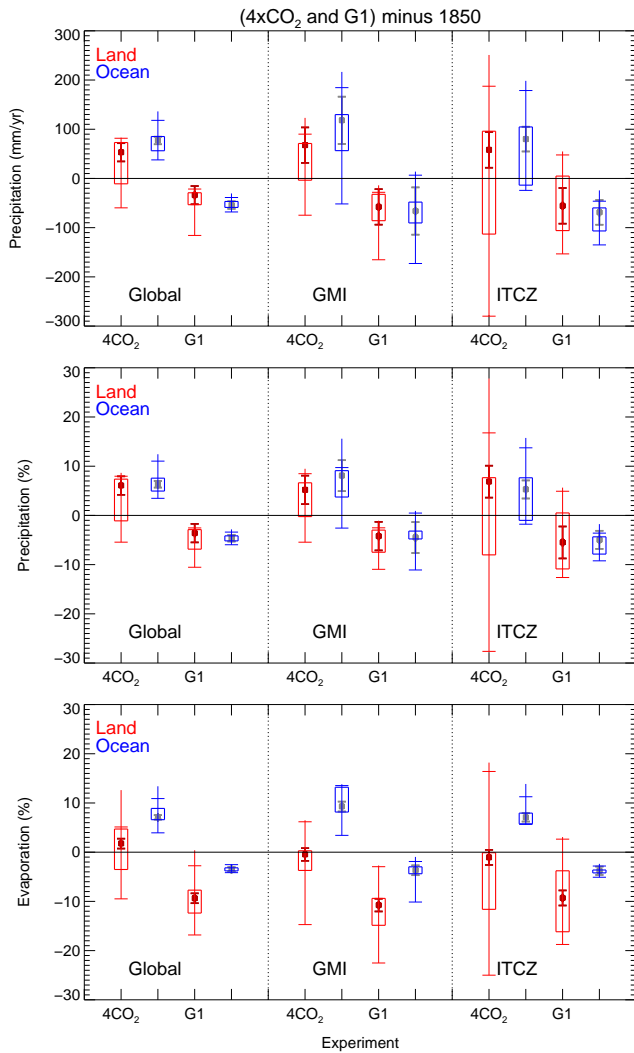
**Figure 3.** Annually averaged multi-model median zonal changes over land (red solid line) and over the ocean (blue solid line) between  $4xCO_2$  (left) and G1 (right) experiments with regard to 1850 conditions for the following variables: relative changes in precipitation (top) and evaporation (bottom). The multi-model ranges of the 5th and 95th percentile are illustrated as thin vertical lines, the 25th and 75th percentile as vertical thicker lines.



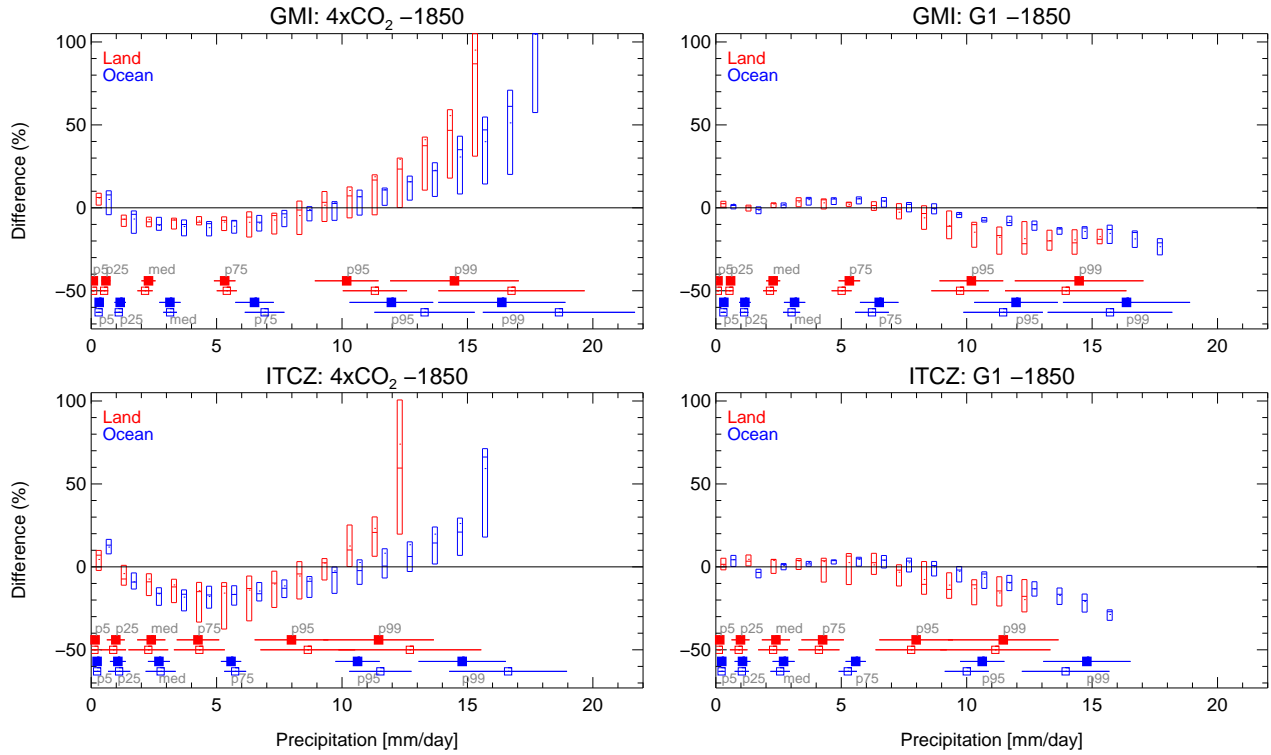
**Figure 4.** Monsoonal regions (different colors) over land (small hashes) and ocean (large hashes), derived from the Global Precipitation Climatology Project (GPCP) dataset [Adler *et al.*, 2003], covering the years 1979 to 2010, and using criteria described in Wang and Ding [2006], see text for more details. The North and South American monsoon are defined here as the American monsoon North and South of the equator, respectively.



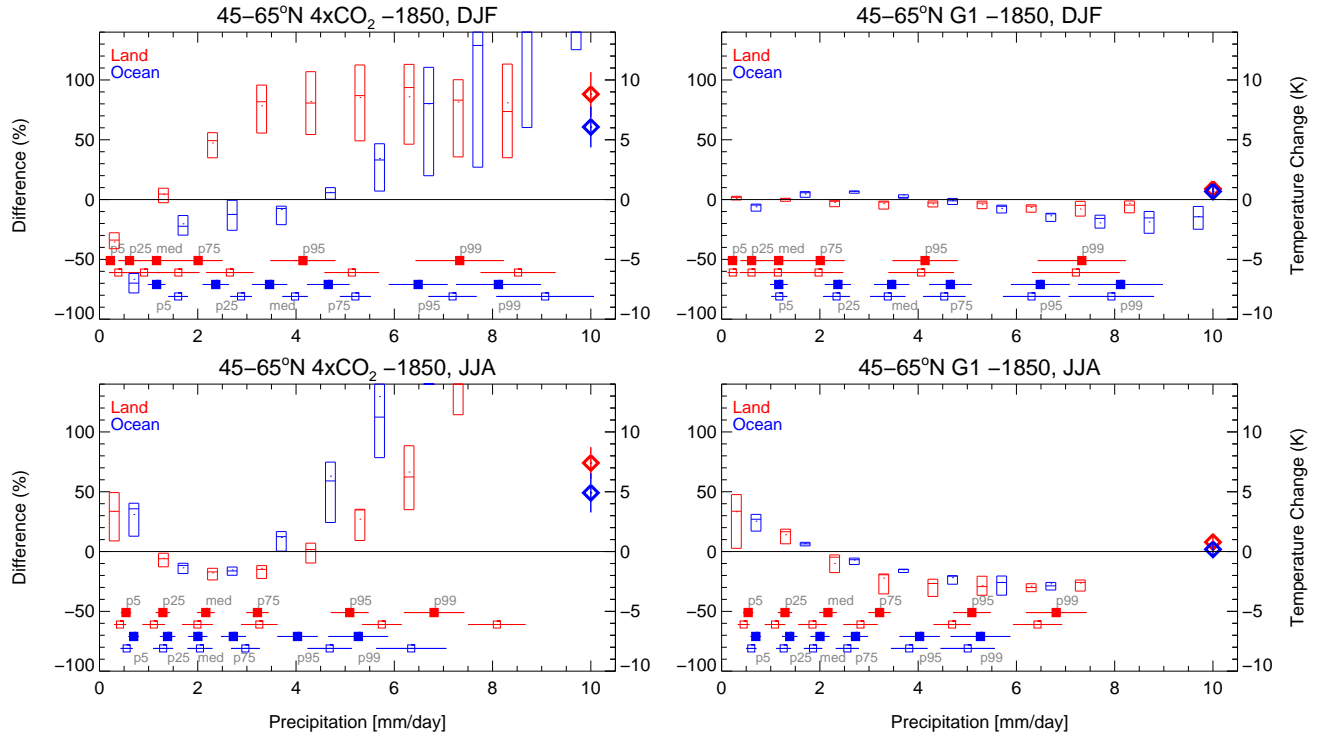
**Figure 5.** Illustration of statistics for annually averaged multi-model mean probability density function (PDF) of precipitation for land (red) and ocean (blue). The PDF for the 1850 control experiment is shown as dotted lines, the PDF for the 4xCO<sub>2</sub> (left column) and G1 (right column) experiments are shown as dashed lines. The statistics of each distribution are described in median and percentiles for each PDF. As an example, the median and percentiles of the PDF for 1850 over land (red dotted line) are marked as black lines in both panels. The corresponding values are illustrated as red filled squares in the lower part of the figure. Corresponding values for the ocean are shown as blue filled squares, and open colored squares for the other distributions (4xCO<sub>2</sub> PDF: left, G1 PDF: right).



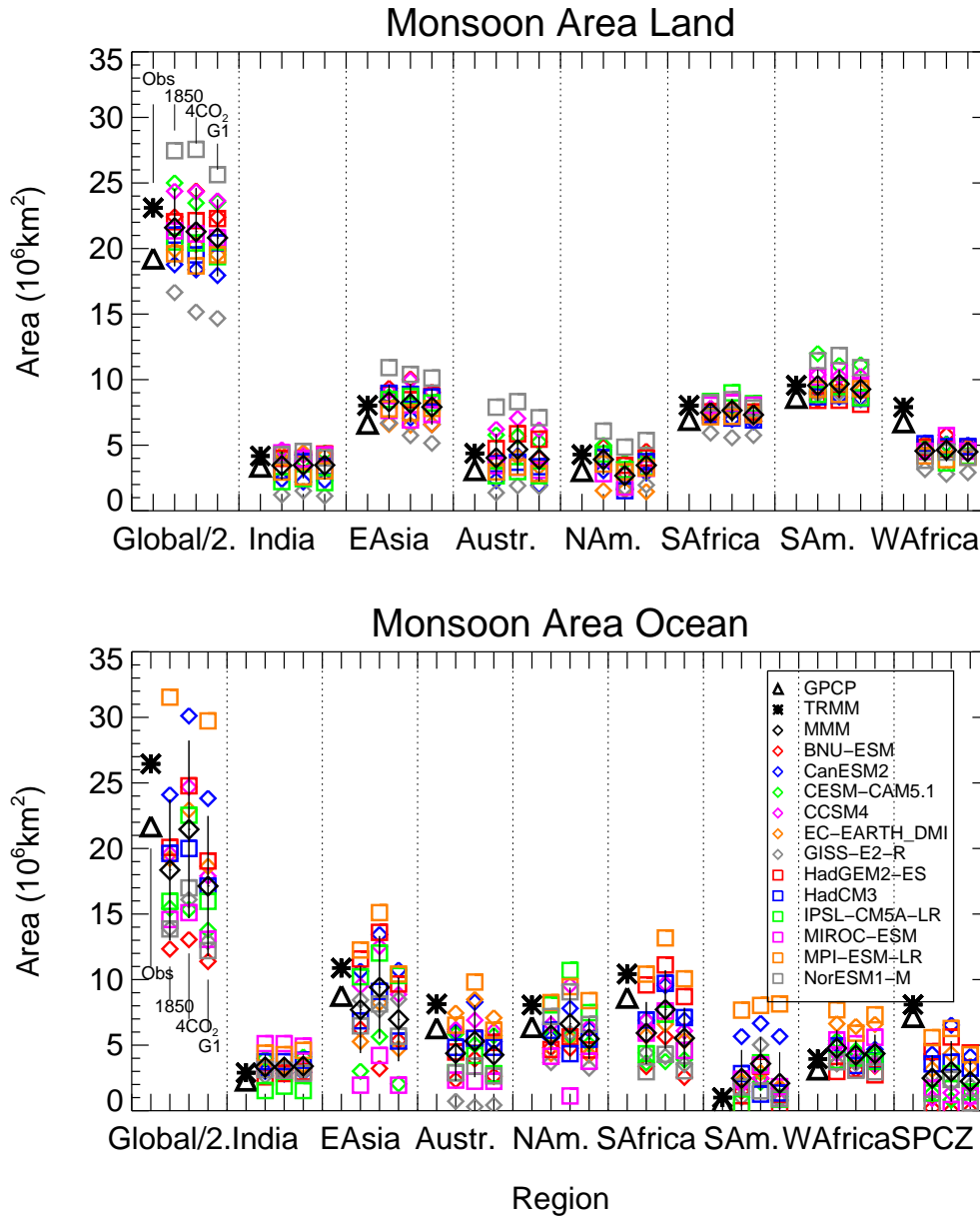
**Figure 6.** Annually averaged absolute (top) and relative change of precipitation (middle) and evaporation (bottom) for 4xCO<sub>2</sub> and G1 with regard to 1850 conditions. Results are for land (red) and ocean (blue) and for different regions: global (left), global monsoon index (GMI) (middle) and ITCZ averages (right). The multi-model range is illustrated by a vertical line, the 25th and 75th percentile of multi-model results are illustrated as a colored box, and the 5th and 95th percentile are illustrated as horizontal bars. In addition, the multi-model median is shown as solid symbols and the interannual variability of each experiment, represented by the median standard deviation of annual averages for each model, is shown as error bars pointing off the median of the multi-model results (dark red for land and grey for ocean). The two left whisker plots for each region indicate the 4xCO<sub>2</sub> statistics. The two rightmost whisker plots indicate the G1 statistics.



**Figure 7.** Percent differences of global precipitation frequencies of annually averaged multi-model results for  $4xCO_2$  simulations (left) and G1 simulations (right) with regard to 1850 conditions based on monthly output. Results are for land (red) and ocean (blue) and for different regions consistent with Figure 6. The multi-model median (horizontal line) and the 25th and 75th percentile (box) are illustrated for  $1 \text{ mm day}^{-1}$  precipitation increments. Due to the small fraction of the PDFs that occur for very large precipitation events, relative changes of all the bins covering precipitation events above the 99th percentile are combined into one in this analysis. Statistics of the precipitation distributions of each experiment are added, as shown in Figure 5. The range of the results from different models (standard deviation) is shown as horizontal error bars.

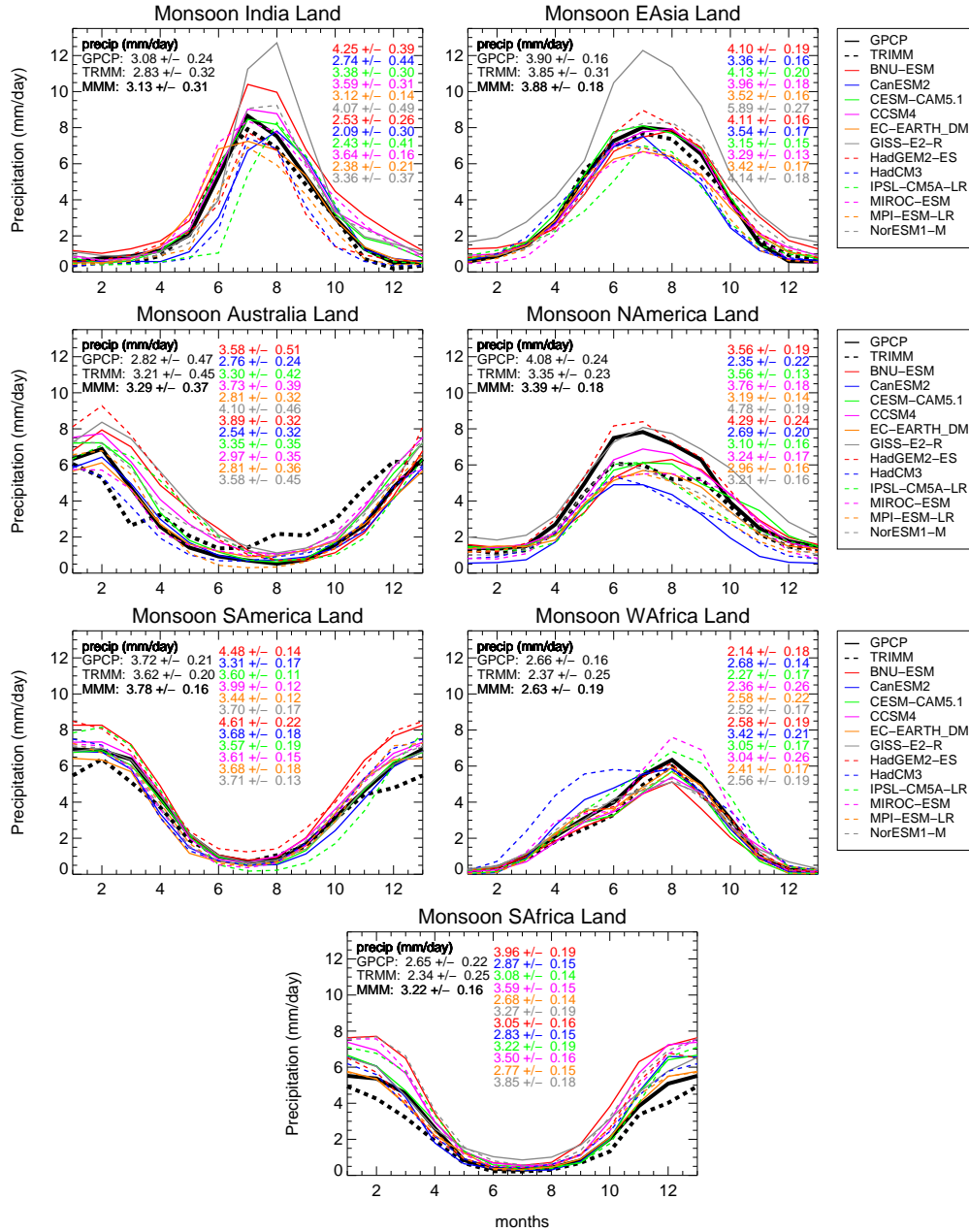


**Figure 8.** As Figure 7, but for seasonal and zonal averages between 45°N – 65°N for winter (DJF) and summer (JJA). In addition, temperature changes of seasonal and zonal averages are shown as colored diamonds on the right of each plot, the range of different models (standard deviation) is shown as an error bar.



**Figure 9.** Areas of monsoonal regions derived from 12 climate models (different colors and symbols) following the criteria described in Wang and Ding [2006], for land (top) and ocean (bottom) and for different experiments (left symbol in each cluster: 1850 control; middle symbol in each cluster: 4xCO<sub>2</sub>; right symbol in each cluster, G1). MMM values are illustrated as black diamonds for each experiment. Areas of monsoonal regions are also shown for the Global Precipitation Climatology Project (GPCP) dataset [Adler et al., 2003], black triangles, and for the Tropical Rainfall Measuring Mission (TRMM) dataset [Liu et al., 2012], black asterisks. See Figure 4 for a definition of regions.





**Figure 10.** Seasonal cycle of precipitation over monsoonal regions derived from the different GeoMIP model output for the 1850 control simulation (different colors and linestyles) and the GPCP (black solid line) and TRMM dataset (black dashed line). The total precipitation and the standard deviation of annual averages (interannual variability) over each region and each model are listed in each panel in the color and order prescribed in the legend. Values for the MMM total precipitation and the GPCP and TRMM results are shown in black. See Figure 4 for a definition of regions.

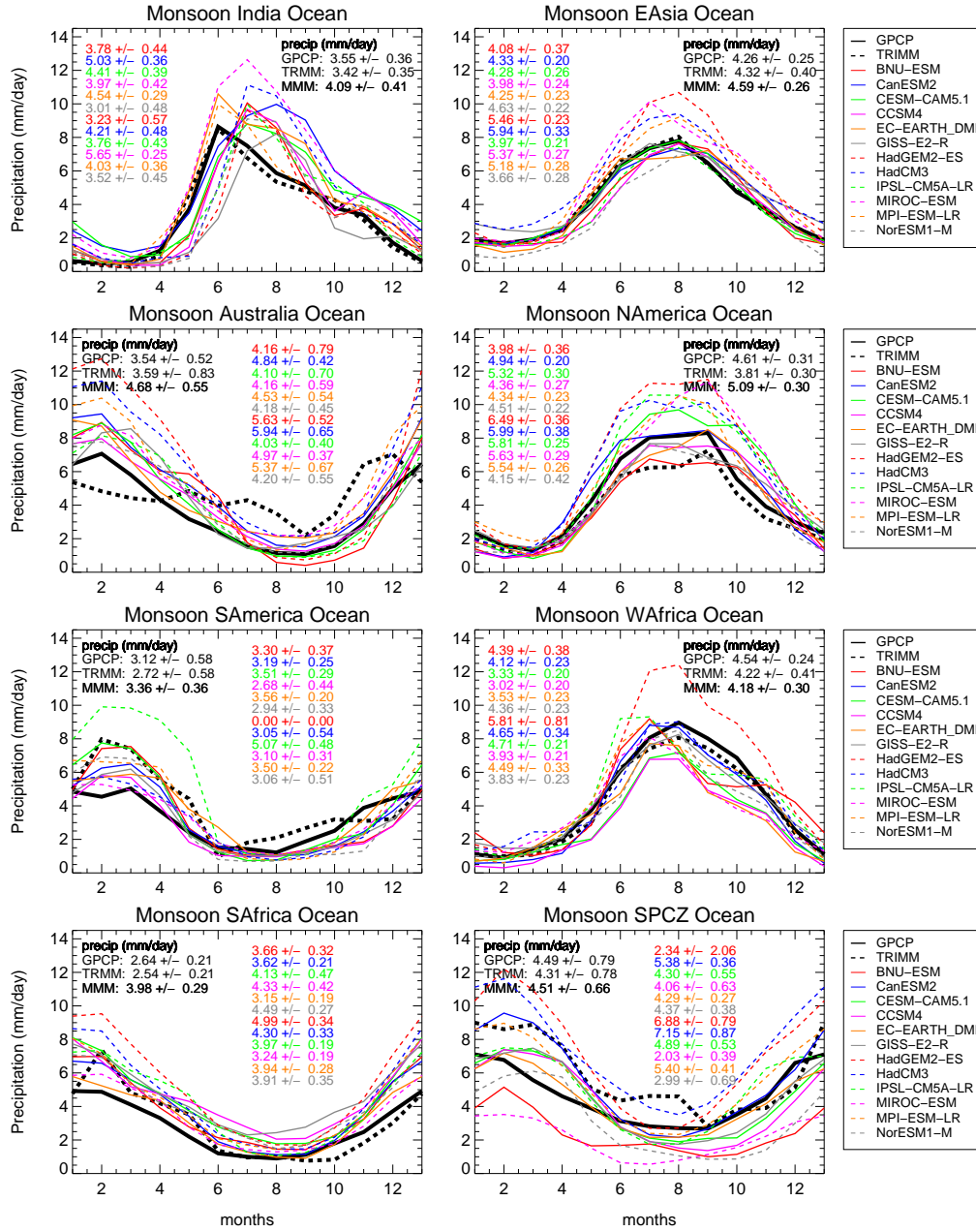
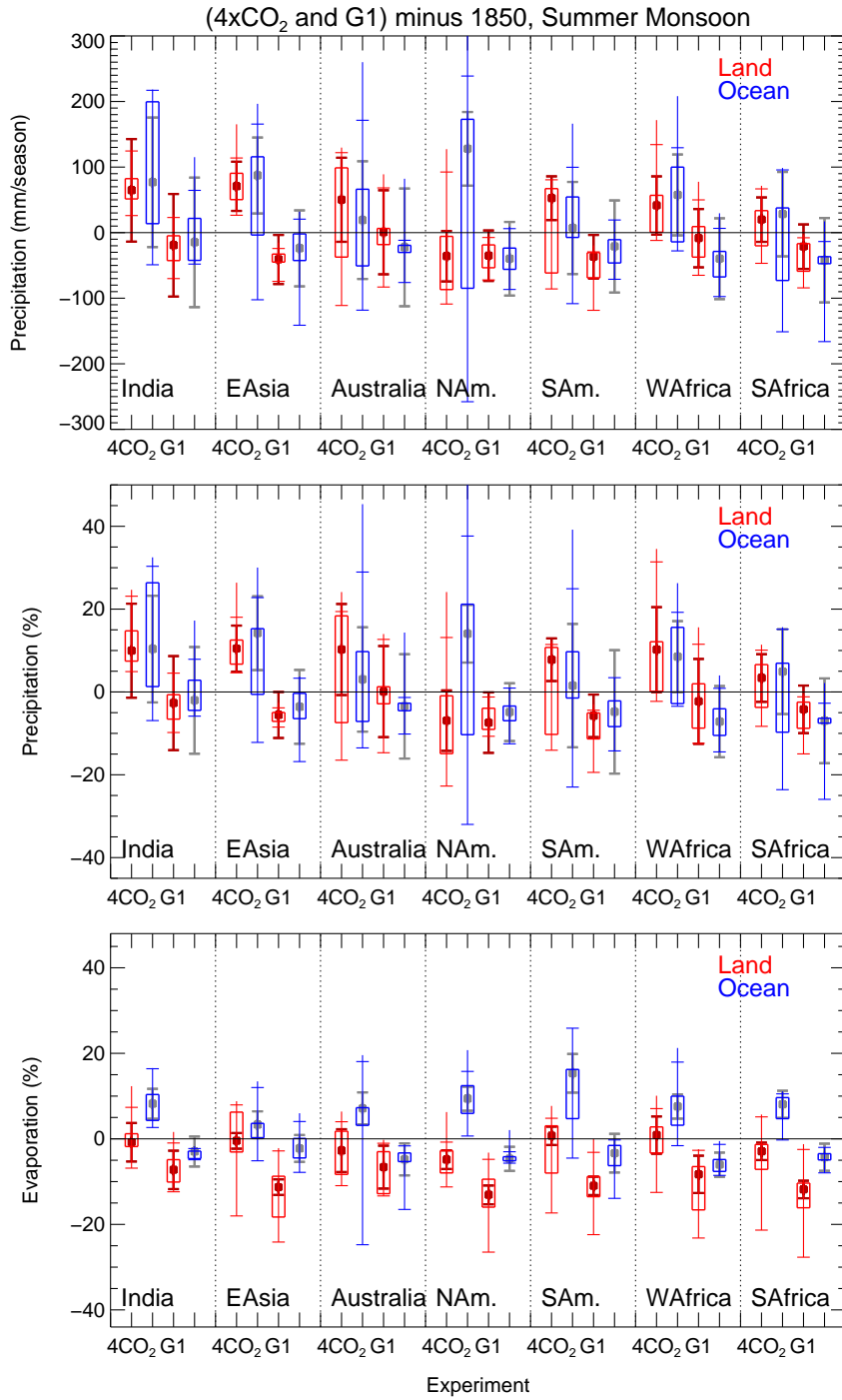
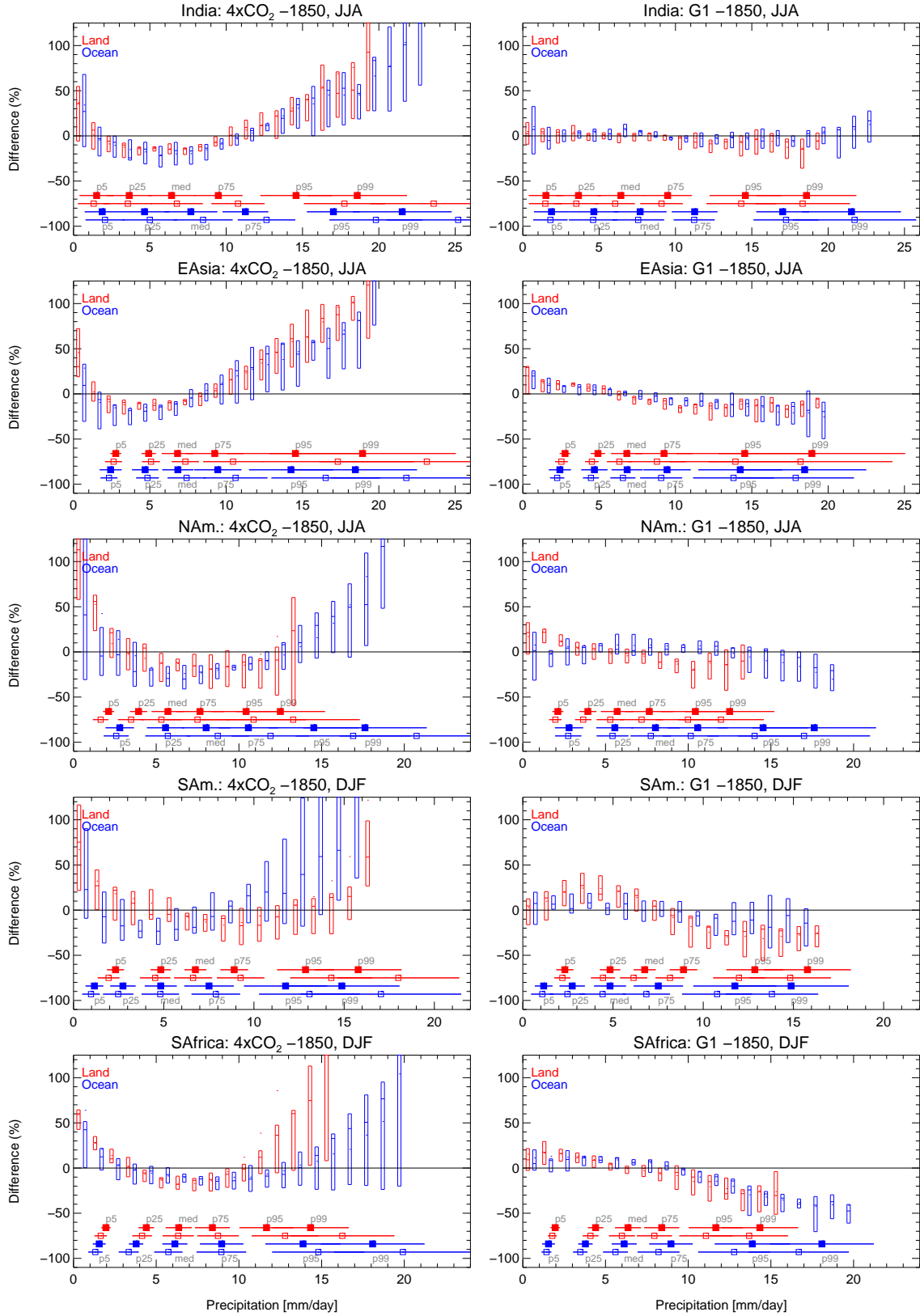


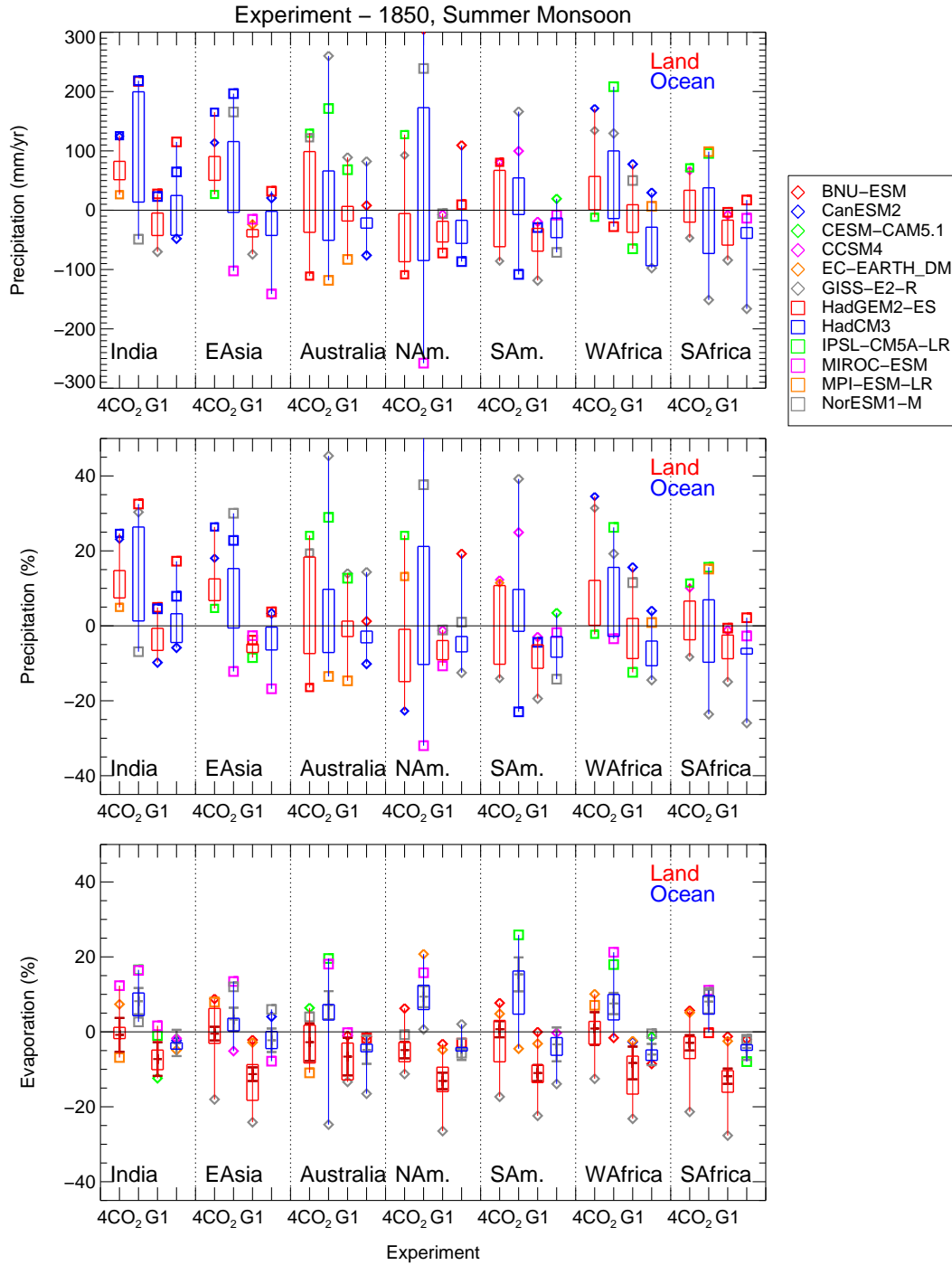
Figure 11. As in Figure 10, for each monsoonal region over ocean.



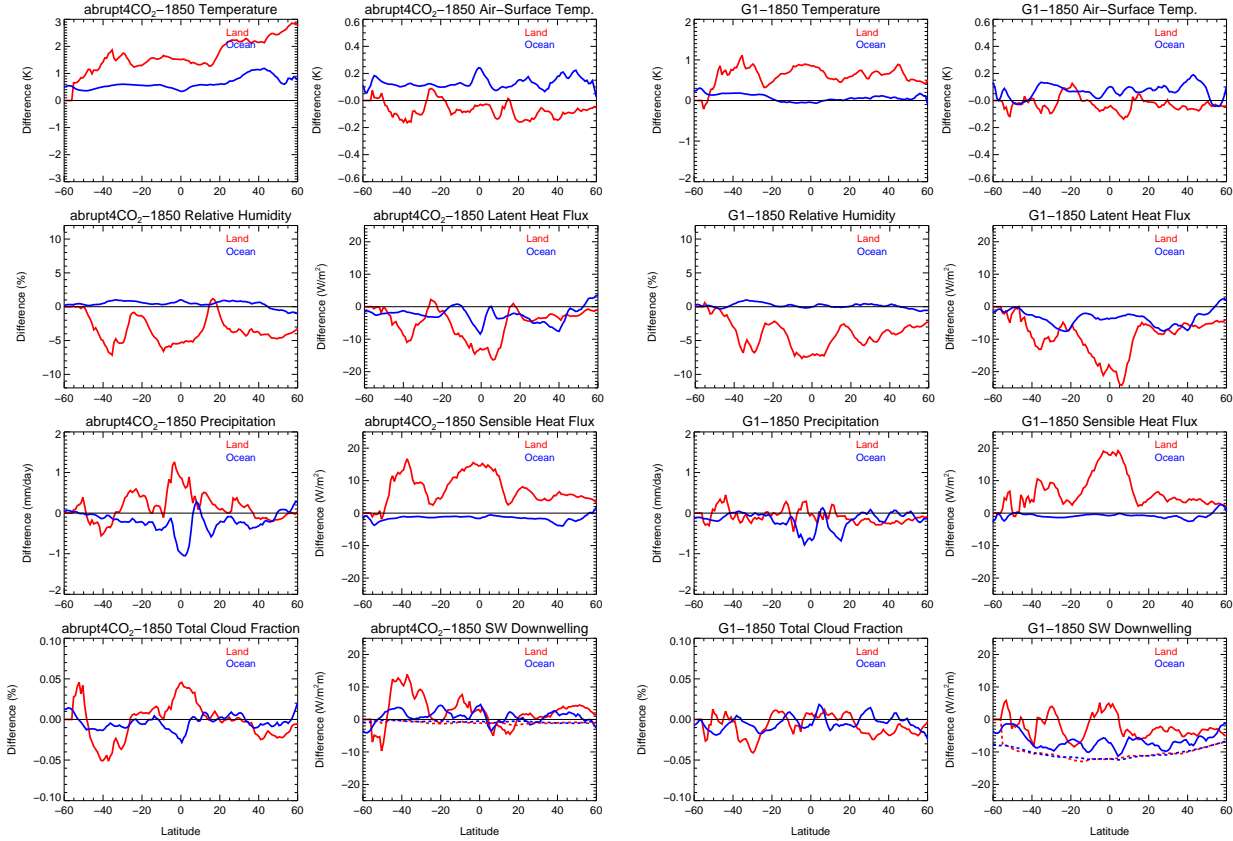
**Figure 12.** As Figure 6, for each monsoonal region for summer.



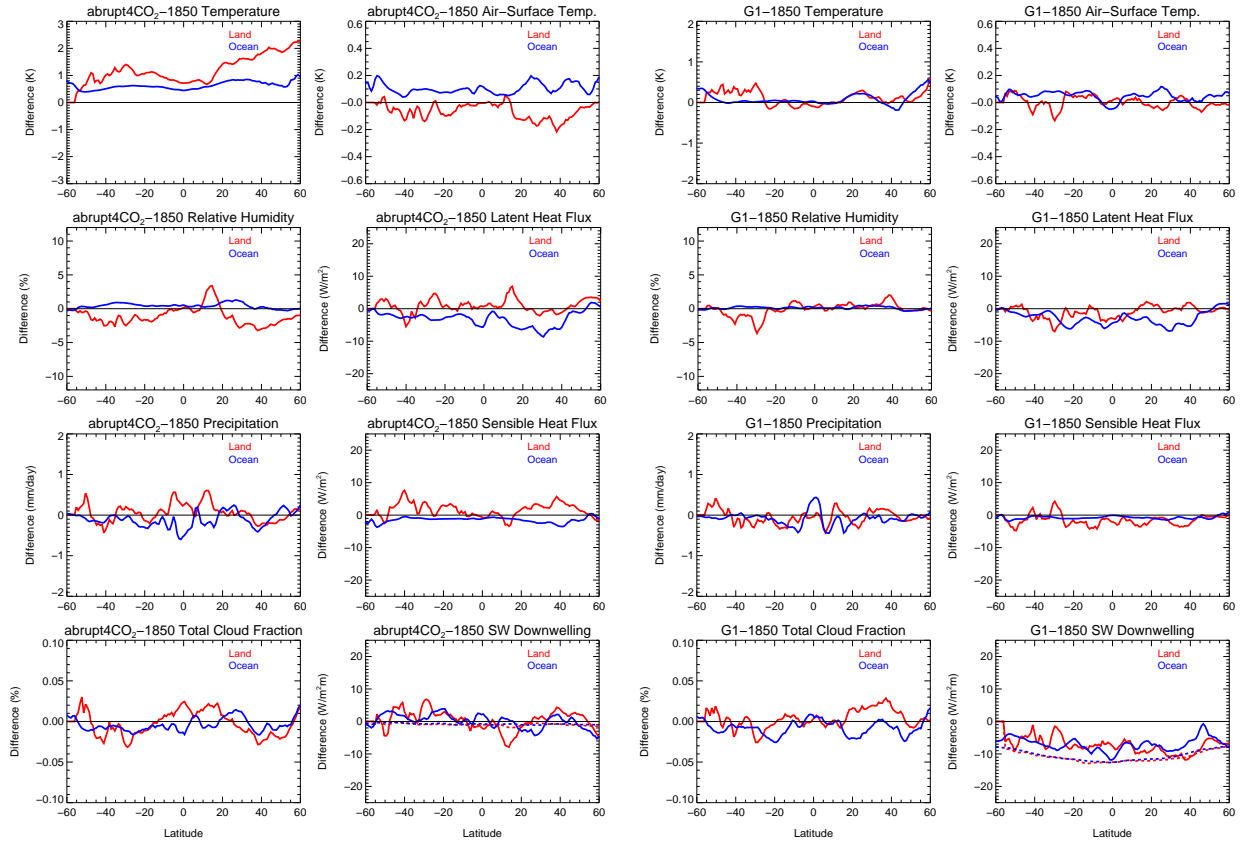
**Figure 13.** As Figure 7, but for different monsoonal regions and for summer of each region only.



**Figure 14.** As Figure 12, but with additional information about model results (different symbols) that described value outside the 5th and 95th percentile range of the multi-model distribution.



**Figure 15.** Annually averaged zonal absolute changes of CCSM model results for the first year of the simulation over land (red solid line) and over the ocean (blue solid line) between 4xCO<sub>2</sub> (left) and G1 (right) experiments with regard to 1850 conditions for the following variables: temperatures (first row left); air-to-surface temperature difference (first row right), relative humidity (second row left), latent heat flux (second row right), precipitation (third row left), and sensible heat flux (third row right), cloud fraction (left bottom row), shortwave downwelling with clear sky, dashed, and full sky, solid (right bottom row).



**Figure 16.** As Figure 15, however, the 4xCO<sub>2</sub> model experiment and G1 do not include any changes in stomatal conductance as a result of the abrupt increase in CO<sub>2</sub> concentrations.