

Climatic Change

Studying Geoengineering with Natural and Anthropogenic Analogs

--Manuscript Draft--

Manuscript Number:	
Full Title:	Studying Geoengineering with Natural and Anthropogenic Analogs
Article Type:	Wood Special Issue
Corresponding Author:	Alan Robock Rutgers University UNITED STATES
Corresponding Author Secondary Information:	
Corresponding Author's Institution:	Rutgers University
Corresponding Author's Secondary Institution:	
First Author:	Alan Robock
First Author Secondary Information:	
Order of Authors:	Alan Robock Douglas MacMartin Riley Duren Matthew Christensen
Order of Authors Secondary Information:	
Abstract:	<p>Solar radiation management (SRM) has been proposed as a possible option for offsetting some anthropogenic radiative forcing, with the goal of reducing some of the associated climatic changes. There are clearly significant uncertainties associated with SRM, and even small-scale experiments that might reduce uncertainty would carry some risk. However, there are also natural and anthropogenic analogs to SRM, such as volcanic eruptions in the case of stratospheric aerosol injection and ship tracks in the case of marine cloud albedo modification. It is essential to understand what we can learn from these analogs in order to validate models, particularly because of the problematic nature of outdoor experiments. It is also important to understand what we cannot learn, as this might better focus attention on what risks would need to be solely examined by numerical models. Stratospheric conditions following a major volcanic eruption, for example, are not the same as those to be expected from intentional geoengineering, both because of confounding effects of volcanic ash and the differences between continuous and impulsive injection of material into the stratosphere. Nonetheless, better data would help validate models; we thus recommend an appropriate plan be developed to better monitor the next large volcanic eruption. Similarly, more could be learned about cloud albedo modification from careful study not only of ship tracks, but of ship and other aerosol emission sources in cloud regimes beyond the narrow conditions under which ship tracks form; this would benefit from improved satellite observing capabilities.</p>
Suggested Reviewers:	<p>Hans Graf Cambridge University hfg21@cam.ac.uk Expert in the effects of volcanic eruptions on climate</p> <p>Larry Thomason NASA Langley l.w.thomason@nasa.gov Expert on observing volcanic aerosol clouds</p> <p>Veronika Eyring DLR</p>

	veronika.eyring@dlr.de Expert on shiptracks
	Graham Feingold NOAA Graham.Feingold@noaa.gov Expert on clouds and aerosols

1
2
3
4 **Studying Geoengineering with Natural and Anthropogenic Analogs**
5
6
7

8 Alan Robock^a, Douglas G. MacMartin^b, Riley Duren^c,
9 and Matthew W. Christensen^d
10

11
12
13 ^aDepartment of Environmental Sciences, Rutgers University,
14 14 College Farm Road, New Brunswick, NJ 08901
15

16 ^bControl and Dynamical Systems, California Institute of Technology,
17 1200 E. California Blvd., Pasadena, CA 91125
18

19
20 ^cJet Propulsion Laboratory, California Institute of Technology,
21 4800 Oak Grove Dr., Pasadena, CA 91109
22

23
24 ^dDepartment of Atmospheric Science, Colorado State University,
25 Fort Collins, CO 80523
26
27
28
29
30
31

32 Submitted to *Climatic Change*
33

34
35 December 2012
36
37
38
39
40
41
42
43
44
45
46
47

48 *Corresponding Author:*

49 Alan Robock
50 Department of Environmental Sciences
51 Rutgers University
52 14 College Farm Road
53 New Brunswick, NJ 08901
54 Phone: 848-932-5751
55 Fax: 732-932-8644
56 E-mail: robock@envsci.rutgers.edu
57
58
59
60
61
62
63
64
65

1
2
3
4 **Abstract**

5
6 2 Solar radiation management (SRM) has been proposed as a possible option for offsetting
7
8
9 3 some anthropogenic radiative forcing, with the goal of reducing some of the associated climatic
10
11 4 changes. There are clearly significant uncertainties associated with SRM, and even small-scale
12
13
14 5 experiments that might reduce uncertainty would carry some risk. However, there are also
15
16 6 natural and anthropogenic analogs to SRM, such as volcanic eruptions in the case of
17
18
19 7 stratospheric aerosol injection and ship tracks in the case of marine cloud albedo modification. It
20
21 8 is essential to understand what we can learn from these analogs in order to validate models,
22
23
24 9 particularly because of the problematic nature of outdoor experiments. It is also important to
25
26 10 understand what we cannot learn, as this might better focus attention on what risks would need to
27
28
29 11 be solely examined by numerical models. Stratospheric conditions following a major volcanic
30
31 12 eruption, for example, are not the same as those to be expected from intentional geoengineering,
32
33
34 13 both because of confounding effects of volcanic ash and the differences between continuous and
35
36 14 impulsive injection of material into the stratosphere. Nonetheless, better data would help
37
38
39 15 validate models; we thus recommend an appropriate plan be developed to better monitor the next
40
41 16 large volcanic eruption. Similarly, more could be learned about cloud albedo modification from
42
43
44 17 careful study not only of ship tracks, but of ship and other aerosol emission sources in cloud
45
46 18 regimes beyond the narrow conditions under which ship tracks form; this would benefit from
47
48 19 improved satellite observing capabilities.
49

50
51 20
52
53 21 **Keywords:** Geoengineering, Volcanic eruptions, Ship Tracks, Aerosols
54
55
56
57
58
59
60
61
62
63
64
65

22 **1. Introduction**

23 Geoengineering by means of solar radiation management (SRM) has been suggested as a
24 potential approach (in concert with mitigation of greenhouse gas emissions) to manage climate
25 change (Crutzen, 2006; Shepherd et al., 2009; GAO, 2011). We focus here on two SRM ideas in
26 particular: the intentional introduction of stratospheric aerosols to scatter some incoming sunlight
27 (e.g., Budyko, 1977), and altering the albedo of marine boundary layer clouds by injecting
28 additional aerosols (Latham, 1990). Before decisions can be made about implementation, it is
29 essential to improve our scientific understanding of likely positive and negative impacts. Much
30 of this understanding can come from numerical modeling (Bretherton and Rasch, 2013).
31 Outdoor experiments might address some gaps in knowledge, but even small-scale experiments
32 outside a laboratory environment could carry some risk (SRMGI, 2011). However, for both of
33 the concepts considered here, there are natural or anthropogenic analogs: volcanic eruptions have
34 provided the motivation for stratospheric aerosol SRM, while observations of ship tracks have
35 provided the motivation behind marine cloud brightening. The processes related to these analogs
36 are also important for understanding climate change itself. Here we discuss using analogs to
37 study SRM.

38 While volcanic eruptions provide the evidence that increased stratospheric aerosols
39 would indeed cool the planet, there are many reasons for concern about geoengineering with
40 stratospheric aerosols (Robock, 2008), with many of these concerns yet to be quantified.
41 Increasing marine boundary layer cloud albedo through injection of sea-salt aerosols to form
42 additional cloud condensation nuclei (CCN) could have different undesired side-effects than
43 stratospheric aerosols (e.g., Jones et al., 2009), and the effectiveness is more poorly understood.

1
2
3
4 44 For example, the conditions under which adding CCN would increase cloud albedo are not well
5
6
7 45 known (Wang et al., 2011).
8

9 46 A long-term roadmap for geoengineering research (e.g., Caldeira and Keith, 2010) would
10
11 47 clearly involve more modeling studies than have been done to date, possibly some limited small-
12
13
14 48 scale but open-atmosphere experiments to resolve specific process questions (David Keith and
15
16 49 James Anderson, personal communication, 2012), and only if implementation were planned, an
17
18
19 50 initial subscale deployment phase to better understand the climate response (MacMynowski et
20
21 51 al., 2011); progress would also be needed in governance appropriate to each stage. However,
22
23
24 52 missing from this description is that much can be learned from a better understanding of natural
25
26 53 and anthropogenic analogs, both to directly understand potential consequences, and to evaluate
27
28
29 54 models. This knowledge could minimize or altogether avoid any risky experimentation with the
30
31 55 planet. Here we discuss fundamental questions about SRM that can be studied using analogs.
32

33 56 **2. Volcanic Analogs**

34
35
36 57 The observation that large volcanic eruptions cool the planet was one of the original
37
38 58 motivations for suggesting geoengineering (e.g., Budyko, 1977, Crutzen, 2006), with the
39
40
41 59 eruption of Mount Pinatubo in 1991 for example cooling the planet by roughly 0.5°C (Soden et
42
43 60 al., 2002) by the injection of 20 Mt sulfuric acid into the stratosphere, producing more than 30
44
45 61 Mt of sulfate aerosols (Bluth et al., 1992). However, while it is clear from these natural analogs
46
47
48 62 of geoengineering that “mimicking” a volcanic eruption by producing sulfate or other aerosols in
49
50
51 63 the stratosphere will result in cooling, there are many uncertainties regarding both the
52
53 64 effectiveness and the side effects (i.e., the risks). One of the most valuable opportunities for
54
55 65 reducing the uncertainties and risks of geoengineering with stratospheric aerosols thus comes
56
57
58
59
60
61
62
63
64
65

66 from further study of past volcanic eruptions and from studying the climate system response to
67 future volcanic eruptions.

68 One of the main differences between a somewhat permanent stratospheric aerosol cloud
69 proposed for geoengineering and clouds produced by volcanic eruptions is the lifetime. The e-
70 folding lifetime of stratospheric clouds from tropical volcanic eruption is about one year
71 (Robock, 2000), while it is 2-4 months for those from high latitude eruptions (Kravitz and
72 Robock, 2011). (This also informs us about the frequency of stratospheric aerosol precursors
73 that would be needed to maintain a cloud in the stratosphere.) The difference in lifetimes means
74 that climate system responses with long time scales, such as oceanic responses, would be
75 different between volcanic eruptions and geoengineering, but rapid responses, such as seasonal
76 responses of monsoon circulations and precipitation would be quite similar, and the volcanic
77 analog would be appropriate. For example, MacMynowski et al. (2011a, 2011b) showed that
78 precipitation response to stratospheric forcing had only a weak dependence on the frequency of
79 the applied forcing, in contrast to the temperature response, which depends on the longer
80 timescales imposed by ocean thermal inertia.

81 **2.1. Lessons from past volcanic eruptions**

82 Volcanic eruption analogs already tell us many things about the potential effects of
83 stratospheric aerosol clouds. These were briefly discussed by Robock et al. (2008), but there are
84 many more examples, discussed here, including additional things that could be learned from
85 more studies. The beneficial impacts include:

86 *Cool the surface, reducing ice melt and sea level rise.* It is well-known that global
87 average climate cools after large volcanic eruptions (Robock, 2000). After the 1991 Mt.
88 Pinatubo eruption, in addition to the global cooling, Stenchikov et al. (2009) and Otterå et al.

1
2
3
4 89 (2010) found long-term impacts on ocean heat content and sea level, and Zanchettin et al. (2010)
5
6
7 90 found an impact on North Atlantic Ocean circulation a decade later, so we might expect impacts
8
9 91 from SRM also, but would need models and not observations to quantify them.

10
11 92 *Increase the CO₂ sink.* Following volcanic eruptions, observations show an increase of
12
13
14 93 the CO₂ sink from global vegetation. The main cause is a shift from direct to diffuse solar
15
16 94 radiation (Robock, 2000), which enhances vegetation growth (Mercado et al., 2009). But net
17
18
19 95 primary productivity also responds to temperature and precipitation changes, and vegetation
20
21 96 adjusts to changing conditions, so the net effect from a continuous stratospheric aerosol cloud
22
23
24 97 needs further study.

25
26 98 However, volcanic analogs also suggest a number of negative effects from a continuous
27
28
29 99 stratospheric aerosol cloud. These include:

30
31 100 *Reduced summer monsoon precipitation.* The reduction in sunlight after large volcanic
32
33
34 101 eruptions cools land more than oceans. In the summer, this reduces the temperature contrast
35
36 102 between warm continents and cooler oceans, weakening the African and Asian summer monsoon
37
38 103 circulation and its resultant precipitation. This has been observed after every major volcanic
39
40
41 104 eruption, including 1783 Laki and 1912 Katmai (Oman et al., 2006), 1982 El Chichón (Robock
42
43 105 and Liu, 1994), and 1991 Pinatubo (Trenberth and Dai, 2007). Anchukaitis et al. (2010) showed
44
45
46 106 the average effect on the summer Asian monsoon using tree rings for many centuries. Whether
47
48 107 this effect is truly dangerous depends on the proposed SRM strategy, but it would be difficult to
49
50
51 108 design an SRM strategy without negative impacts on precipitation (Ricke et al., 2010).

52
53 109 *Destroy ozone, allowing more harmful UV at the surface.* Observations following the
54
55
56 110 1982 El Chichón and 1991 Pinatubo eruptions showed additional ozone depletion because of
57
58 111 heterogeneous chemistry on the additional stratospheric aerosols, in the same process that
59
60
61
62
63
64
65

1
2
3
4 112 produces the spring ozone hole over Antarctica on polar stratospheric clouds (Solomon, 1999).
5
6 113 This has also been simulated in response to SRM (e.g., Tilmes, et al., 2008).
7
8

9 114 *Produce rapid warming when stopped.* Observations show that once a volcanic cloud is
10
11 115 removed from the atmosphere, the climate system rapidly warms. If global warming were
12
13 116 balanced by a long-term stratospheric aerosol cloud, balancing a large positive radiative forcing,
14
15 117 this warming rebound would produce a much more rapid climate change than the gradual climate
16
17 118 change now happening because of increasing greenhouse gases.
18
19
20

21 119 *Make the sky white.* A volcanic aerosol cloud makes the sky whiter, particularly near the
22
23 120 Sun, where a large amount of the sunlight is forward scattered (e.g., Plate 3, Robock, 2000).
24
25 121 Kravitz et al. (2012) showed that this would also be the case for stratospheric SRM. However, it
26
27 122 would produce pretty sunsets (Zerefos et al., 2007).
28
29
30

31 123 *Reduce solar power.* The same process that increases diffuse sunlight reduces direct
32
33 124 sunlight, affecting solar thermal electricity generation. Murphy (2009) found that for 9 solar
34
35 125 thermal power plants in California during the summer of 1992 after the 1991 Pinatubo eruption,
36
37 126 the summer on-peak capacity was reduced by 34% from pre-Pinatubo levels.
38
39
40

41 127 *Perturb the ecology with more diffuse radiation.* The same mechanisms that would
42
43 128 increase the CO₂ sink would affect different plants differently, and the net effect on ecosystems
44
45 129 and agriculture is not clear. Certainly there would be changes.
46
47

48 130 *Damage airplanes flying in the stratosphere.* Following the 1991 Pinatubo eruption, in
49
50 131 addition to direct airplane damage from volcanic ash encounters immediately after the eruption,
51
52 132 there was long-term damage to airplanes flying through a dilute sulfuric acid bath, particularly
53
54 133 on polar routes where commercial aircraft entered the lower stratosphere. This required more
55
56 134 frequent replacement of windows and other surfaces (Bernard and Rose, 1996).
57
58
59
60
61
62
63
64
65

1
2
3
4 135 *Degrade astronomical observations.* Any cloud that reflects some sunlight back to space
5
6 136 will also reflect starlight. Furthermore, it will heat the stratosphere, producing enhanced
7
8
9 137 downward longwave radiation, and could impact stratospheric water vapor content; these would
10
11
12 138 affect IR astronomy. How important these effects would be for astronomical observations
13
14 139 remains to be determined. It would be interesting to search for such effects after the 1991
15
16 140 Pinatubo eruption, and determine how such a cloud in the future would affect modern
17
18
19 141 astronomical equipment and stargazing.

20
21 142 *Affect remote sensing.* A stratospheric aerosol cloud would also affect shortwave and
22
23
24 143 longwave radiation leaving Earth and observed by satellites. After the 1982 El Chichón
25
26 144 eruption, the simultaneous development of a very large El Niño was not detected for months,
27
28
29 145 since the enhanced longwave emissions from the warm ocean were masked by the stratospheric
30
31 146 cloud (Strong, 1984). At the same time, famine warning systems were triggered by erroneous
32
33
34 147 inputs to normalized difference vegetation index calculations.

35 36 148 **2.2. What more can we learn from future eruptions?**

37
38 149 While past volcanic eruptions inform us of some of the potential impacts of stratospheric
39
40
41 150 aerosol clouds, there are several additional questions that can be addressed by planning for
42
43 151 observations of the next large eruption, as well as additional study of past ones. These include:

44
45 152 *What will be the size distribution of sulfate aerosol particles created by geoengineering?*
46
47
48 153 Will they remain at the typical effective radius of about 0.5 μm observed after Pinatubo, or will
49
50
51 154 they grow as additional sulfate creates larger rather than more particles? Even though a typical
52
53 155 large volcanic eruption is a one-time stratospheric injection, we can learn from the initial
54
55 156 processes of conversion from SO_2 gas to sulfate particles and then to particle growth. The issue
56
57
58 157 of how particle sizes evolve for geoengineering has been addressed through simulations
59
60
61
62
63
64
65

1
2
3
4 158 (Heckendorn et al. 2009, Hommel and Graf, 2010, English et al. 2012a), but there are limited
5
6
7 159 data to support analysis. It is also important to understand how particle size evolution depends
8
9 160 on injection strategy (injecting SO₂ or H₂SO₄) and the pattern of injection (Pierce et al., 2010;
10
11
12 161 English et al., 2012a). Such models can be tested by imposing the exact emissions from future
13
14 162 volcanic eruptions, if the particle evolution from the eruptions is well monitored.

15
16 163 *How will the aerosols be transported throughout the stratosphere?* Under what
17
18
19 164 conditions do tropical injections gradually spread globally? Do injections in the subtropics stay
20
21 165 in one hemisphere? What are their lifetimes? How do high latitude injections behave? How
22
23
24 166 does the phase of the Quasi-Biennial Oscillation affect the transport? Does the ENSO phase play
25
26 167 a role through tropospheric impacts on atmospheric circulation? What is the dependence on the
27
28
29 168 height of the injections?

30
31 169 *How do temperatures change in the stratosphere as a result of the aerosol interactions*
32
33
34 170 *with shortwave (particularly near IR) and longwave radiation?* Is there a response in the
35
36 171 circulation to these temperature and resulting geopotential height changes? This question is
37
38
39 172 intimately related to the question above and the next two questions.

40
41 173 *Are there large stratospheric water vapor changes associated with stratospheric*
42
43 174 *aerosols? Is there an initial injection of water from the eruption?* How do temperature and
44
45
46 175 circulation changes in the stratosphere affect the tropical tropopause layer, and does heating this
47
48 176 layer allow more water to enter the stratosphere? There were not robust observations of large
49
50
51 177 impacts of the 1991 Pinatubo eruption on stratospheric water vapor, but was this a result of a
52
53 178 poor observing system?

54
55 179 *Is there ozone depletion from heterogeneous reactions on the stratospheric aerosols?*
56
57
58 180 How do changes in other species, such as H₂O, Br, and Cl, interact with the ozone chemistry, and
59
60
61
62
63
64
65

1
2
3
4 181 what is the dependence on temperature changes and the location and time of year of the aerosols?
5
6 182 Simulations of increased aerosol loading have also found changes in upper tropospheric
7
8
9 183 chemistry (Hendricks et al., 1999).

10
11 *As the aerosols leave the stratosphere, and as the aerosols affect the upper troposphere*
12 *temperature and circulation, are there interactions with cirrus clouds? Do cirrus clouds*
13
14 185 *increase or decrease, and how do these changes depend on the aerosol concentration and*
15
16 186 *particular atmospheric conditions? How can observed cirrus changes be attributed to volcanic*
17
18 187 *effects as compared to changes that would take place in response to normal climate variability?*
19
20 188 The connection between stratospheric sulfate aerosols and cirrus clouds in the upper troposphere
21
22 189 has been studied in the context of volcanoes, with some studies indicating an effect from
23
24 190 volcanic eruptions mixed with a signal from El Niño/Southern Oscillation (ENSO) (e.g., Wylie
25
26 191 et al. 1994, Sassen et al. 1995, Song et al. 1996), but others finding no impact (Luo et al. 2003,
27
28 192 Massie et al. 2003, Lohmann et al. 2003). The issue is important and not yet resolved, but
29
30 193 Kuebbeler et al. (2012) modeling found cirrus impacts of geoengineering would enhance the
31
32 194 global cooling.
33
34
35
36
37
38
39
40

41 196 *How will tropospheric chemistry be affected by stratospheric geoengineering? What is*
42
43 197 *the impact of the “rain-out” of stratospheric aerosols into the upper troposphere? Will the*
44
45 198 *changing distribution of ultraviolet light caused by ozone depletion have subsequent impacts on*
46
47 199 *the troposphere, particularly through OH and NO_x chemistry?*

200 **2.3. Differences between volcanic eruptions and stratospheric geoengineering**

51
52
53 201 Volcanic eruptions are clearly analogous to SRM using stratospheric aerosols in many
54
55 202 ways, and thus serve as an important component of addressing the uncertainties listed above.
56
57
58 203 However, there are also a few important differences:

1
2
3
4 204 *Volcanic eruptions are into a clean stratosphere.* The most significant difference is that
5
6
7 205 injecting sulfate into a “clean” stratosphere results in a different coagulation problem from a
8
9 206 continuous injection scenario. Theoretical studies show that massive volcanic eruptions
10
11 207 (Timmreck et al., 2010) or continuous injection (Heckendorn et al., 2009) will result in larger
12
13
14 208 particles than after a one-time injection such as from the 1991 Pinatubo eruption. The larger
15
16 209 mean radii expected for geoengineering would result not only in higher concentrations being
17
18
19 210 required to obtain the same radiative forcing, but also more rapid fallout into the troposphere,
20
21 211 which would both increase the injection rate required to sustain the desired geoengineering effect
22
23
24 212 and increase the potential for impacts on cirrus and upper tropospheric chemistry.

25
26 213 *Volcanic eruptions also include significant ash.* Therefore, it may be difficult to
27
28
29 214 determine whether any initial effect observed (or not) on cirrus cloud formation, for example, is
30
31 215 due to the ash rather than the sulfate. The lifetime of the ash is shorter than that of the aerosols,
32
33
34 216 so this attribution question is primarily a challenge immediately after an eruption.

35
36 217 *The time-scale of radiative forcing is different.* This needs to be taken into account in
37
38
39 218 extrapolating between the climate response observed after a volcanic eruption and what would be
40
41 219 expected for continuous injection. For example, land-sea temperature contrast and precipitation
42
43 220 respond to radiative forcing changes relatively rapidly (Dong et al., 2009), but global mean
44
45
46 221 temperature changes more slowly, and hence the ratio of precipitation to temperature changes
47
48 222 should be expected to be much more pronounced after a volcanic eruption than due to continuous
49
50
51 223 SRM (MacMynowski et al, 2011b).

52
53 224 Because of the above differences, observations cannot be used as a direct estimate for
54
55
56 225 conditions under continuous geoengineering. Regardless of the data available after an eruption,
57
58 226 there will remain uncertainty in the factors listed above. These uncertainties can be limited by
59
60
61
62
63
64
65

1
2
3
4 227 modeling or more representative outdoor direct testing, which for some uncertainties may require
5
6 228 “tests” large enough to look more like deployment (Robock et al., 2010). Because of governance
7
8
9 229 and other issues, such in situ testing may never take place (Robock, 2012).

11 230 **2.4. Volcanic monitoring**

13
14 231 The ability to successfully take observations after a volcanic eruption would be extremely
15
16 232 valuable for validating models. However, previous large eruptions have not been sufficiently
17
18
19 233 well monitored. More information is required, for example, regarding the initial aerosol
20
21 234 concentrations in order to better validate particle formation, coagulation, and evolution models.
22
23
24 235 Thus we make two recommendations.

25
26 236 First, more can be learned from further data mining from past eruptions; in addition to
27
28
29 237 improving our knowledge, this will also clarify the observational gaps that need to be filled. The
30
31 238 focus specifically on the uncertainties associated with geoengineering leads to a different
32
33 239 perspective and hence possibly different questions from what might be asked if the goal were
34
35
36 240 solely to understand volcanic eruptions. For example, it is insufficient to know whether a
37
38 241 volcanic eruption does or does not have some impact on cirrus, without being able to separate
39
40
41 242 out effects due to ash, or understand the dependence on the aerosol size distribution.

42
43 243 Second is to develop either a rapid response system or system for continuous
44
45 244 observations so that we are ready for the next large volcanic eruption, and can gather the data
46
47
48 245 needed to validate models. The evolution in stratospheric sulfate aerosol size distribution occurs
49
50 246 over the first few months after an eruption (Stenchikov et al., 1998; English et al., 2011, English
51
52
53 247 et al., 2012b), underscoring the need for a rapid response capability. Sustained observations
54
55 248 would be required from less than roughly 3 months to 18 months following a massive eruption to
56
57
58 249 capture the initial ramp-up, peak, and ramp-down of aerosol concentrations.

1
2
3
4 250 To provide data for validating the modeling of particle size distributions and their
5
6
7 251 evolution, a volcanic monitoring system would need to obtain observations during the first few
8
9 252 months after an eruption. This means that any rapid response system (e.g., using balloons) needs
10
11
12 253 to be available for deployment at any time, with funding in place for the personnel and
13
14 254 equipment. This rapid response capability needs to be in addition to sustained background
15
16 255 observations (e.g., Deshler et al., 2003).

17
18
19 256 To be of most use, a volcanic cloud monitoring system will need to measure the spatial
20
21 257 peak (highest concentration) of the plume. Limb-scanning satellite measurements, such as
22
23
24 258 SAGE-II, did not see the densest part after the 1991 Pinatubo eruption (Stenchikov et al., 1998).
25
26 259 For balloon-based observing, this also requires a plume forecast capability (Vernier and Jumelet,
27
28
29 260 2011). Satellite observations will also need independent data on the aerosol size distribution if
30
31 261 existing retrieval techniques depend on such assumptions. Stratospheric chemistry observations
32
33 262 will require high resolution measurements with stratospheric balloons or high altitude aircraft.
34
35
36 263 Cirrus is adequately observed with existing systems (Sassen et al, 2008; Vernier et al., 2009);
37
38 264 uncertainties in cirrus impact are thus related to natural variability, and uncertainties in aerosol
39
40
41 265 concentrations in the densest part of the volcanic plume.

42 43 266 **3. Ship tracks and marine cloud brightening**

44
45 267 Increasing the brightness of marine boundary layer clouds through the injection of
46
47
48 268 aerosols such as sea salt (Latham, 1990) has also been proposed as a means of solar radiation
49
50
51 269 management. This strategy derives from the observation of ship tracks, where, depending on
52
53 270 conditions, there is a clear cloud signal resulting from the injection of aerosols from the ship
54
55 271 exhaust (Christensen and Stephens, 2011). However, the complexity of cloud-aerosol
56
57
58 272 interactions results in substantial uncertainties as to the effectiveness of this approach. As in the
59
60
61
62
63
64
65

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

273 case of using volcanic eruptions as an analog to stratospheric aerosol geoengineering, there is
274 much that can be learned from analogs. In this case, the principal analogs are anthropogenic, in
275 the form of ship exhaust or emissions from coastal sites, although volcanic plumes in the
276 boundary layer have also been explored (Yuan et al., 2011). A more thorough analysis of
277 existing data would both improve our knowledge and clarify the observational gaps that need to
278 be filled. There are also observational gaps that limit our current ability to assess this approach,
279 such as the entrainment rate, or direct measurement of albedo at high spatial resolution.

280 The key concept is that increasing the number of cloud condensation nuclei (CCN) while
281 keeping cloud liquid water constant results in more, smaller, droplets, and an increase in cloud
282 albedo, the “Twomey” effect (Twomey, 1974). However, liquid water path (LWP) rarely
283 remains constant, due to changes in precipitation and entrainment with increasing aerosol
284 (Ackerman et al, 2004), and these changes can produce radiative impacts of the same order as
285 those predicted from the Twomey hypothesis (e.g., Lohmann and Feichter, 2005).
286 Stratocumulus clouds also tend to naturally “buffer” against processes (such as changing aerosol)
287 that change cloud albedo and precipitation (Stevens and Feingold, 2009), for example through
288 changes in entrainment. As a consequence, robust relationships among changes in precipitation,
289 cloud albedo, and cloud coverage have not yet been established from observations. Furthermore,
290 we have inadequate observations to analyze the processes which influence these cloud
291 properties. The challenges in understanding all of the feedbacks involved, and when the
292 introduction of aerosols leads to greater albedo, and when it does not, points to the need both for
293 careful data analysis, and for greater observational capability.

1
2
3
4 **294 3.1 Key Uncertainties**

5
6
7 295 There are several important uncertainties that would need to be resolved to understand
8
9 296 the effectiveness and impact of marine cloud brightening for geoengineering. The first two we
10
11 297 list here are closely related, and are also essential for understanding cloud-aerosol interactions
12
13
14 298 for climate change modeling in general.

15
16 299 a) The sensitivities of marine cloud albedo to specific processes and parameters are poorly
17
18 understood (e.g., entrainment, LWP, turbulent kinetic energy (TKE), number density,
19 300 cloud fraction), which limits our ability to determine under what conditions the net
20
21 301 albedo increases with increased aerosols. In particular, no observational studies are able
22
23 302 to measure the albedo sensitivity to entrainment and TKE.
24
25
26 303

27
28
29 304 b) Much of the data analysis to date has focused on ship tracks, as they represent the most
30
31 305 visible change due to aerosols. However, exhaust plumes do not always produce ship
32
33 306 tracks, and the clouds that are receptive to the plumes span a limited range of
34
35 stratocumulus conditions, typically less than 1 km cloud top height in a relatively clean
36 307 environment (Coakley et al., 2000). It is also important to understand the aerosol indirect
37
38 308 effect on clouds from other (non-ship track) emissions and pollution, including large
39
40 309 smelters and volcanic plumes. Given the larger variability and range of environmental
41
42 310 conditions, there could be greater uncertainty in the magnitude of the effect of additional
43
44 311 aerosols on cloud albedo outside of the narrow range of conditions where ship tracks are
45
46 312 visible.
47
48 313

49
50
51 314 c) Assessment of the predicted climate response to the spatially inhomogeneous radiative
52
53 315 forcing introduced by selective brightening of marine boundary layer clouds. To offset a
54
55 316 significant fraction of anthropogenic radiative forcing using this approach, large changes
56
57
58
59
60
61
62
63
64
65

1
2
3
4 317 in radiative forcing would be required over relatively small spatial extent, with unknown
5
6 318 climate impact. For example, simulations by Jones et al. (2009) offset 35% of the
7
8
9 319 radiative forcing due to current greenhouse gases with marine cloud brightening, but
10
11 320 found detrimental effects on precipitation and net primary productivity in some regions,
12
13
14 321 particularly for the Amazon. There could also be a large impact on drizzle and
15
16 322 precipitations along coastlines; further assessments are clearly needed.

19 323 **3.2 What have we learned, and what are the gaps?**

21 324 There have been several comparative albedo studies for ship-tracks (e.g., Schreier et al.,
22
23 325 2007; Christensen and Stephens, 2011; Peters et al., 2011; Chen et al., 2012), as well as other
24
25 326 emission sources such as volcanic plumes in the boundary layer (Yuan et al., 2011; Gasso et al.,
26
27 327 2008). Some of the uncertainties above could also be addressed through experiments that
28
29 328 intentionally introduce aerosols while monitoring cloud properties, such as the recent Eastern
30
31 329 Pacific Emitted Aerosol Cloud Experiment (Chen et al., 2012). Whether the aerosols are
32
33 330 introduced in a controlled experiment, or the effects of current aerosol emissions are monitored,
34
35 331 there are gaps in our observational capabilities. Table 1 summarizes capabilities and gaps in
36
37 332 observations of key parameters for past field experiments as well as satellite observations.

43 333 Aerosol-cloud interactions are complex and cloud albedo is not always enhanced by
44
45 334 increasing the aerosol concentration. For example, Christensen et al. (2012) found that cloud
46
47 335 dimming occurred as frequently as cloud brightening when ship tracks were observed in
48
49 336 precipitating closed cellular clouds. Cloud dimming primarily resulted from decreases in liquid
50
51 337 water path caused, presumably, by the enhanced entrainment of the dry overlying air into the
52
53 338 polluted clouds with smaller droplets. By contrast, ship tracks observed in open cells, where the
54
55 339 free-troposphere is relatively moist by comparison, almost always exhibited cloud brightening
56
57
58
59
60
61
62
63
64
65

1
2
3
4 340 compared to the surrounding unaffected clouds. The extent of LWP adjustments in response to
5
6 341 changes in aerosol concentrations remains largely uncertain for low-level clouds as a whole,
7
8
9 342 because these changes are linked to changes in entrainment and moisture in the free-troposphere,
10
11 343 and these variables are either not measured at all from space (entrainment) or not measured with
12
13
14 344 sufficient accuracy (moisture) to capture mixing at the entrainment interface.

15
16 345 Despite this progress on exploring the impact of aerosols on observed ship tracks,
17
18 346 radiative forcing estimates of these “linear” ship tracks from satellite observations cast
19
20
21 347 substantial doubt on the efficacy of using SRM strategies to brighten low-level clouds. Schreier
22
23 348 et al. (2007) demonstrate that the radiative effect can be as large 100 W m^{-2} at the individual
24
25
26 349 scale of the ship track, however, when integrated over the globe, the annual mean effect is
27
28
29 350 negligible (-0.4×10^{-3} to $-0.6 \times 10^{-3} \text{ W m}^{-2}$). Similar results were identified by Peters et al.
30
31 351 (2011), in which the properties of clouds were unchanged even near the world’s most densely
32
33 352 populated shipping lanes. However, although the impact has been shown to be negligible on the
34
35
36 353 global scale, ship tracks can still inform process understanding of aerosol-cloud interactions on
37
38 354 the cloud and regional scale. The aerosol indirect forcing in an individual ship track is inferred
39
40
41 355 from space using Moderate Resolution Imaging Spectroradiometer (MODIS)-derived optical
42
43 356 cloud properties, which leads to significant uncertainty in partly cloudy conditions, since there is
44
45
46 357 insufficient spatial resolution from current albedo measurements (e.g., Clouds and Earth’s
47
48 358 Radiant Energy System (CERES) footprint is $\sim 20 \text{ km}$). Higher resolution ($\sim 1 \text{ km}$) satellite-
49
50 359 based albedo measurements would improve the assessment of aerosol indirect effects in “linear”
51
52
53 360 ship track observational studies, and thus improve our understanding of aerosol indirect effects at
54
55 361 the process level.

1
2
3
4 362 Aerosol plumes that do not produce ship tracks but nonetheless affect the properties of
5
6 363 clouds after becoming widely dispersed are difficult, if not impossible to detect using current
7
8
9 364 satellite technology. Goren and Rosenfeld (2012) describe a case study in which the emissions
10
11 365 from ships affect the properties and increase the abundance of closed cellular stratocumulus for
12
13
14 366 several days. It is anticipated that this may significantly contribute to the global aerosol indirect
15
16 367 forcing because sulfur emissions from shipping largely outweigh the natural biogenic production
17
18
19 368 in many oceanic regions, especially in the Northern Hemisphere (Capaldo et al., 1999).
20
21 369 Presumably, a small fraction of these emissions go into producing ship tracks, while the
22
23
24 370 remaining aerosol affects the properties of stratocumulus to an unknown extent. General
25
26 371 circulation model simulations (Capaldo et al., 1999; Lauer et al., 2007) indicate that the radiative
27
28
29 372 effect from shipping could be as large as 40% of the total aerosol indirect forcing due to all
30
31 373 anthropogenic activities. Given the large discrepancies in the radiative forcing between satellite
32
33
34 374 observations and climate model results, we present this as an outstanding problem that demands
35
36 375 further investigation.

37
38 376 There may be additional opportunities to quantify the difference in the overall cloud
39
40
41 377 albedo. For example, radiative effects may manifest via the gradual phase-out of high sulfur
42
43 378 content bunker fuel over the next few decades (International Maritime Organization, 1998) or
44
45
46 379 manifest in the remote. Arctic ocean regions as ships will have the ability to travel in this area as
47
48 380 sea ice progressively melts.

49
50 381 Finally, understanding the climate response to brightening marine boundary layer clouds
51
52
53 382 would benefit from a new geoengineering modeling intercomparison project (GeoMIP)
54
55 383 surrounding low cloud albedo enhancement. The current GeoMIP study (Kravitz et al., 2011)
56
57
58 384 explores spatially uniform reductions in sunlight or stratospheric aerosols. Since not all models
59
60
61
62
63
64
65

1
2
3
4 385 have clouds in the same locations, or clouds receptive to albedo modification, care must be taken
5
6
7 386 as to whether a model intercomparison project is testing the robustness of the model-predicted
8
9 387 response to spatially inhomogeneous radiative forcing perturbations, or testing differences
10
11 388 between predicted cloud distributions, or testing differences between model parameterizations of
12
13
14 389 cloud-aerosol interaction. The GeoMIP project is currently expanding to conduct such
15
16 390 experiments.

19 391 **4. Summary**

21 392 Any long-term research strategy for evaluating geoengineering must include as an
22
23
24 393 essential component the evaluation of natural and anthropogenic analogs, volcanic eruptions in
25
26 394 the case of stratospheric aerosols and ship-tracks and other emission sources in the case of
27
28
29 395 marine boundary layer cloud brightening. These are imperfect analogs, and will not provide all
30
31 396 of the information required to assess effectiveness and risks. However, the ability of models to
32
33
34 397 match observations of analogs would increase confidence in their predictions of geoengineering
35
36 398 effects. Thus better evaluation of analogs could minimize or eliminate the need for open-
37
38 399 atmosphere testing of geoengineering.

41 400 Current observational capabilities are insufficient to address geoengineering risks. It is
42
43 401 particularly important to improve our observational capabilities prior to the next large volcanic
44
45
46 402 eruption, so that our best opportunity to better understand stratospheric geoengineering is not
47
48 403 missed. Similarly, improved instrumentation could improve our assessment of the global aerosol
49
50
51 404 indirect effect, in order to understand the potential for marine cloud brightening beyond the
52
53 405 narrow set of conditions in which ship tracks form. This is also timely, as changes in shipping
54
55 406 fuel may soon provide an unintended experiment, but one where we have not yet adequately
56
57
58 407 characterized the current baseline.

1
2
3
4 408 While the questions posed here are motivated by the need to better understand
5
6 409 geoengineering, addressing these questions would have major co-benefits to climate science in
7
8
9 410 general, by addressing key uncertainties in the models.
10

11 411
12 412
13
14 413 **Acknowledgments.** We thank the Keck Institute for Space Studies for funding two workshops
15
16 414 at the California Institute of Technology where we discussed topics in this paper, and all of the
17
18 participants of these workshops who contributed. ([http://www.kiss.caltech.edu/workshops/](http://www.kiss.caltech.edu/workshops/geoengineering2011)
19 415 [geoengineering2011](http://www.kiss.caltech.edu/workshops/geoengineering2011); <http://www.kiss.caltech.edu/workshops/geoengineering2011b>). A. Robock
20
21 416 is supported by NSF grant AGS-1157525. The work by R. Duren was done at the Jet Propulsion
22
23 417 Laboratory, a division of the California Institute of Technology under contract to the National
24
25
26 418 Aeronautics and Space Administration
27
28
29 419
30

31 420
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

421

Resource	N_d	Drizzle	CCN chemistry & microphysics	Turbulence	Entrainment Rate	LWC/LWP	Albedo	Cloud Thickness
MAST	✓	✓	some	✓	x	LWC	✓	✓
MACE-I & II	✓	✓	✓	✓	x	LWC	x	✓
E-PEACE	✓	✓	✓	✓	x	LWC	x	✓
VOCALS	✓	✓	✓	✓	x	✓	x	base
DYCOM-II	✓	✓	some	✓	✓	LWC	x	base
Satellite	x	✓	x	x	x	✓	✓	✓

422

423

424

425

426

427

428

429

430

431

Table 1. Cloud properties measured in different studies, or by satellite observations (bottom row). Studies include MAST (Ferek et al., 2000), MACE (Lu et al., 2009), E-PEACE (Chen et al., 2012), VOCALS (Wood et al., 2011), and DYCOM-II (Stevens et al, 2003). Measured properties listed here include cloud condensation nuclei (CCN) number density (N_d), cloud drizzle properties, CCN chemistry and microphysics, turbulence, entrainment rate, either liquid water content (LWC) or liquid water path (LWP), overall albedo changes, and cloud thickness measurements; measurements of entrainment and albedo are clear observational gaps in most of these experiments.

References

- 432
- 433 Ackerman AS, Kirkpatrick MP, Stevens DE, Toon, OB (2004) The impact of humidity above
434 stratiform clouds on indirect aerosol climate forcing. *Nature* 432 (7020):1014-1017
- 435 Albrecht BA (1989) Aerosols, cloud microphysics and fractional cloudiness. *Science* 245:1227-
436 1230
- 437 Anchukaitis KJ, Buckley BM, Cook ER, Cook BI, D'Arrigo RD, Ammann CM (2010) Influence
438 of volcanic eruptions on the climate of the Asian monsoon region, *Geophys. Res. Lett.* 37
439 (L22703) doi:10.1029/ 2010GL044843
- 440 Bernard A, Rose Jr. WI (1990) The injection of sulfuric acid aerosols in the stratosphere by El
441 Chichón volcano and its related hazards to the international air traffic. *Natural Hazards*, 3:59-
442 67
- 443 Bluth GJS, Doiron SD, Krueger AJ, Walter LS, Schnetzler CC (1992) Global tracking of the
444 SO₂ clouds from the June 1991 Mount Pinatubo eruptions, *Geophys. Res. Lett.*, 19:151-154
- 445 Bretherton C, Rasch PJ, (this issue) Can models reliably simulate the climate impacts of
446 stratospheric injection or cloud brightening? submitted to *Climatic Change*
- 447 Budyko MI (1977), *Climatic Changes* (American Geophysical Society, Washington, D.C.), 244
448 pp.
- 449 Caldeira K, Keith DW (Fall 2010) The need for climate engineering research. *Issues in Sci. and*
450 *Tech.* 57-62
- 451 Capaldo K, Corbett JJ, Kaslhatla P, Fischbeck P, Pandls SN (1999) Effects of ship emissions on
452 sulphur cycling and radiative climate forcing over the ocean. *Nature* 400:743–746
- 453 Coakley JA Jr et al. (2000) The appearance and disappearance of ship tracks on large spatial
454 scales. *J. Atmos. Sci.* 57:2765–2778

1
2
3
4 455 Chen Y-C et al. (2012) Occurrence of lower cloud albedo in ship tracks. *Atmos. Chem. Phys.*
5
6 12:8223-8235, doi:10.5194/acp-12-8223-2012
7 456
8
9 457 Christensen MW, Stephens GL (2011) Microphysical and macrophysical responses of marine
10
11 stratocumulus polluted by underlying ships: Evidence of cloud deepening. *J. Geophys. Res.*
12 458
13 116(D03201) doi:10.1029/2010JD014638.
14 459
15
16 460 Crutzen P (2006) Albedo enhancement by stratospheric sulfur injections: A contribution to
17
18 resolve a policy dilemma? *Climatic Change* 77:211-219
19 461
20
21 462 Deshler T, Hervig ME, Hofmann DI, Rosen JM, Liley JB (2003) Thirty years of in situ
22
23 stratospheric aerosol size distribution measurements from Laramie, Wyoming (41°N), using
24 463
25 balloon-borne instruments. *J. Geophys. Res.* 108(D5), 4167, doi:10.1029/2002JD002514
26 464
27
28 465 Dong G, Gregory JM, Sutton RT (2009) Understanding land-sea warming contrast in response to
29
30 increased greenhouse gases. Part I: Transient adjustment. *J. Climate* 22:3079-3097
31 466
32
33 467 English JM, Toon OB, Mills MJ, Yu F (2011) Microphysical simulations of new particle
34
35 formation in the upper troposphere and lower stratosphere. *Atmos. Chem. Phys.* 11:9303-
36 468
37 9322, doi:10.5194/acp-11-9303-2011
38 469
39
40 470 English JM, Toon OB, Mills, MJ (2012a) Microphysical simulations of sulfur burdens from
41
42 stratospheric sulfur geoengineering. *Atmos. Chem. Phys.* 12:4775-4793, doi:10.5194/acp-12-
43 471
44 4775-2012
45 472
46
47 473 English JM, Toon OB, Mills MJ, (2012b) Microphysical simulations of large volcanic eruptions:
48
49 Pinatubo and Toba, submitted to *J. Geophys. Res.*
50 474
51
52 475 Ferek RJ, et al. (2000) Drizzle suppression in ship tracks. *J. Atmos. Sci.* 57:2707–2728
53
54
55 476 GAO (2011) *Climate Engineering: Technical Status, Future Directions, and Potential Responses.*
56
57 Report GAO-11-71 (Government Accountability Office, Washington, DC), 135 pp.
58 477
59
60
61
62
63
64
65

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

478 Gassó S (2008), Satellite observations of the impact of weak volcanic activity on marine clouds.
479 J. Geophys. Res. 113(D14S19) doi:10.1029/2007JD009106

480 Goren T, Rosenfeld D (2012) Satellite observations of ship emission induced transitions from
481 broken to closed cell marine stratocumulus over large areas J. Geophys. Res. 117 (D17206)

482 Heckendorn P, Weisenstein D, Fueglistaler S, Luo BP, Rozanov E, Schraner M, Thomason LW
483 Peter T (2009) The impact of geoengineering aerosols on stratospheric temperature and
484 ozone. Environ. Res. Lett. 4 doi:10.1088/1748-9326/4/4/045108

485 Hendricks J, Lippert E, Petry H, Ebel A (1999) Heterogeneous reactions on and in sulphate
486 aerosols: Implications for the chemistry of the midlatitude tropopause region, J. Geophys.
487 Res. 104:5531-5550

488 Hommel R, Graf HF (2010) Modelling the size distribution of geoengineered stratospheric
489 aerosols. Atmos. Sci. Lett. 12:168-175, doi:10.1002/asl.285

490 International Maritime Organization (1998), Regulations for the prevention of air pollution from
491 ships and NOx technical code. ANNEX VI of MARPOL 73/78, London.

492 Jones A, Haywood J, Boucher O (2009) Climate impacts of geoengineering marine
493 stratocumulus clouds. J. Geophys. Res. 114(D10106) doi:10.1029/2008JD011450

494 Kravitz B, Robock A (2011) The climate effects of high latitude volcanic eruptions: The role of
495 the time of year. J. Geophys. Res. 116(D01105) doi:10.1029/ 2010JD014448

496 Kravitz B, Robock A, Boucher O, Schmidt H, Taylor K, Stenchikov G, Schulz M (2011) The
497 Geoengineering Model Intercomparison Project (GeoMIP). Atmospheric Science Letters
498 12:162-167, doi:10.1002/asl.316.

499 Kravitz B, MacMartin DG, Caldeira K (2012), Geoengineering: Whiter skies? Geophys. Res.
500 Lett. 39(L11801) doi:10.1029/2012GL051652

- 1
2
3
4 501 Kuebbeler M, Lohmann U, Feichter J (2012) Effects of stratospheric sulfate aerosol geo-
5
6 engineering on cirrus clouds, *Geophys. Res. Lett.*, 39, L23803, doi:10.1029/2012GL053797.
7 502
8
9 503 Latham J (1990) Control of global warming? *Nature* 347:339-340.
10
11 504 Lauer A, Eyring V, Hendricks J, Jockel P, Lohmann U (2007) Global model simulations of the
12
13 impact of ocean-going ships on aerosols, clouds, and the radiation budget. *Atmos. Chem.*
14 505
15
16 506 *Phys.* 7:5061-5079, doi:10.5194/acp-7-5061-2007
17
18
19 507 Lohmann U, Karcher B, Timmreck C (2003) Impact of the Mount Pinatubo eruption on cirrus
20
21 508 clouds formed by homogeneous freezing in the ECHAM4 GCM. *J. Geophys. Res.* 108(D18),
22
23 4568, doi:10.1029/2002JD003185
24 509
25
26 510 Lohmann U, Feichter, J (2005) Global indirect aerosol effects: A review. *Atm. Chem. Phys.*
27
28 511 5:715-737 doi:10.5194/acp-5-715-2005
29
30
31 512 Lu ML, Conant WC, Jonsson HH, Varutbangkul V, Flagan RC, Seinfeld JH (2007), The Marine
32
33 513 Stratus/Stratocumulus Experiment (MASE): Aerosol-cloud relationships in marine
34
35 stratocumulus, *J. Geophys. Res.* 112(D10209) doi:10.1029/2006JD007985
36 514
37
38 515 Luo ZZ, Rossow, WB, Inoue T, Stubenrauch CJ (2002) Did the eruption of the Mt. Pinatubo
39
40 volcano affect cirrus properties? *J. Climate* 15:2806-2820
41 516
42
43 517 MacMynowski DG, Keith DW, Caldeira K, Shin HJ (2011a) Can we test geoengineering? *Royal*
44
45 518 *Soc. J. Energy & Environmental Science* 4(12):5044-5052
46
47
48 519 MacMynowski DG, Shin HJ, Caldeira K (2011b) The frequency response of temperature and
49
50 precipitation in a climate model. *Geophys. Res. Lett.* 38(L16711)
51 520
52
53 521 Massie S, Randel W, Wu F, Baumgardner D, Hervig M (2003) Halogen Occultation Experiment
54
55 522 and Stratospheric Aerosol and Gas Experiment II observations of tropopause cirrus and
56
57 aerosol during the 1990s. *J. Geophys. Res.* 108(D7), 4222, doi:10.1029/2002JD002662
58 523
59
60
61
62
63
64
65

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65

524 Mercado LM et al. (2009) Impact of changes in diffuse radiation on the global land carbon sink.
525 Nature 458:1014-1018, doi:10.1038/nature07949

526 Murphy DM (2009) Effect of stratospheric aerosols on direct sunlight and implications for
527 concentrating solar power. Environ. Sci. Technol. 48(8):2784-2786, doi:10.1021/es802206b

528 Oman L, Robock A, Stenchikov GL, Thordarson T (2006) High-latitude eruptions cast shadow
529 over the African monsoon and the flow of the Nile. Geophys. Res. Lett. 33(L18711)
530 doi:10.1029/2006GL027665

531 Otterå OH, Bentsen M, Drange H, Suro LL (2010) External forcing as a metronome for Atlantic
532 multidecadal variability. Nature Geoscience 3(10):688-694

533 Peters K, Quaas J, Grassl, H (2011) A search for large-scale effects of ship emissions on clouds
534 and radiation in satellite data. J. Geophys. Res. 116(D24205) doi:10.1029/2011JD016531

535 Pierce JR, Weisenstein DK, Heckendorn P, Peter T, Keith DW (2010) Efficient formation of
536 stratospheric aerosol for climate engineering by emission of condensable vapor from aircraft.
537 Geophys. Res. Lett. 37(L18805) doi:10.1029/2010GL043975

538 Ricke KL, Morgan MG, Allen MR (2010) Regional climate response to solar-radiation
539 management Nature Geoscience 3:537-541

540 Robock A (2000) Volcanic eruptions and climate. Rev. Geophys. 38:191-219.

541 Robock A (2008) 20 reasons why geoengineering may be a bad idea. Bulletin Atomic Sci. 64:14-
542 18

543 Robock A (2012) Will geoengineering with solar radiation management ever be used? Ethics,
544 Policy & Environment 15:202-205

545 Robock A, Liu Y (1994) The volcanic signal in Goddard Institute for Space Studies three-
546 dimensional model simulations. J. Climate 7:44-55

- 1
2
3
4 547 Robock A, Oman L, Stenchikov G (2008) Regional climate responses to geoengineering with
5
6 548 tropical and Arctic SO₂ injections. *J. Geophys. Res.* 113 (D16101)
7
8
9 549 doi:10.1029/2008JD010050
10
11 550 Robock A, Bunzl M, Kravitz B, Stenchikov G (2010) A test for geoengineering? *Science*
12
13 327:530-531, doi:10.1126/science.1186237
14 551
15
16 552 Sassen K et al. (1995) The 5-6 December 1991 FIRE IFO-II Jet-stream Cirrus case-study:
17
18 Possible influences of volcanic aerosols. *J. Atm. Sci.* 52:97-123
19 553
20
21 554 Sassen K, Wang Z, Liu D (2008) Global distribution of cirrus clouds from CloudSat/Cloud-
22
23 Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) measurements. *J.*
24 555
25
26 556 *Geophys. Res.* 113(D00A12) doi:10.1029/2008JD009972
27
28
29 557 Schoeberl MR, Duncan BN, Schreier M, Mannstein H, Eyring V, Bovensmann H (2007) Global
30
31 558 ship track distribution and radiative forcing from 1 year of AATSR data. *Geophys. Res. Lett.*
32
33 559 34(L17814) doi:10.1029/2007GL030664
34
35
36 560 Shepherd J et al. (2009) *Geoengineering the Climate: Science, Governance and Uncertainty*,
37
38 561 Royal Society Policy document 10/09, (Royal Society, London, UK), 82 pp.
39
40
41 562 Soden BJ, Wetherald RT, Stenchikov GL, Robock A (2002) Global cooling following the
42
43 563 eruption of Mt. Pinatubo: A test of climate feedback by water vapor. *Science* 296:727-730
44
45
46 564 Solomon S (1999) Stratospheric ozone depletion: A review of concepts and history, *Rev.*
47
48 565 *Geophys.* 37:275-316
49
50
51 566 Song NH, Starr DO, Wuebbles DJ, Williams A, Larson SM (1996) Volcanic aerosols and
52
53 567 interannual variation of high clouds. *Geophys. Res. Lett.* 23:2657-2660
54
55
56
57
58
59
60
61
62
63
64
65

- 1
2
3
4 568 SRMGI (Solar Radiation Management Governance Initiative) (2011) Solar radiation
5
6
7 569 management: The governance of research. (Royal Society, London, UK), 69 pp.,
8
9 570 <http://www.srmgi.org/report/>
10
11 571 Stenchikov GL, Kirchner I, Robock A, Graf HF, Antuña JC, Grainger RG, Lambert A,
12
13
14 572 Thomason L (1998) Radiative forcing from the 1991 Mount Pinatubo volcanic eruption. J.
15
16 573 Geophys. Res. 103:13,837-13,857
17
18
19 574 Stenchikov G, Delworth TL, Ramaswamy V, Stouffer RJ, Wittenberg A, Zeng FR (2009)
20
21 575 Volcanic signals in oceans. J. Geophys. Res. 114(D16104) doi:10.1029/2008JD011673
22
23
24 576 Stevens B et al. (2003) Dynamics and Chemistry of Marine Stratocumulus - DYCOM-III. Bull.
25
26 577 Amer. Meteorol. Soc. 84:579-593
27
28
29 578 Strong AE (1984) Monitoring El Chichón aerosol distribution using NOAA-7 satellite AVHRR
30
31 579 sea surface temperature observations. Geofis. Int. 23:129-141
32
33
34 580 Tilmes S, Müller R, Salawitch R (2008) The sensitivity of polar ozone depletion to proposed
35
36 581 geoengineering schemes. Science 320:1201-1205 doi:10.1126/science.1153966
37
38
39 582 Tilmes S, Garcia RR, Kinnison DE, Gettelman A, Rasch PJ (2009) Impact of geo-engineered
40
41 583 aerosols on the troposphere and stratosphere. J. Geophys. Res. 114
42
43 584 doi:10.1029/2008JD011420.
44
45
46 585 Timmreck C, et al. (2010) Aerosol size confines climate response to volcanic supereruptions.
47
48 586 Geophys. Res. Lett. 37(L24705) doi:10.1029/2010GL045464
49
50
51 587 Trenberth KE, Dai A (2007) Effects of Mount Pinatubo volcanic eruption on the hydrological
52
53 588 cycle as an analog of geoengineering. Geophys. Res. Lett., 34(L15702)
54
55 589 doi:10.1029/2007GL030524.
56
57
58 590 Twomey S (1974) Pollution and the planetary albedo Atmos. Environ. 8:1251-1256
59
60
61
62
63
64
65

- 1
2
3
4 591 Vernier JP, Jumelet J (2011) Advances in forecasting volcanic plume evolution SPIE Newsroom
5
6
7 592 doi:10.1117/2.1201103.003530
8
9 593 Vernier JP et al. (2009) Tropical stratospheric aerosol layer from CALIPSO lidar observations J.
10
11 Geophys. Res. Lett. 114(D00H10) doi:10.1029/2009JD011946
12 594
13
14 595 Wang H, Rasch PJ, Feingold G (2011) Manipulating marine stratocumulus cloud amount and
15
16 596 albedo: a process-modelling study of aerosol-cloud-precipitation interactions in response to
17
18 injection of cloud condensation nuclei, Atmos. Chem. Phys. 11: 4237—4249,
19 597
20
21 598 doi:10.5194/acp-11-4237-2011
22
23 599 Wood R et al. (2011) The VAMOS Ocean-Cloud-Atmosphere-Land Study Regional Experiment
24
25 (VOCALS-REx): Goals, platforms, and field operations, Atmos. Chem. Phys. 11:627-654
26 600
27
28 601 doi:10.5194/acp-11-627-2011
29
30
31 602 Wylie DP, Menzel WP, Woolf HM, Strabal KI (1995) 4 years of global cirrus cloud statistics
32
33 603 using HIRS. J. Climate 7:1972-1986
34
35
36 604 Yuan T, Remer LA, Yu H (2011) Microphysical, macrophysical and radiative signatures of
37
38 605 volcanic aerosols in trade wind cumulus observed by the A-Train, Atmos. Chem. Phys.
39
40 11:7119-7132 doi:10.5194/acp-11-7119-2011
41 606
42
43 607 Zanchettin D, Rubino A, Jungclaus JH (2010) Intermittent multidecadal-to-centennial
44
45 608 fluctuations dominate global temperature evolution over the last millennium. Geophys.Res.
46
47 Lett. 37(L14702) doi:10.1029/2010GL043717
48 609
49
50 610 Zerefos CS, Gerogiannis VT, Balis D, Zerefos SC, Kazantzidis A (2007) Atmospheric effects of
51
52 volcanic eruptions as seen by famous artists and depicted in their paintings, Atmos. Chem.
53 611
54 Phys. 7:4027-4042
55 612
56
57
58 613
59
60
61
62
63
64
65