

Massive global ozone loss predicted following regional nuclear conflict

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We use a chemistry-climate model and new estimates of smoke produced by fires in contemporary cities to calculate the impact on stratospheric ozone of a regional nuclear war between developing nuclear states involving 100 Hiroshima-size bombs exploded in cities in the northern subtropics. We find column ozone losses in excess of 20% globally, 25–45% at midlatitudes, and 50–70% at northern high latitudes persisting for 5 years, with substantial losses continuing for 5 additional years. Column ozone amounts remain near or <220 Dobson units at all latitudes even after three years, constituting an extratropical “ozone hole.” The resulting increases in UV radiation could impact the biota significantly, including serious consequences for human health. The primary cause for the dramatic and persistent ozone depletion is heating of the stratosphere by smoke, which strongly absorbs solar radiation. The smoke-laden air rises to the upper stratosphere, where removal mechanisms are slow, so that much of the stratosphere is ultimately heated by the localized smoke injections. Higher stratospheric temperatures accelerate catalytic reaction cycles, particularly those of odd-nitrogen, which destroy ozone. In addition, the strong convection created by rising smoke plumes alters the stratospheric circulation, redistributing ozone and the sources of ozone-depleting gases, including N₂O and chlorofluorocarbons. The ozone losses predicted here are significantly greater than previous “nuclear winter/UV spring” calculations, which did not adequately represent stratospheric plume rise. Our results point to previously unrecognized mechanisms for stratospheric ozone depletion.

black carbon | geoengineering | nuclear winter | stratospheric ozone | atmospheric chemistry

In the early 1980s, quantitative studies of the catastrophic climatic impacts of a global nuclear war [a scenario that came to be known as “nuclear winter” (1–4)] prompted intense public debate about previous assumptions that nuclear war could be winnable, and preceded the first significant reductions in nuclear weapons stockpiles in the history of the cold war. Ensuing numerical studies of perturbations in stratospheric ozone chemistry focused on oxides of nitrogen (NO_x) produced by the shock waves and fireballs of the atomic blasts and lofted into the stratosphere by the rising atomic fireballs (5, 6). Based on calculations with a one-dimensional eddy diffusion and chemical reaction model, a 1985 National Research Council report predicted that the slow upward transport of NO_x generated by a global nuclear exchange of 6500 Mt would deplete a maximum of 17% of the ozone column averaged over the Northern Hemisphere after one year, with half recovery (to 8.5% loss) by year 3. Later studies showed that solar heating of smoke produced by massive fires after such a nuclear exchange would alter stratospheric circulation and chemistry, inducing rapid ozone depletion—termed “UV spring”—by both direct displacement of ozone and enhancement of catalytic ozone destruction cycles (7, 8).

Although the risk of global nuclear war has diminished since the 1980s, the proliferation of nuclear weapons has produced

greater risks of a regional nuclear conflict. At the same time, global climate models have improved considerably. Toon *et al.* (9) recently assessed the damage that might result from the arsenals of the world’s newest nuclear powers, and showed that the direct effects of even a small number of relatively low-yield nuclear weapon explosions in modern megacities could produce unexpectedly large numbers of fatalities. Their analysis shows that a nuclear attack on a single country involving 50 Hiroshima-size (15 kt) bombs could generate 1–5 Tg of black carbon aerosol particles in the upper troposphere, after an initial 20% removal in “black rains” induced by firestorms. A regional nuclear conflict between India and Pakistan, for example, in which each used 50 such weapons is estimated to produce ≈6.6 Tg of black carbon. Robock *et al.* (10) used these smoke estimates in a state-of-the-art general circulation model to produce the first predictions of the climatic effects of a regional nuclear exchange. Their calculations suggest aerosols would be lofted within days to the upper stratosphere. The absorption of sunlight by the stratospheric soot produces a global average surface cooling of 1.25°C persisting for several years and large reductions in precipitation associated with the Asian summer monsoon and other disruptions to the global climate system.

Here, we examine the impact on stratospheric chemistry of smoke from fires after such a regional nuclear war. Our calculations employ the Whole Atmosphere Community Climate Model 3 (WACCM3), an advanced chemistry-climate model with a well resolved stratosphere and mesosphere. We have coupled WACCM3 with the Community Aerosol and Radiation Model for Atmospheres (CARMA), a flexible three-dimensional microphysics package, that we have adapted for the treatment of black carbon aerosol. We conducted three 10-year simulations initialized with 1995 tracer-mixing ratios. Two model scenarios were run with 1 and 5 Tg of soot, respectively, initially located in the upper troposphere over Pakistan on May 15, with radiative feedback between soot absorption and dynamics. A control run was carried out under the same conditions, but without soot radiative feedback. In contrast to older simulations of ozone loss after full-scale nuclear conflicts, we did not inject any additional NO_x, because we expect such injections to be relatively small for the cases considered (9). Additional model runs have shown that the calculated response does not vary significantly with the season of the initial soot injection.

Results

Here, we first discuss the results for a 5-Tg soot injection, and later compare that with the 1-Tg case. The subtropical insolation

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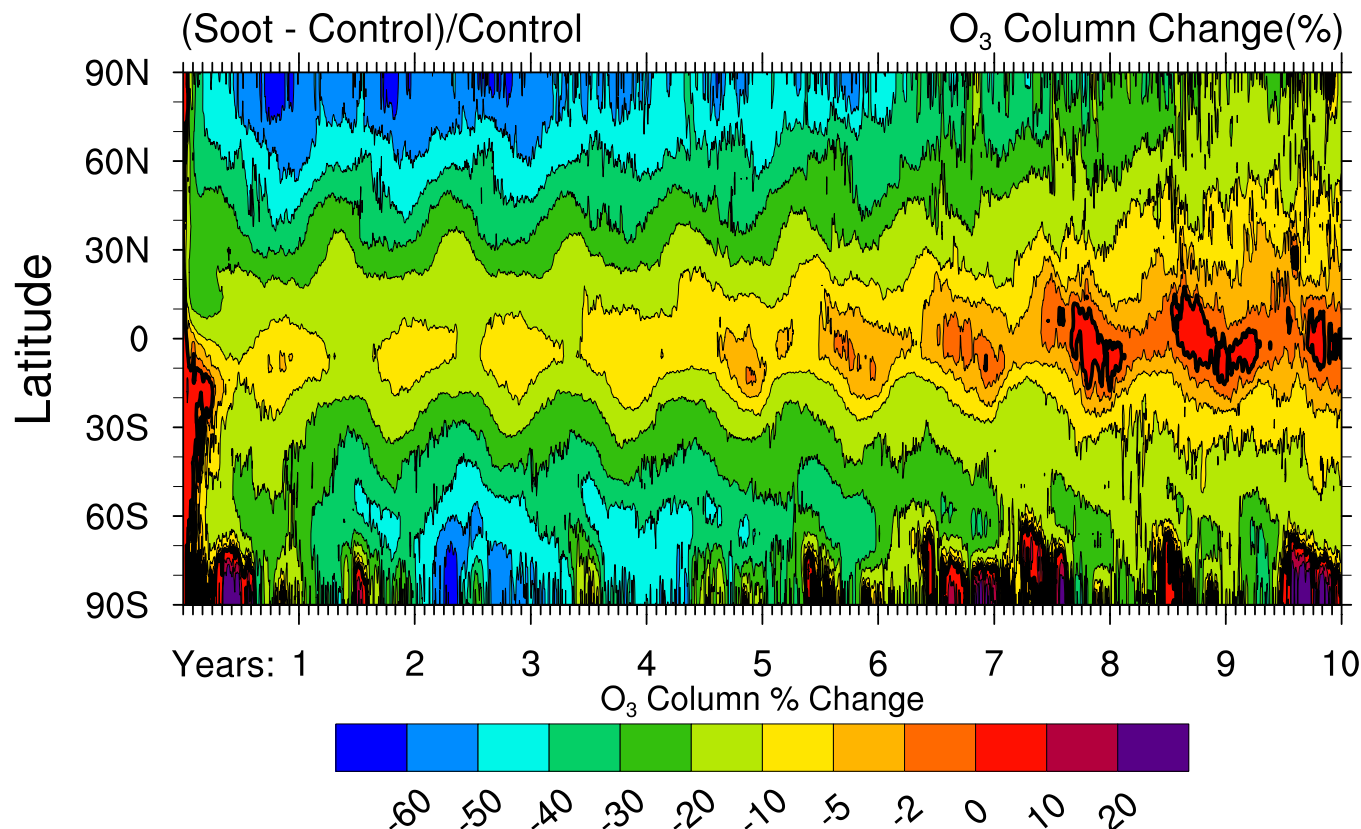


Fig. 2. Zonally averaged total ozone deviations from the baseline (in Dobson units) versus latitude as a function of time, for the same conditions as in Fig. 1. Note that the most rapid recovery of the ozone layer occurs at tropical latitudes.

The time-dependent, globally averaged column odd-oxygen loss rates for key chemical processes are illustrated in Fig. 4. In the first months, reaction 1 (Chapman cycle) causes the greatest ozone loss, rising within weeks from 1.7×10^{10} to 6.2×10^{10} molecules per cm^2/s , a factor of 3.6. However, within a year this loss rate diminishes by 50% from its peak, as the odd-oxygen reactants are depleted, and further decreases to 2.5×10^{10} molecules per cm^2/s after ≈ 4 years. By comparison, the rate of reaction 3, the rate-limiting step for the main NO_x catalytic cycle,

increases from a global average of 4.0×10^{10} to 9.5×10^{10} molecules per cm^2/s . The rate of the NO_x loss cycle diminishes much more slowly than that of reaction 1, remaining $>6 \times 10^{10}$ molecules per cm^2/s for several years.

The dominance of the NO_x reaction rate is caused not only by increased temperatures but also by changes in stratospheric circulation. The rising soot plume carries aloft air with enhanced mixing ratios of N_2O , which is a source of stratospheric NO_x through the reaction of N_2O with excited oxygen atoms, $\text{O}(^1\text{D})$, generated by ozone photolysis. This enhanced source of ozone-depleting NO_x is then confined in the stratosphere longer than normal, because the Brewer–Dobson circulation is subsequently decelerated to less than half its normal rate for several years. This slowdown is consistent with the calculations of Robock *et al.* (10) and results from surface cooling, which leads to a reduction in wave forcing of the zonal-mean meridional circulation in the lower stratosphere. The decelerated circulation reduces the dynamical sink for stratospheric odd-nitrogen, further enhancing ozone destruction. Hence, the soot induces changes in both temperature and stratospheric circulation that couple with chemistry to destroy ozone more effectively than normal. The perturbation to the NO_x cycle persists for ≈ 8 years after the soot injection (Fig. 4).

Our calculations show a strong “self-healing” response of the ozone layer. Because depletion of ozone allows more UV radiation to penetrate lower into the atmosphere, photolysis of O_2 increases dramatically at <30 km, producing additional ozone and limiting column losses to some extent. Globally averaged column O_2 photolysis rates increase by $\approx 60\%$ in the first year after soot input. Despite this dramatic negative feedback, large net ozone column losses are still calculated, especially at middle

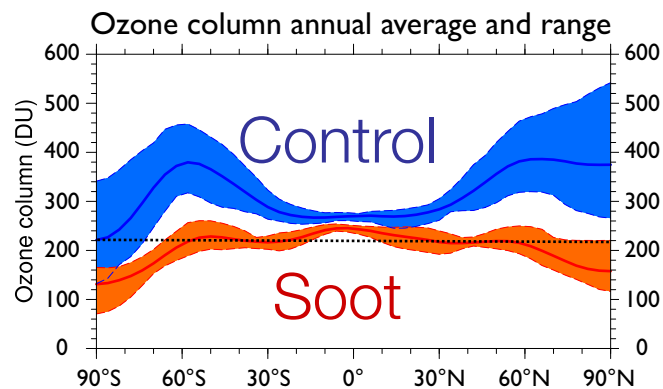


Fig. 3. Annual mean values (solid lines) of the zonally averaged total ozone column amount (Dobson units, DU) are shown as a function of latitude for the control and 5-Tg soot cases during the second year of simulation. The shaded regions indicate the overall range of variation in the zonal average ozone column over the year. The dotted line at 220 DU marks the definition used to identify the current Antarctic ozone hole.

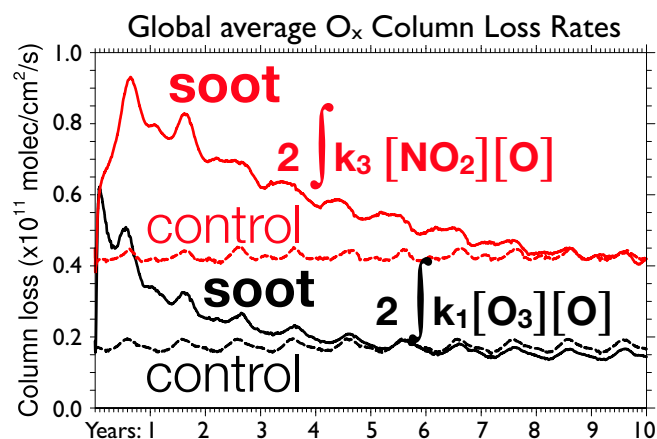


Fig. 4. Time evolution of the global-mean column ozone loss rates for the two catalytic ozone loss cycles most perturbed by the 5-Tg soot injection. Black lines compare the Chapman (odd-oxygen) cycle in the control (dashed) and 5-Tg soot (solid) cases. Red lines compare the odd-nitrogen cycle in the control (dashed) and 5-Tg soot (solid) cases. The vertical axis gives column ozone loss rates in molecules per cm^2/s . Time is measured in years since soot injection.

and high latitudes, where the ozone is transported from the tropical source region.

Discussion

Depletion of the ozone column relative to normal conditions may impact living organisms, which are usually adapted to local UV radiation levels. Increased UV radiation is largely detrimental, damaging terrestrial and oceanic plants and producing skin cancer, ocular damage, and other health effects in humans and animals (12). Conclusive evidence shows that increased UV-B radiation damages aquatic ecosystems, including amphibians, shrimp, fish, and phytoplankton (13). The effects of sunlight on the biota are quantified as a product of the sun's spectrum at the Earth's surface and the action spectra for biologically damaging processes, such as erythema, carcinogenesis, and photoinhibition. An analysis of biological sensitivity to UV spectral changes concluded that a 40% ozone column depletion at 45°N – as computed here – would increase DNA damage (believed related to carcinogenesis) by 213%, and plant damage (e.g., photoinhibition) by 132% relative to normal conditions (14). The smallest ozone column losses are predicted to occur in the tropics, where self-healing limits depletion to $\approx 10\%$ during the first 3–4 years. Although we found no studies of biological impacts of ozone loss in the tropics, the midlatitude analysis concludes that a 10% column loss would increase DNA damage by $\approx 28\%$ (12, 14). Ozone losses at midlatitudes point to DNA effects in the range of 150% for five years or more. The biological implications should be further investigated. Increased UV radiation would also alter photochemistry in the lower atmosphere (e.g., smog formation), damage outdoor materials, and alter the biogeochemical cycles of nonliving matter degraded by sunlight (12). It should be noted that these UV estimates do not include the absorption of UV sunlight associated with the soot itself, which we estimate as a $<10\%$ effect.

Our 1-Tg soot calculation produces a smaller and shorter-lived ozone depletion compared with the 5-Tg case. As in the 5-Tg case, 20% of the soot is lost before reaching the stratosphere. Global mean column losses peak at 8%, with maximum losses at the poles near 40%. The perturbation to the NO_x cycle lasts for up to four years, and ozone columns return to within a few percent of normal globally by the sixth year.

Our calculations show that the smoke produced by a regional nuclear exchange of 100 15-kt weapons in the subtropics would

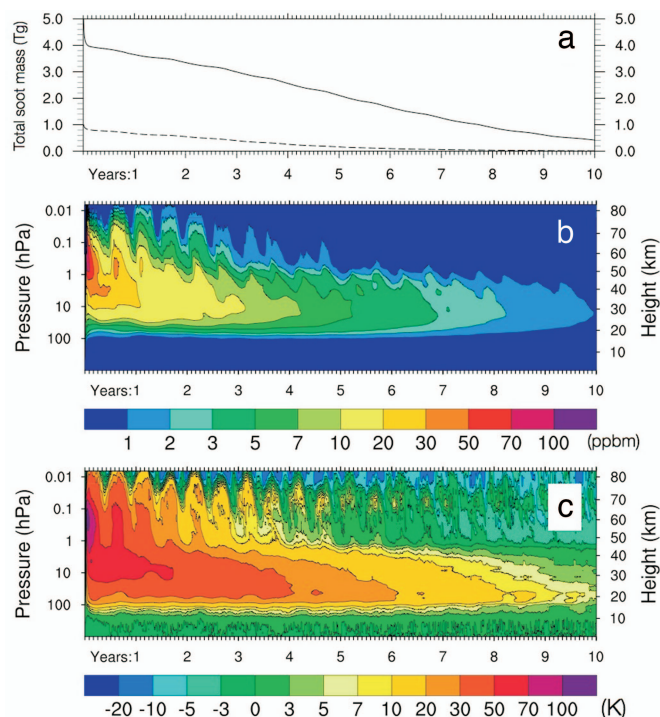


Fig. 5. Global mean time evolution of soot mass and temperature. (a) Time evolution of the total mass of atmospheric soot (Tg) after the injection of 5 Tg (solid line) and 1 Tg (dashed line) into the upper troposphere, with radiative feedback. (b) Time evolution of the vertical profile of calculated soot global mean mass mixing ratio (ppbm, 10^{-9} kg/kg of air) after the injection of 5 Tg of soot into the upper troposphere, with radiative feedback. (c) Time evolution of the vertical profile of the change in global average temperature ($^\circ\text{C}$) due to the 5-Tg soot injection (soot-control).

severely deplete ozone columns over populated areas. The magnitude and duration of the predicted ozone reductions are greater than those calculated in the 1980's for global thermonuclear war scenarios involving yields that exceed the ones we considered by factors of >1000 . At the time, global models had limited vertical extent, and did not adequately represent the rise of the smoke plumes into the upper stratosphere, nor the consequent temperature changes. The earlier models also did not run long enough simulations to detect the effects of temperature changes on reaction rates, nor rearrangement of gases on the sources of NO_x , but instead depended on NO_x injections and the short term redistribution of ozone itself.

Our study has not included the production of NO_x by nuclear explosions, which was the principal source of ozone depletion in previous studies. The 15-kt weapons assumed by Toon *et al.* (9) would not lift bomb-generated NO_x into the stratosphere directly. The impact of NO_x and other chemical pollutants lofted into the stratosphere by large-scale nuclear-induced fires awaits further study. We have also ignored possible heterogeneous loss of ozone catalyzed by soot, and have not considered the possible loss of soot by ozone attack. Recent data show that the reaction probability, γ , for soot decomposition is only $\approx 10^{-11}$, rendering this process unimportant on times scales of several years (15). Laboratory studies of ozone loss on carbon surfaces have reported γ varying between 10^{-3} and 10^{-8} , because of variations in soot type, the surface area available to ozone, soot deactivation processes, and reaction with other materials (16–20). A concerted laboratory kinetics effort is required before this potential loss of ozone can be calculated with any certainty. Although previous nuclear winter studies have examined the effects of dust lofted by ground bursts, the scenario we examine

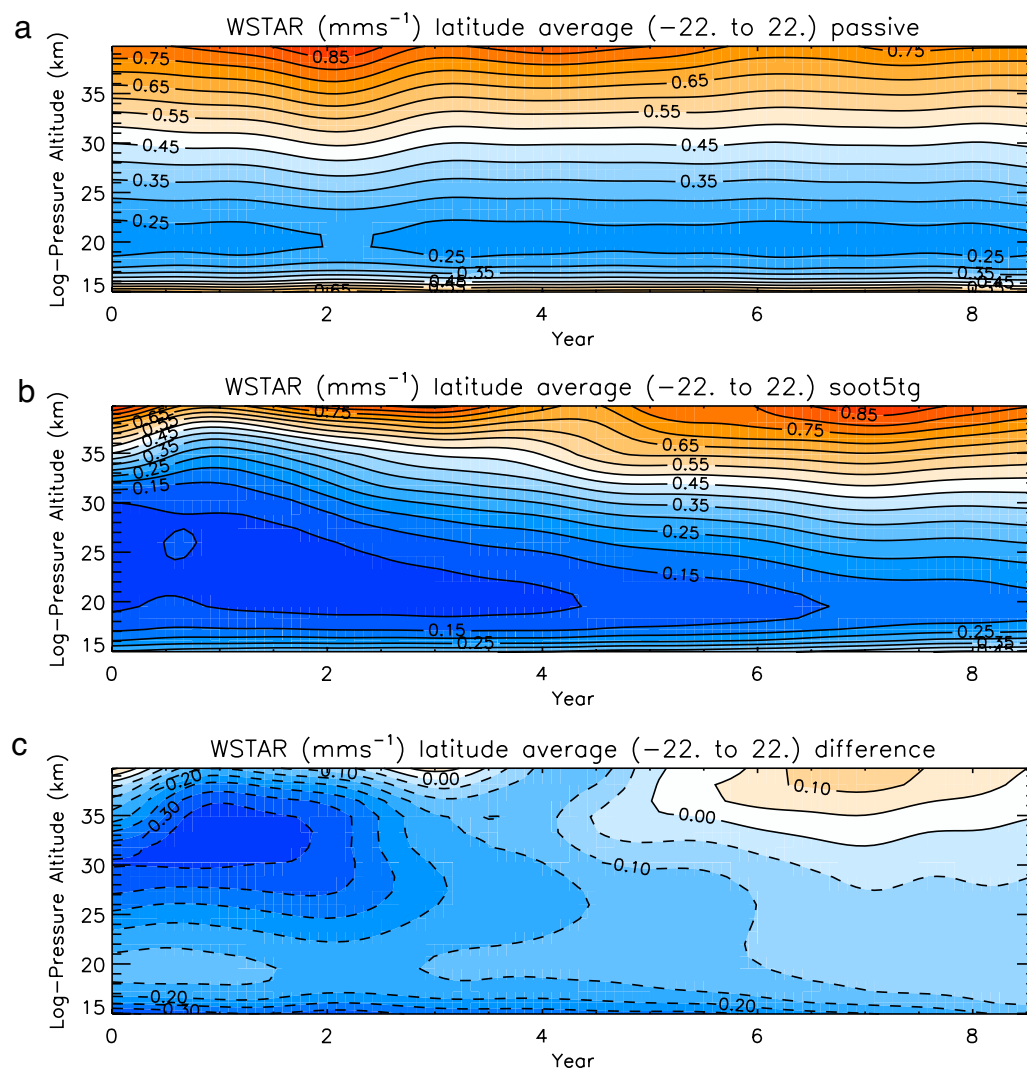


Fig. 6. Time evolution of the vertical profile of calculated tropical lower stratospheric vertical wind (mm/s) averaged between 22°S and 22°N for the control run (a), the 5-Tg soot run (b), and the 5-Tg soot run minus the control run (c). In the 5-Tg soot case, vertical winds bringing air up from the tropical troposphere are reduced by more than 50% because of surface cooling.

involves weapons with yields too small to loft dust into the stratosphere with sufficient mass or altitude to have significant global, or even regional, radiative impacts.

Several heterogeneous reactions of nitrogen oxides and acids on soot might influence the chemistry responsible for ozone loss (17, 21). For example, reactions involving reservoir species such as N_2O_5 and HNO_3 might release additional reactive NO and NO_2 , leading to greater ozone loss. Conversely, the reaction of NO_2 , producing HONO, might have the opposite effect. Nevertheless, studies indicate that continued exposure to NO_2 deactivates soot surfaces, and reaction probabilities decrease significantly for aged soot (17, 21). Soot produced by fires from burning cities is also likely to be largely poisoned by organics and inorganic salts adsorbed on the surface by the time it reaches the upper tropopause, perhaps reducing the importance of heterogeneous reactions.

The global-scale ozone reductions predicted here for relatively small injections of sooty smoke into the upper troposphere and lower stratosphere indicate an unexpected sensitivity associated with such perturbations, and suggest that certain events—such as regional nuclear conflicts, or geoengineering schemes based on absorbing carbonaceous aerosols—might pose an unprece-

dent hazard to the biosphere worldwide. Our regional nuclear scenario involves <0.1% of the yield of nuclear weapons that currently exist. The current build-up of arsenals in an increasing number of states suggests scenarios in the next few decades that are even more extreme. The potential hazard to global ozone, and hence terrestrial biota, deserves careful analysis by governments worldwide advised by a broad section of the scientific community.

Methods

For the numerical calculations involved in this study, we used the recently merged WACCM/CARMA model. WACCM3 is a state-of-the-art chemistry-climate model (CCM) developed at the National Center for Atmospheric Research (22). CARMA is a flexible three-dimensional bin microphysical model developed over the past 25 years, which has been attached to WACCM3 and adapted for soot simulations.

WACCM3 is a comprehensive model that spans the range of altitude from the Earth's surface to the thermosphere. The dynamical and transport equations are solved by using the explicit flux-form semi-Lagrangian scheme of Lin and Rood (23) on a $4^\circ \times 5^\circ$ latitude-by-longitude grid. Vertical resolution is ≈ 1 km in the lower stratosphere, 1.75 km near the stratopause, and one-half of the local scale height >65 km. Our calculations used the interactive land and ice models integrated in WACCM3 and prescribed sea surface temperatures.

The chemistry module is derived from the 3D chemical transport Model for Ozone And Related chemical Tracers (MOZART) (24–26). For this study, WACCM3 utilizes a 50-species mechanism that represents chemical and physical processes in the middle atmosphere (27–30). The species included within this mechanism are contained in the O_x , NO_x , HO_x , ClO_x , and BrO_x chemical families, along with CH_4 and its products.

WACCM3 takes into account forcing by subgridscale, mesoscale gravity waves, which are parameterized according to McFarlane (31) for the orographic component, plus a spectrum of nonstationary gravity waves (launched at 500 hPa) with phase velocities between -80 ms^{-1} and $+80 \text{ ms}^{-1}$ every 2.5 ms^{-1} . Further details can be found in Sassi *et al.* (29). The inclusion of gravity wave driving produces realistic zonal-mean winds, including a sharp reversal of the summer stratospheric easterly jet, which gives way to strong westerlies in the mesosphere.

We use the bin microphysics of the CARMA code to represent black carbon soot in this study. This allows the soot to experience gravitational settling, and obviates the implementation of molecular diffusion, which the gas-phase tracers in WACCM3 experience at high altitudes. CARMA originated from a one-dimensional stratospheric aerosol code developed by Turco *et al.* (32) and Toon *et al.* (33) that included both gas-phase sulfur chemistry and aerosol microphysics. The model was improved and extended to three dimensions as described by Toon *et al.* (34). Extensive updates of the numerics continue to be made. For this study, we limit soot to one size bin of radius 0.1 μm for speed of calculation.

We do not allow calculated particle populations to change radiatively or microphysically other than by sedimentation and transport. Toon *et al.* (9) point out that coagulation of soot is likely to form chains or sheets, which would have the same or higher mass absorption coefficients as smaller soot particles. Drag forces would decrease sedimentation of such chains or sheets compared with the simple spheres we model, perhaps increasing the stratospheric lifetime. Toon *et al.* (9) also indicate that the soot is likely to become coated with sulfates, organics, and other nonabsorbing materials, which could increase absorption by $\approx 50\%$. These factors point to potentially greater impacts than those we model.

We initialized our two experiment simulations with 5 and 1 Tg of soot, respectively, placed in the upper troposphere in one vertical set of $4^\circ \times 5^\circ$ model gridboxes centered at 30°N , 70°E , over Pakistan. The 1 to 5 Tg soot source term derives from a thorough study of the smoke produced by fir storms in modern megacities after an attack on individual countries with 50

weapons of 15-kT capacity (9). The soot is initially distributed with a uniform mixing ratio between 150 and 300 hPa, in the upper troposphere. Soot mass mixing ratios are coupled into the WACCM3 radiative code, which includes the optical properties of 0.1- μm black carbon soot particles derived from the OPAC project (35).

Fig. 5a shows the time evolution of total soot mass in the atmosphere over 10 years in the 5-Tg and 1-Tg cases. In both cases, 20% of soot mass is lost because of rainout in the troposphere as the soot rises initially. The remaining 80%, which reaches the stratosphere, resides there for many years because of the height to which the plume initially rises. Robock *et al.* (10) calculate the same long stratospheric residence time for soot, as shown in their figure 12, albeit without the initial 20% removal because of rainout.

Fig. 5b shows the time evolution of the global mean vertical profile of soot mass mixing ratio. In the first weeks after the soot injection, solar heating induces very strong convection, lifting the soot up to the stratopause. The stratospheric residence time of air reaching the upper stratosphere is much greater than the average 3–5 year residence time for all stratospheric air. In addition, surface cooling slows the Brewer–Dobson (BD) circulation in the lower stratosphere, further reducing the sink for stratospheric soot (Fig. 6). We have verified that the deceleration of the BD circulation is brought about by a decrease in the Eliassen–Palm flux emanating from the troposphere, which reduces wave driving in the lower stratosphere. The secondary circulation associated with the semiannual oscillation during the solstice in each hemisphere produces the semiannual lofting and sinking seen in Fig. 5b. These results are consistent with those shown in figure 1 from Robock *et al.* (10).

Fig. 5c shows the time evolution of the vertical profile of the perturbation to the global mean temperature for 10 years after the 5-Tg soot injection. Temperatures in the upper stratosphere initially increase by 100°C . In the lower stratosphere, temperatures remain $>30^\circ\text{C}$ above normal throughout the first 4 years after the soot injection. These extreme perturbations are consistent with the calculations shown in figure 4 of Robock *et al.* (10). Despite these large increases in temperature, the vertical gradient of potential temperature never becomes negative in the stratosphere, indicating that the temperature profile never becomes unstable.

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