

1 **Nuclear Smoke, Global Warming, and Ozone Depletion:**
2 **Policy Responses to Anthropogenic Environmental Threats**

3
4
5 Alan Robock¹, Owen B. Toon², Richard P. Turco³,

6 Luke Oman^{1,4}, Georgiy L. Stenchikov¹, and Charles Bardeen²

7
8
9 ¹Department of Environmental Sciences, Rutgers University, New Brunswick, New Jersey

10
11 ²Department of Atmospheric and Oceanic Sciences and Laboratory for Atmospheric and Space Physics
12 University of Colorado, Boulder

13
14 ³Department of Atmospheric and Oceanic Sciences, University of California, Los Angeles

15
16 ⁴Now at Department of Earth and Planetary Sciences, Johns Hopkins University, Baltimore, Maryland

17
18
19
20
21 Submitted as a Forum article to *EOS*

22 March, 2007

23
24
25
26
27
28
29
30
31
32
33
34
35 *Corresponding Author:*

36 Alan Robock

37 Department of Environmental Sciences

38 Rutgers University

39 14 College Farm Road

40 New Brunswick, NJ 08901

41 *Phone:* 732-932-9478

42 *Fax:* 732-932-8644

43 *E-mail:* robock@envsci.rutgers.edu

44 Humans have come to the realization that pollution of the atmosphere with gases and
45 particles in the past 50 years is the dominant cause of atmospheric change. While land-use
46 change can produce large *regional* effects, global ozone depletion, global warming, and nuclear
47 smoke are all actual or potential *global* adverse human impacts on our fragile environment, each
48 with severe consequences for humanity. These effects were, or would be, inadvertent, unplanned
49 consequences of normal daily activities, defense policies of many nations, and nuclear
50 proliferation, and we seek ways of continuing our normal lives while protecting ourselves from
51 environmental catastrophe.

52 Ozone depletion and global warming are already happening, while drastic cooling from
53 smoke from nuclear-generate fires has so far been avoided. These three related human threats to
54 the environment have been addressed with quite different policy responses, and with varying
55 degrees of success so far.

56 The Montreal Protocol in 1987, the world's first global environmental treaty, was
57 successful in pushing society to find replacements for ozone-depleting substances, chiefly
58 chlorofluorocarbons, for refrigeration, air conditioning, foam blowing, aerosol propellants, and
59 other applications. As a result, the concentration of these substances has started to decrease in
60 both the troposphere and stratosphere. Ozone has begun a gradual recovery and may reach its
61 pre-1980 levels by the middle of the current century [*Ajavon et al.*, 2007]. The treaty includes
62 built-in, continuing meetings of the parties, which have produced amendments to take into
63 account the latest observations and scientific understanding, produced for them in the regular
64 World Meteorological Organization Ozone Assessments, and to adjust emission regulations to
65 ensure ozone recovery as fast as possible. Mario Molina, Sherwood Rowland, and Paul Crutzen

66 were awarded Nobel Prizes in Chemistry for developing the scientific basis for understanding
67 this problem.

68 The United Nations Framework Convention on Climate Change in 1992 was signed by
69 194 countries, and has been ratified by 189 countries as of February, 2007. It was signed and
70 ratified by the United States in 1992, came into force in 1994, and states, “The ultimate objective
71 of this Convention ... is to achieve ... stabilization of greenhouse gas concentrations in the
72 atmosphere at a level that would prevent dangerous anthropogenic interference with the climate
73 system.” It, too, has a built-in mechanism for periodic “conferences of the parties” to develop
74 mechanisms to meet its objective. The Kyoto Protocol, adopted at the third session of the
75 Conference of the Parties in 1997 entered into force on February 16, 2005, after ratification by
76 Russia. This protocol by itself will not meet the Convention objective, but it is a step forward,
77 and there are signs that even in the United States, public opinion is reaching a tipping point
78 toward serious policy responses to deal with the problem [*Gore, 2006*].

79 Although complicated by issues of national defense and prestige, nuclear proliferation
80 has many aspects in common with global environmental issues, but they have not been
81 considered in the same sort of policy framework. Casualties from the direct effects of blast,
82 radioactivity, and fires resulting from the massive use of nuclear weapons by the superpowers
83 would be so catastrophic that we avoided such a tragedy for the first six decades after the
84 invention of nuclear weapons. The realization in the 1980s, based on research conducted jointly
85 by Western and Soviet scientists [*Crutzen and Birks, 1982; Turco et al., 1983; Aleksandrov and*
86 *Stenchikov, 1983; Robock, 1984, Pittock et al., 1986; Harwell and Hutchinson, 1986*], that the
87 climatic consequences, and indirect effects of the collapse of society, would be so severe that the
88 ensuing nuclear winter would produce famine for billions of people far from the target zones,

89 may have been an important factor in the end of the arms race between the United States and the
90 Soviet Union [Robock, 1989]. Arms reductions since the 1980s (Fig. 1) have cut the global
91 nuclear arsenal to 1/3 of its prior size, and the United States and Russia have much improved
92 relations, symbolized by joint operation of the International Space Station.

93 But now the world faces the prospect of other states developing small, but remarkably
94 deadly, nuclear arsenals. *Toon et al.* [2007] address these policy issues in the context of nuclear
95 arms control, but here we focus more specifically on policy implications related to environmental
96 changes. Twenty years after the threat of nuclear winter was discovered, we now ask:

- 97 1. Although the Cold War and its associated nuclear arms race are over, could remaining
98 nuclear arsenals still produce nuclear winter?
- 99 2. What would be the consequences of the use of a much smaller number of nuclear weapons in
100 a regional nuclear conflict on the global environment?
- 101 3. Is it time for a global nuclear environmental treaty?

102 The onset of nuclear winter following a full scale conflict was widely debated during the
103 1980s. As with both global ozone loss and global warming, the scientific community found the
104 scientific basis for nuclear winter to be sound (e.g., Pittock et al., 1986). However, as with both
105 global ozone loss and global warming there was a vocal minority who sought to discredit the
106 work, largely by obfuscation. The answer to question 1 was already clear in 1990 [*Turco et al.*,
107 1990; *Sagan and Turco*, 1990] and our understanding has not changed since then. The answer is
108 yes; without further large cuts in the American and Russian nuclear arsenals, the use of current
109 arsenals in a full-scale nuclear war would still produce nuclear winter, threatening the lives of
110 billions of people [*Robock et al.*, 2007].

111 Our new work also answers question 2. *Toon et al.* [2006] recently showed that the direct
112 effects of even a relatively small number of nuclear explosions would be a disaster for the region
113 in which they would be used. A single low yield weapon used in a modern megacity can cause
114 more than 1,000,000 casualties. *Toon et al.* [2006] found that a regional war between the
115 smallest current nuclear states involving 100 15-kt explosions (a number of weapons likely to
116 exist in the arsenals of new nuclear states – India and Pakistan are estimated to have 110-180
117 weapons between them) could produce direct fatalities comparable to all of those worldwide in
118 World War II.

119 Fires inevitably ignited by nuclear bursts in cities, paralleling the firestorm in Hiroshima,
120 would release copious amounts of light-absorbing smoke into the upper atmosphere. *Toon et al.*
121 [2006] showed that 100 small nuclear weapons detonated within cities may be capable of
122 generating one to five million tons (1-5 Tg) of carbonaceous smoke particles with the potential to
123 create greater optical and radiative perturbations in Earth’s atmosphere than major volcanic
124 eruptions like those of Mt. Pinatubo in 1991 or Tambora in 1815. The latter event has been
125 associated with the “Year Without a Summer” in 1816, but the nuclear smoke effects would last
126 much longer [*Robock et al.*, 2006]. Smoke from urban firestorms in such a conflict would
127 produce significant global temperature and precipitation changes, lasting a decade or more,
128 shortening the growing season in the midlatitudes by a month in major agricultural areas, and
129 thus impacting world food supplies [*Robock et al.*, 2006].

130 Simulations for this new work were carried out using the latest NASA Goddard Institute
131 for Space Studies climate model, ModelE [*Schmidt et al.*, 2006], the result of decades of NASA
132 investment, and the hard work and dedication of a large number of scientists supported by
133 NASA. Because ModelE is able to simulate the entire troposphere, stratosphere, and

134 mesosphere, from the Earth's surface up to 80 km, and interactively transports black carbon
135 aerosols in response to solar heating and changing wind circulation, we were able to produce
136 fundamentally new results, showing that the smoke would persist in the atmosphere far longer
137 than previously assumed. *Robock et al.* [2007] also show that early results suggesting that
138 nuclear fall instead of nuclear winter would follow a full-scale war were based upon climate
139 models which were not adequate to fully address the problem because they did not have deep
140 enough atmospheres, and could not be run long enough.

141 We propose that the answer to question 3 is "yes." Work on nuclear winter has already
142 led to important policy decisions [*Robock*, 1989]. A nuclear war cannot be won. Even a "first
143 strike" would be suicidal. Likewise, a "limited" nuclear war could cause severe effects, if
144 targeted at cities and industrial areas, and it is doubtful that a nuclear war could ever be limited.
145 "Star Wars" (Strategic Defense Initiative, now the Missile Defense Agency) is not the answer,
146 since this system will always be "leaky." The indirect effects of nuclear winter could be even
147 greater than the direct effects, leaving many innocent victims in non-combatant nations.

148 Future nuclear arms treaties need to address the environmental consequences of the total
149 number of weapons they allow to remain in the arsenals. Arms reductions of the past 20 years
150 were not enough to protect the planet from the possible consequences of nuclear smoke, and
151 putting nuclear weapons into the hands of more and more countries only increases the potential
152 dangers. Figure 1 shows that Russia and the U.S. have reduced their arsenals by 1/3 since their
153 peak in the 1980s. By 2012, current agreements call for reductions in deployed weapons that
154 will be about 1/20 of the levels in the mid-1980s. However, these deployed weapons will still be
155 10 times greater than those of China, France or the United Kingdom, and many more weapons
156 may remain in storage. Hence much larger reductions are needed in Russian and U.S. weapons.

157 To make matters worse, there has been a steady increase in the number of nuclear weapons states
158 (Fig. 2). Between 1970, when the nuclear proliferation treaty was signed, and 1980 only non-
159 signatories to the treaty such as Israel and India created weapons. Now however, signatory
160 countries such as North Korea, and Iran are violating the treaty. Unlike in prior periods, the
161 world no longer seems united in the goal to prevent nuclear proliferation.

162 The problems of ozone depletion, global warming, and nuclear smoke are related and
163 linked. In each case changes to the environment are substantial. Nuclear smoke can change the
164 climate, and impact the ozone layer [*Mills and Toon, 2006*]. Moreover some solutions to global
165 warming can contribute to nuclear instability. Nuclear power plants, because of their low
166 greenhouse gas emissions, have been suggested as a way to mitigate global warming, but as part
167 of their fuel cycle can be used as sources of highly-enriched uranium and plutonium, and
168 therefore can be used for nuclear weapons production. Indeed countries such as North Korea and
169 Iran have obtained help from the rest of the world to construct nuclear power plants ostensibly
170 for power production, but with the ulterior motive of building weapons. We need holistic
171 policies to solve these linked human threats to our environment, so that the solution to one does
172 not compromise the solutions to the others.

References

- 173
- 174 Ajavon, A. N., D. L. Albritton, and R. T. Watson, Co-Chairs (2007), *Scientific Assessment of*
175 *Ozone Depletion: 2006*, World Meteorological Organization Global Ozone Research and
176 Monitoring Project—Report No. 50 (World Meteorological Organization, Geneva).
- 177 Aleksandrov, V. V., and G. L. Stenchikov, (1983), On the modeling of the climatic consequences
178 of the nuclear war, *Proc. Applied Math, Computing Centre, USSR*, (Academy of Sciences,
179 Moscow), 21 pp.
- 180 Crutzen, P. J., and J. W. Birks (1982), The atmosphere after a nuclear war: Twilight at noon,
181 *Ambio*, 11, 115-125.
- 182 Gore, A. (2006), *An Inconvenient Truth*, (Rodale Press), 325 pp.
- 183 Harwell, M. A. and T. C. Hutchinson, Eds. (1986), *Environmental Consequences of Nuclear*
184 *War, SCOPE 28. Volume II, Ecological and Agricultural Effects*, (John Wiley & Sons, New
185 York).
- 186 Mills, M., and O. B. Toon (2006), Blown away: The Impact of nuclear conflicts on the global
187 stratospheric ozone layer, *Eos Trans. AGU*, 87(52), Fall Meet. Suppl., Abstract U14A-07.
- 188 Norris, R. S., and H. M. Kristensen (2006), *Bull. Atomic Scientists*, 62(4), 64.
- 189 Pittock, A. B., T. P. Ackerman, P. J. Crutzen, M. C. MacCracken, C. S. Shapiro, and R. P. Turco,
190 Eds. (1986), *Environmental Consequences of Nuclear War, SCOPE 28. Volume I, Physical*
191 *and Atmospheric Effects*, (John Wiley & Sons, New York).
- 192 Robock, A. (1984), Snow and ice feedbacks prolong effects of nuclear winter, *Nature*, 310, 667-
193 670.
- 194 Robock, A. (1989), Policy implications of nuclear winter and ideas for solutions, *Ambio*, 18,
195 360-366.

- 196 Robock, A., L. Oman, G. L. Stenchikov, O. B. Toon, C. Bardeen, and R. P. Turco (2006),
197 Climatic consequences of regional nuclear conflicts, *Atm. Chem. Phys. Disc.*, 6, 11,817-
198 11,843.
- 199 Robock, A., L. Oman, and G. L. Stenchikov (2007), Nuclear winter revisited with a modern
200 climate model and current nuclear arsenals: Still catastrophic consequences, Submitted to *J.*
201 *Geophys. Res.*, doi:2006JD008235.
- 202 Sagan, C., and R. Turco (1990), *A Path Where No Man Thought - Nuclear Winter and the End of*
203 *the Arms Race*, (Random House, New York).
- 204 Schmidt, G. A., et al. (2006), Present-day atmospheric simulations using GISS ModelE:
205 Comparison to in situ, satellite, and reanalysis data, *J. Clim.*, 19, 153-192.
- 206 Toon, O. B., R. P. Turco, A. Robock, C. Bardeen, L. Oman, and G. L. Stenchikov (2006),
207 Atmospheric effects and societal consequences of regional scale nuclear conflicts and acts of
208 individual nuclear terrorism, *Atm. Chem. Phys. Disc.*, 6, 11,745-11,816.
- 209 Toon, O. B., A. Robock, R. P. Turco, C. Bardeen, L. Oman, and G. L. Stenchikov (2007),
210 Consequences of regional-scale nuclear conflicts, *Science*, 315, 1224-1225.
- 211 Turco, R. P., O. B. Toon, T. P. Ackerman, J. B. Pollack, and C. Sagan (1983), Nuclear winter:
212 Global consequences of multiple nuclear explosions, *Science*, 222, 1283-1292.
- 213 Turco, R. P., O. B. Toon, T. P. Ackerman, J. B. Pollack, and C. Sagan (1990), Climate and
214 smoke: An appraisal of nuclear winter, *Science*, 247, 166-176.

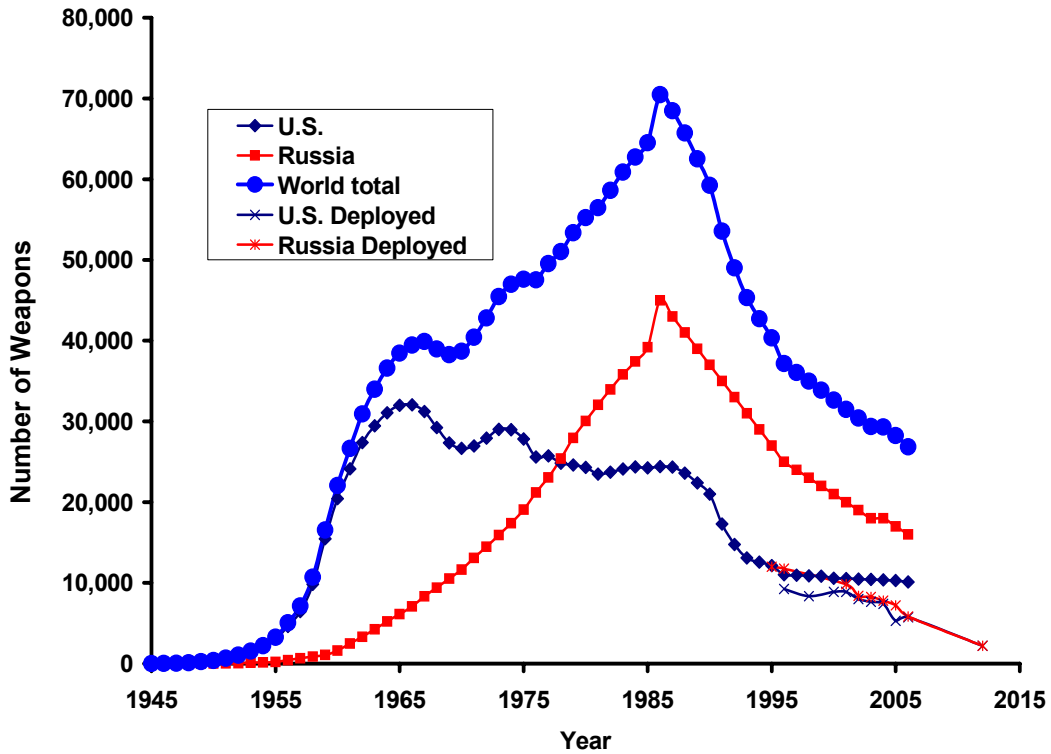


Figure 1. Number of nuclear warheads in Russia (USSR), the U.S. and the total for all the nuclear weapons states [Norris and Kristensen, 2006]. Russia and the U.S. have more than 95% of the warheads worldwide. The number of warheads began to fall after 1986 following the Intermediate-Range Nuclear Forces Treaty, and by 2005 was about one-third of its value at the peak in 1986. Current treaties do not require a future reduction in the numbers of warheads, only a reduction in the numbers of warheads that are on strategic delivery systems. Weapons on strategic delivery systems should decline to 1700-2200 for each country by 2012.

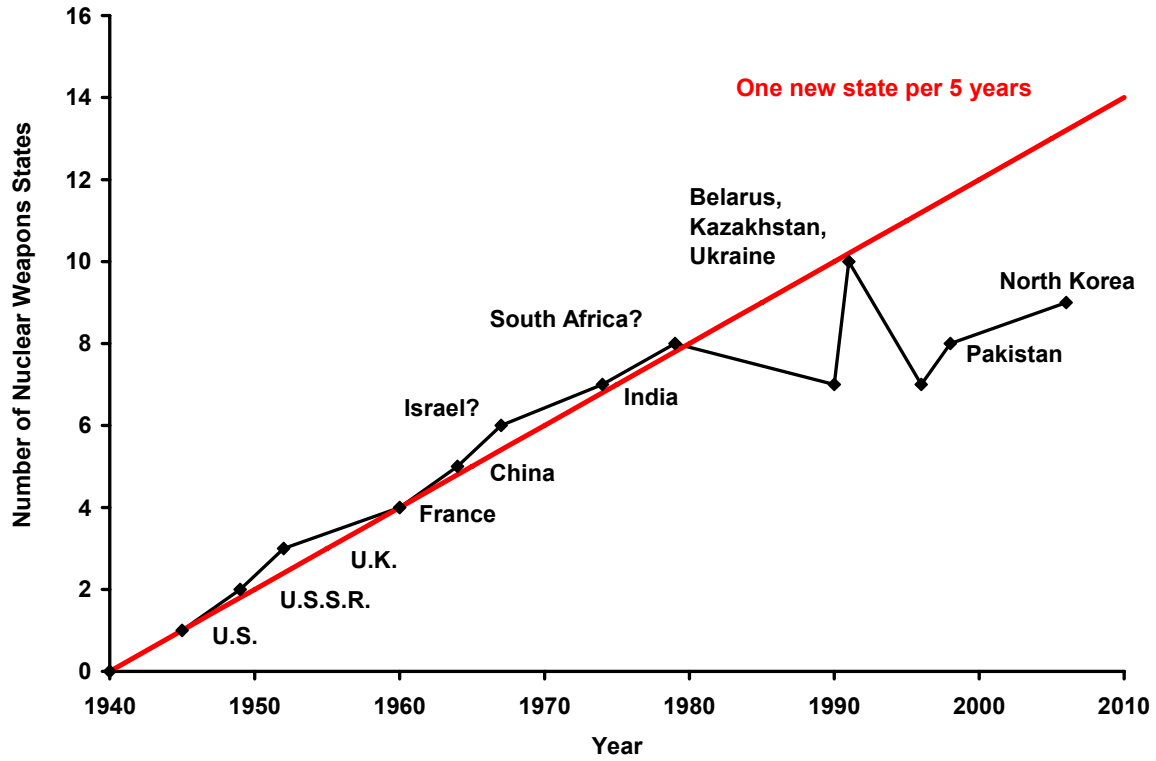


Figure 2. New nuclear states have steadily appeared since the invention of nuclear weapons. In this graph the date of the first test, or the date when weapons were obtained, is noted. Israel and South Africa did not test weapons so their dates to obtain weapons are uncertain. South Africa abandoned its arsenal in the 1990s. Ukraine, Belarus, and Kazakhstan also abandoned the weapons they inherited after they left the Soviet Union.