

Did the Toba Volcanic Eruption of ~74k BP Produce Widespread Glaciation?

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Abstract

1
2 It has been suggested that the Toba volcanic eruption, approximately 74 ka BP, was
3 responsible for the extended cooling period and ice sheet advance immediately following it, but
4 previous climate model simulations, using 100 times the amount of aerosols produced by the
5 1991 Mt. Pinatubo eruption, have been unable to produce such a prolonged climate response.
6 Here we conduct six additional climate model simulations with two different climate models, the
7 National Center for Atmospheric Research Community Climate System Model 3.0 (CCSM3.0)
8 and National Aeronautics and Space Administration Goddard Institute for Space Studies
9 ModelE, in two different versions, to investigate additional mechanisms that may have enhanced
10 and extended the forcing and response from such a large supervolcanic eruption. With CCSM3.0
11 we include a dynamic vegetation model to explicitly calculate the feedback of vegetation death
12 on surface fluxes in response to the large initial reduction in transmitted light, precipitation, and
13 temperature. With ModelE we explicitly calculate the effects of an eruption on stratospheric
14 water vapor and model stratospheric chemistry feedbacks that might delay the conversion of SO₂
15 into sulfate aerosols and prolong the lifetime and radiative forcing of the stratospheric aerosol
16 cloud. To span the uncertainty in the amount of stratospheric injection of SO₂, with CCSM3.0
17 we used 100 times the Pinatubo injection, and with ModelE we used 33, 100, 300, and 900 times
18 the Pinatubo injection without interactive chemistry, and 300 times Pinatubo with interactive
19 chemistry. Starting from a roughly present day seasonal cycle of insolation, CO₂ concentration,
20 and vegetation, or with 6k BP conditions for CCSM3.0, none of the runs initiates glaciation. The
21 CCSM3.0 run produced a maximum global cooling of 10 K and ModelE runs produced 8-17 K
22 of cooling within the first years of the simulation, depending on the injection, but in all cases, the
23 climate recovers over a few decades. Nevertheless, the “volcanic winter” following a

24 supervolcano eruption of the size of Toba today would have devastating consequences for
25 humanity and global ecosystems. These simulations support the theory that the Toba eruption
26 indeed may have contributed to a genetic bottleneck.

27

28 **1. Introduction**

29 The eruption of the Toba volcano (2.5°N, 99°E) on the island of Sumatra in Indonesia
30 (Figure 1) was the largest explosive event of at least the past 100,000 years [Zielinski, 1996;
31 *Oppenheimer*, 2002; *Self and Blake*, 2008]. The erupted volume in dense rock equivalent
32 material (~2800 km³) was about three orders of magnitude larger than for the 1980 eruption of
33 Mount St. Helens. *Zielinski et al.* [1996] estimate that the eruption was 71,000 years ago ± 5000
34 years, based on ice-core dating. *Oppenheimer* [2002] reviewed nine different estimates over a
35 wide range, and concluded that the eruption was 74,000 ± 2000 years ago. For the purposes of
36 this paper, the exact date does not matter, and we assume that Zielinski is looking at the Toba
37 signal.

38 *Zielinski et al.* [1996] showed ice core evidence for a 1000-year cool period with low
39 δ¹⁸O and increased dust deposition immediately following the eruption. In the South China Sea,
40 in the immediate vicinity of the eruption, *Huang et al.* [2001] found corroborating evidence for a
41 tropical cooling that lasted for 1000 years following the eruption. However, *Schultz et al.* [2002]
42 analyzed Indian Ocean sediments just before and just after the Toba deposits and find no
43 evidence for large climate change in the Indian monsoon system on the 100-1000 yr time scale.
44 *Oppenheimer* [2002] claimed that the cooling was only about 1 K, but based his analysis on
45 simplistic *T*-forcing relationships. We know of no observational evidence for climate change on
46 the annual to decadal time scale, so there certainly could have been a very large, short-lived
47 response to the eruption.

48 *Rampino and Self* [1992, 1993] and *Zielinski et al.* [1996] suggested that the 1000-year
49 cool period (stadial) following the eruption could have been caused by the eruption. For this to
50 have happened, the forcing from the volcanic aerosols would have had to last for a long time,

51 feedbacks must have amplified or lengthened the stratospheric forcing, or the climate system
52 would have had to have been at a tipping point, and a “little” nudge from a short-term, but large,
53 reduction in shortwave forcing would have been enough to push it into the stadial. An
54 intermediate-complexity climate model simulation by *Li and Berger* [1997], however, did not
55 produce an ice age following a Toba-like perturbation. In the only general circulation model
56 (GCM) simulation of the response to Toba so far, *Jones et al.* [2005] calculated the climate
57 response to a stratospheric loading 100 times that of the 1991 Mount Pinatubo, but with the same
58 timing as that of the Pinatubo eruption. They found a very large climate system response, with a
59 maximum global-average cooling of 10 K. But the climate system started to warm back up in a
60 few years, and the cooling was only about 2 K after a decade with no indication of the initiation
61 of an ice age. Here we examine three additional factors that may have served to produce
62 conditions favorable for sustained glacial conditions, with three different GCM experiments.
63 (*Harris* [2008] reported preliminary results for Toba experiments with the same GCM as *Jones*
64 *et al.* [2005], but with a slab ocean. The results were similar.)

65 Typically, for smaller eruptions, the e-folding time for stratospheric residence of volcanic
66 sulfate aerosols is about one year [*Robock*, 2000]. *Rampino and Self* [1992] suggested that the
67 normal amount of water vapor in the stratosphere might limit the formation of sulfate aerosols
68 after extreme injections, and although they understood that Toba would have injected a large
69 quantity of water into the stratosphere, they assume that only 10% of the SO₂ injected by Toba
70 would convert to particles. *Bekki et al.* [1996] used a simple model of this phenomenon to
71 suggest that the forcing from Toba might have lasted for a decade. However, the volcanic sulfate
72 deposition from the Toba eruption found by *Zielinski et al.* [1996] in the Greenland ice cap only

73 lasted about six years. To help answer this question we examine the water vapor response in the
74 stratosphere.

75 Another feedback avenue is that the cold and dark conditions after the Toba eruption
76 might have killed much of the vegetation on the planet, which in most parts of the world would
77 have produced a higher surface albedo, which could have then led to further cooling. We
78 examine this hypothesis with a GCM containing a coupled dynamic vegetation model that
79 includes this feedback.

80 It is the SO₂ injections into the stratosphere from volcanic eruptions that causes climate
81 change, as the resulting sulfate aerosol particles remain for a few years, blocking out solar
82 radiation and cooling the surface [Robock, 2000]. Jones *et al.* [2005] assumed that the SO₂
83 emission from Toba was 100 times that of Pinatubo, but other estimates put it closer to 300 times
84 Pinatubo [Bekki *et al.*, 1996; Oppenheimer, 2002]. Would such a larger volcanic forcing have
85 been more likely to produce an ice age? To answer this question, we ran a GCM with a range of
86 forcings: 33, 100, 300, and 900 times the Pinatubo forcing.

87 The Toba eruption certainly must have had significant impacts on stratospheric
88 chemistry, which may have affected ozone and other gases, with a chemical feedback to prolong
89 or enhance the forcing. To test this hypothesis, we also conducted an experiment with a model
90 that includes these chemistry feedbacks, using the most likely scenario, 300 times Pinatubo.

91 All but one of our experiments were conducted from initial conditions representing
92 current Milankovitch orbital configuration, current vegetation, and current greenhouse gas
93 concentrations. Rampino and Self [1992, 1993] showed that the long-term trend of insolation at
94 65°N in July was declining during the period of the Toba eruption, indicating that solar forcing

95 was pushing the Earth toward glacial conditions. CO₂ concentration was about 230 ppm, only
96 60% of current concentrations.

97 An additional mechanism, not considered here, is the increase in surface albedo that
98 would be caused by the ash blanket from a supereruption that would cover the land near the
99 eruption. *Jones et al.* [2007] used a GCM to show that while an ash blanket deposited over
100 North America from a Yellowstone eruption would have large local effects, it would only have a
101 0.1 K global average cooling. Their experimental design, which ignored the potential
102 moderating effect of a stratospheric aerosol cloud or vegetation growth on the ash, results in an
103 upper bound on the ash effect. For Toba, much of the ash fell in the Indian Ocean, so we expect
104 this effect to have also been small for Toba.

105 Clearly, a volcanic eruption is not required to produce a glaciation, so it is obvious that if
106 the climate system was poised to cool dramatically anyway, a slight nudge could have sped it
107 along. With lower CO₂ concentrations, different solar activity, or even different vegetation
108 patterns producing a different planetary albedo [*Sagan et al.*, 1979], the sensitivity of the climate
109 system to massive radiative forcing might have been higher and maybe more prone to switch.
110 Such experiments remain to be done, so we interpret the experiments presented here as
111 answering the question of whether a Toba-like eruption could produce an ice age today, and the
112 answer is “no.”

113 **2. Climate models and experiments**

114 We conducted six GCM experiments, using the National Aeronautics and Space
115 Administration Goddard Institute for Space Studies ModelE, in two different configurations, and
116 the National Center for Atmospheric Research Community Climate System Model 3.0

117 (CCSM3.0). We describe each of the models and the experiments here, and the results in the
118 next section.

119 We used CCSM3.0 [Collins *et al.*, 2006; Kiehl *et al.*, 2006] at T31 resolution with
120 atmospheric and land surface resolution of 2.8° by 2.8° for physics calculations, with the
121 standard 26 levels in the vertical topping out at about 36 km. The ocean as well as the
122 dynamic/thermodynamic sea ice model were run at a resolution of roughly 1° with 40 levels in
123 the vertical in the ocean. The land component, the Community Land Model (CLM) 3.0
124 [Dickinson *et al.*, 2006], was coupled to a dynamic vegetation model to produce the Community
125 Land Model-Dynamic Global Vegetation Model (CLM-DGVM) [Levis *et al.*, 2004], to evaluate
126 whether the vegetation response to the large forcing acts as a feedback. The simulated
127 vegetation represents a potential vegetation that is consistent with the climate. The CLM-
128 DGVM grows plants and simulates vegetation cover and leaf area index to enable two-way
129 coupling between the atmosphere and vegetation. Plant community composition and ecosystem
130 structure are updated annually in response to the establishment of new plants, resource
131 competition, growth, mortality, and fire, and therefore respond to the integrated conditions
132 experienced during a year. Leaf phenology (the seasonal emergence and senescence of leaves)
133 updates leaf area index daily in response to air temperature or soil water. The CCSM3.0
134 simulation was forced with the Pinatubo SO₂ history, but scaled up by a factor of 100, the same
135 as Jones *et al.* [2005], by prescribing the aerosol amounts. We started the model with a balanced
136 climate with forcing from 6000 years BP, but with current CO₂ for the vegetation. (This
137 mismatch was not intentional, but should make no difference in the results, as the Toba forcing is
138 so large. In this run, the Northern Hemisphere summer solar forcing was a little higher than
139 present and CO₂ was lower.)

140 To investigate the effect of the size of the volcanic forcing on the broader climate system,
141 we ran the stratospheric version of the ModelE climate model with 4° latitude by 5° longitude
142 horizontal resolution and 23 levels in the vertical up to 80 km [*Schmidt et al.*, 2006]. It was fully
143 coupled to a 4° latitude by 5° longitude dynamic ocean with 13 levels in the vertical [*Russell et*
144 *al.*, 1995]. It is important to use a full dynamic ocean in these simulations, as was done by *Jones*
145 *et al.* [2005] and in all our simulations, to obtain the most realistic climate response to such a
146 large forcing. This model is connected to an aerosol module [*Koch et al.*, 2006] that accounts for
147 SO₂ conversion to sulfate aerosols, though with fixed oxidant amounts, and transport and
148 removal of the aerosols. The radiative forcing from the aerosols is fully interactive with the
149 atmospheric circulation. This climate model has been tested extensively in global warming
150 experiments [*Hansen et al.*, 2005; *Schmidt et al.*, 2006] and to examine the effects of volcanic
151 eruptions on climate [*Shindell et al.*, 2004; *Oman et al.*, 2005, 2006a, 2006b], nuclear winter
152 [*Robock et al.*, 2007a, 2007b], and geoengineering [*Robock et al.*, 2008]. The 1991 Pinatubo
153 eruption put 20 Tg of SO₂ into the stratosphere, and we forced the model with a range of
154 injection amounts, 33, 100, 300, and 900 times the Pinatubo amount, ran the model each time for
155 10 years, and compared the results to a long control run with no volcanic forcing.

156 For the 300 times Pinatubo run, we input 6 Gt of SO₂, based on *Bekki et al.* [1996], over a
157 7 day period. These were put into the model in the grid box containing 2°N, 100°E evenly over
158 the altitude range 24-32 km. All the ModelE experiments were forced with the same time and
159 space distributions of SO₂, but with different amounts. It is possible that the Toba injection was
160 higher, but the higher the injection the lower the atmospheric density and the faster the fall speed
161 of the particles, so, as long as the injection is into the lower stratosphere, the exact altitude range
162 would only have a small impact on the cloud lifetime.

163 A second experiment was conducted with ModelE to investigate the effects of
164 stratospheric chemistry. We used the same ModelE atmospheric configuration, but stratospheric
165 interactive atmospheric chemistry was included [*Shindell et al.*, 2006] to see if this would
166 substantially modify the results. The atmospheric chemistry model extends from the surface to
167 the mesosphere, and is fully coupled to the aerosol model, so that oxidant changes affect sulfate
168 and sulfate affects heterogeneous chemistry. The use of prognostic hydroxyl radical (OH), rather
169 than fixed concentrations, allows it to be depleted, slowing the conversion of SO₂ to sulfate
170 aerosol. Changes in stratospheric ozone will cause a radiative forcing directly, and will also alter
171 the radiative flux reaching the troposphere with potential impacts on the lifetime of many gases
172 there including methane, another potent greenhouse gas. This run used a different ocean GCM,
173 the HYCOM model [*Sun and Bleck*, 2006]. The model was forced with 300 times the Pinatubo
174 emission and, as above, the volcanic eruption is simulated by injecting sulfur dioxide into the
175 model.

176 **3. Results**

177 The dynamic vegetation model results with the NCAR climate model are shown in Fig. 2.
178 Using the scaled-up Pinatubo mass, the volcanic forcing lasted for less than four years, and the
179 climate response was almost identical with *Jones et al.* [2005], with a maximum global-average
180 cooling of 10 K and a cooling of about 2 K after a decade, followed by a relatively quick
181 recovery with no indication of the initiation of large ice sheets. Global average precipitation
182 declined by about 45% for several years and then recovered like the temperature. This appears
183 to be a robust result, as it came from a completely different climate model, but with the same
184 forcing. The coupled vegetation feedback appears to have had little large-scale effect, although
185 we cannot rule out some influence (we have not performed a simulation with fixed vegetation).

186 The vegetation distribution did change dramatically as a result of the reduction of sunlight and
187 the following cooling and precipitation reduction induced by the large aerosol cloud (Fig. 3).
188 Broadleaf evergreen trees virtually disappear, as do tropical deciduous trees, with midlatitude
189 deciduous trees also reduced. These trees gradually reappear with warming in the following
190 decades. However, the effect of this vegetation change on climate seems minimal. In retrospect,
191 this result is not that surprising. Amazon deforestation experiments have shown that while
192 removing trees and replacing them with grasses does increase the surface albedo, which would
193 cool the surface, it also increases the Bowen ratio, which would warm the surface, as more
194 radiation goes into heating the surface than into evapotranspiration [e.g., *Zhang et al.*, 1996].
195 The response we found here is similar.

196 When we forced ModelE with 33, 100, 300, and 900 times the Pinatubo SO₂, we got a
197 radiative forcing that lasted for 4-7 years (Figs. 4, 5). We consider 300 times Pinatubo (6 Gt
198 SO₂) the most likely amount, and so analyze that case in more detail. With the SO₂ injected into
199 the equatorial stratosphere, the resulting sulfate aerosols are transported poleward and then
200 gradually removed from the system, but produce a huge optical depth (Fig. 5) and global average
201 change in downward shortwave radiation stronger than -100 W m⁻² for a couple years (Fig. 4).
202 The atmospheric residence time of these aerosols, as calculated by our model, agrees very well
203 with the length of time of the deposition observed in ice cores [*Zielinski et al.*, 1996]. Global
204 cooling for the four runs ranges from 8 to 17 K, with the larger forcing also producing a slightly
205 longer cooling. But the response is quite non-linear. After virtually all the sunlight is reflected,
206 additional aerosols have a small effect. For the 300 times Pinatubo case, the maximum cooling
207 is 15 K for three years after the eruption and then reduces to 5 K after a decade. No matter what
208 the amount of SO₂, there is no evidence for ice age initiation. Although snow persists for several

209 summers in the midlatitudes of the Northern Hemisphere, it melts as the aerosols leave the
210 atmosphere and full insolation returns. Figure 4 also shows that global average precipitation is
211 reduced by 30-60% for several years, and this, along with the dark conditions, would also have a
212 strong impact on vegetation.

213 Because of the large stratospheric heating from the volcanic aerosols, mainly from
214 absorption of upwelling longwave radiation from the troposphere, the tropical tropopause cold
215 trap is warmed substantially, resulting in huge increases in stratospheric water vapor (Fig. 6).
216 Thus the idea of *Rampino and Self* [1992] and *Bekki et al.* [1996] that the volcanic SO₂ would
217 linger, having used up all the stratospheric water vapor initially, is not supported by this
218 experiment that actually models the phenomenon with a climate model that can simulate the
219 processes.

220 When we simulate 300 times the Pinatubo SO₂ with a model with full atmospheric
221 chemistry, we find a larger and more long-lasting response than without the interactive
222 chemistry, but still no evidence of ice age initiation (Fig. 7). The maximum cooling is about 18
223 K. The details of the conversion from SO₂ to sulfate show that inclusion of interactive chemistry
224 causes the peak sulfate loading to occur about two years later than in the fixed OH simulation.
225 The peak sulfate loading is less than the fixed OH simulation but its distribution in time is much
226 broader (i.e., longer lasting) causing a slightly larger and longer lasting cooling. For example,
227 cooling greater than 10 K persists for about 6 years in the fixed OH simulation (Figure 4) but
228 lasts for ~11 years in the interactive chemistry experiment. Stratospheric ozone increases
229 modestly following the eruption, as the reduction in reactive hydrogen oxides that can
230 catalytically destroy ozone more than offsets additional losses following reactions on sulfate
231 aerosol surfaces. Hence stratospheric ozone changes contribute a relative small positive forcing

232 rather than amplifying the volcanic cooling. Tropospheric OH is reduced as the solar flux
233 reaching the troposphere is dramatically decreased by the volcanic aerosol layer. This causes a
234 substantial change to the oxidation rate of many species in the troposphere, including methane.
235 However, the reduced oxidation capacity does not last long enough to lead to large changes in
236 tropospheric methane, though it does increase modestly, providing an additional warming
237 chemical response.

238 **4. Discussion and conclusions**

239 We have not been able to show that a Toba-like eruption could produce a glacial advance,
240 given the current distribution of solar radiation and current CO₂ or similar radiation and lower
241 CO₂. But a Toba-like eruption could certainly produce a decade-long volcanic winter, with
242 serious effects on plant and animal life.

243 *Joshi and Shine* [2003] describe how volcanic eruptions warm the tropical tropopause,
244 resulting in additional water vapor injected into the lower stratosphere. For eruptions the size of
245 the 1991 Pinatubo eruption, they suggest that the additional water vapor produces a positive
246 radiative forcing of about 0.1 W m⁻², slightly counteracting the cooling from the eruption. This
247 feedback is incorporated in the simulations here, and in spite of the additional water vapor, a
248 very large eruption would still produce very large cooling. In addition, we have shown that, with
249 a model including chemistry, the stratospheric forcing and response is prolonged for a couple
250 years for a 300 times Pinatubo injection, but water vapor is not a limiting factor.

251 *Ambrose* [1998] and *Rampino and Ambrose* [2000] suggested that the human race
252 narrowly passed through a population bottleneck at some time around this eruption, possibly
253 enhanced by the 1000-year cold period following the Toba eruption. While our results show that
254 indeed the eruption could have produced great stress on humans and their environment, it would

255 have been quite concentrated in the few very dark, cold, and dry years immediately following the
256 eruption. We find no evidence that the 1000-year cold period seen in Greenland ice core records
257 was directly generated by the Toba eruption, although the temporal inference shown by *Zielinski*
258 *et al.* [1996] is certainly quite intuitive. Gradual global cooling of a few degrees would have
259 provided enough time for population migrations, but the effects of a sudden winter would not. In
260 the past our species survived many glacial advances and retreats, but it is probable that the
261 sudden dark, cold, and dry conditions that followed the supereruption of Toba about 74,000
262 years ago could have largely destroyed the food supplies of humans and therefore caused a
263 significant reduction in population sizes. Obviously, some human populations survived the
264 climate changes, and *Petraglia et al.* [2007] found evidence in one location in India for human
265 presence both before and after the Toba eruption. Louys [2007] actually found extinctions of
266 several species at four different southeast Asia locations following the Toba eruption, but most
267 species reappeared at those locations following the eruption.

268 *Gathorne-Hardy and Harcourt-Smith* [2003] found no evidence for a human bottleneck,
269 but absence of evidence is not evidence of absence. Furthermore, they used estimates of climate
270 change after the eruption smaller than found by us and *Jones et al.* [2005]. *Ambrose* [2003]
271 convincingly refuted the arguments of *Gathorne-Hardy and Harcourt-Smith* [2003], and showed
272 that a large “volcanic winter,” followed by a 1000-year period of cool temperatures, could have
273 produced the bottleneck. In fact the results of *Jones et al.* [2005] and the results presented here
274 show that the volcanic winter would have been colder and longer-lasting than *Ambrose* assumed,
275 which strengthens his argument.

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277

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Figure Captions

381 **Figure 1.** The 1000 rupiah banknote from Indonesia showing Danau Toba (Lake Toba), the
382 lake-filled caldera left from the largest eruption of the past 100,000 years. (Some sophisticated
383 countries have volcanoes right on the money.) Also shown is a Google Earth image of the
384 caldera on the island of Sumatra. The lake is about 86 km long and 30 km wide, and has a large
385 island inside, the resurgent block of the caldera.

386

387 **Figure 2.** Monthly-average global-mean temperature (red), and precipitation (blue) anomalies
388 (top), and downward shortwave radiation anomalies at the surface (bottom), for the NCAR
389 CLM3.0 climate model forced with 100 times the amount of aerosol as the 1991 Mt. Pinatubo
390 eruption in the Philippines put into the stratosphere.

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392 **Figure 3.** Top: Percent coverage of four major vegetation types for the year before the eruption.
393 Bottom: As above, but averaged for the four years just after the eruption. Broadleaf evergreen
394 trees virtually disappear, as do tropical deciduous trees.

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396 **Figure 4.** Changes in temperature (red), and precipitation (black) (top), and monthly-average
397 global mean downward shortwave radiation at the surface (bottom), for the ModelE simulations
398 without interactive chemistry. The 0.67 Gt SO₂ case is 33 times Pinatubo, 2 Gt SO₂ is 100 times
399 Pinatubo, 6 Gt SO₂ is 300 times Pinatubo, and 18 Gt SO₂ is 900 times Pinatubo.

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401 **Figure 5.** Latitudinal and seasonal distribution of optical depth in the mid-visible for the 300
402 times Pinatubo forcing used for the ModelE simulation without interactive chemistry.

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404 **Figure 6.** Changes in monthly-average global mean specific humidity for the ModelE 300 times
405 Pinatubo simulation without interactive chemistry, showing large reductions of water vapor in
406 the cold troposphere, but huge increases in the stratosphere, as the tropical tropopause cold trap
407 is warmed by the volcanic aerosols in the lower stratosphere.

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409 **Figure 7.** Climate and chemistry results from the 300 times Pinatubo ModelE simulation with
410 interactive chemistry. Top: Changes in global average surface air temperature and global
411 average planetary albedo. Middle: Atmospheric burdens of sulfur dioxide and sulfate. Bottom:
412 Percentage change (relative to mean prior to eruption) of OH in the lower stratosphere, OH in the
413 troposphere, and ozone in the lower stratosphere. Dates are arbitrary, and the eruption is
414 simulated in year 0.

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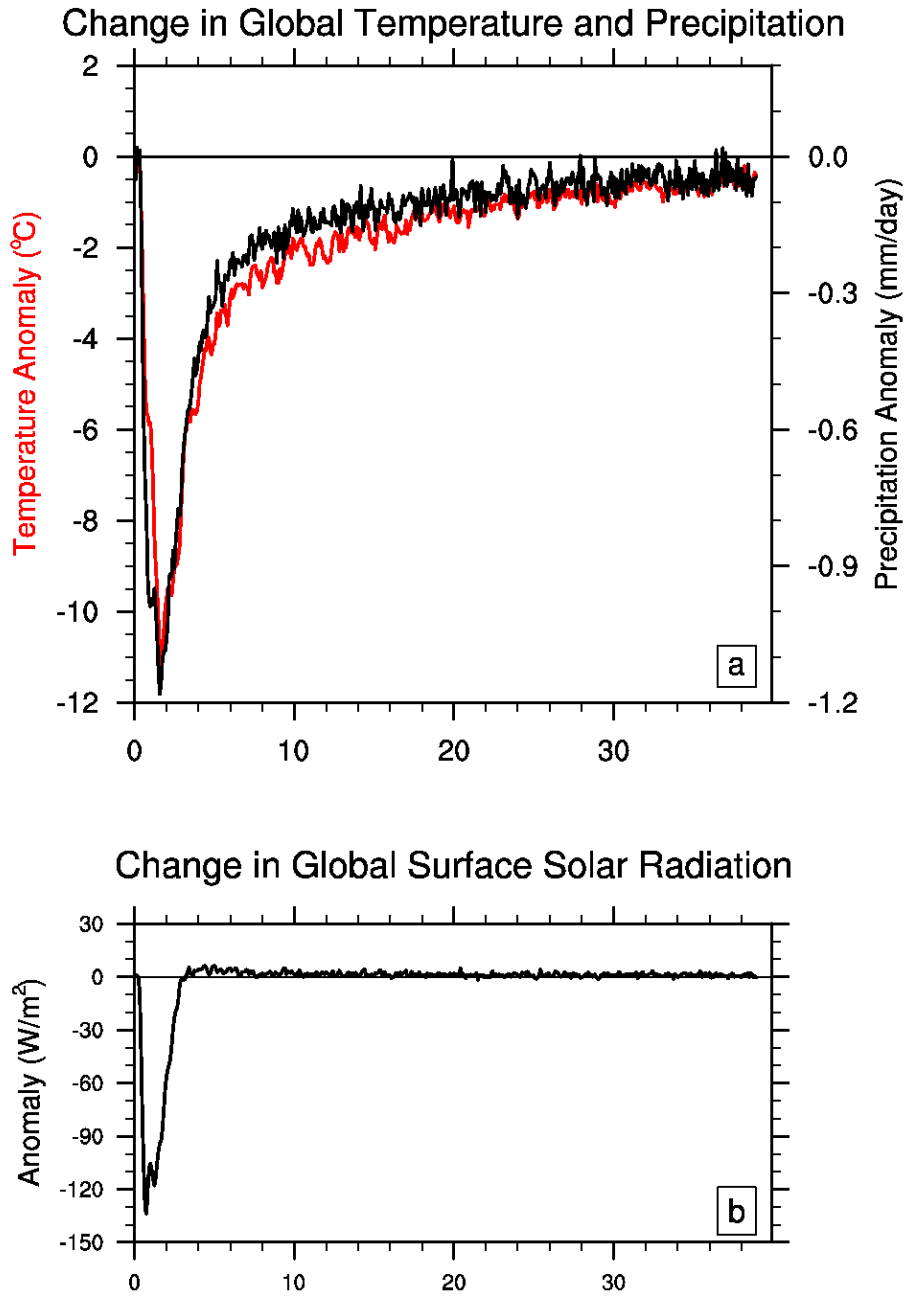
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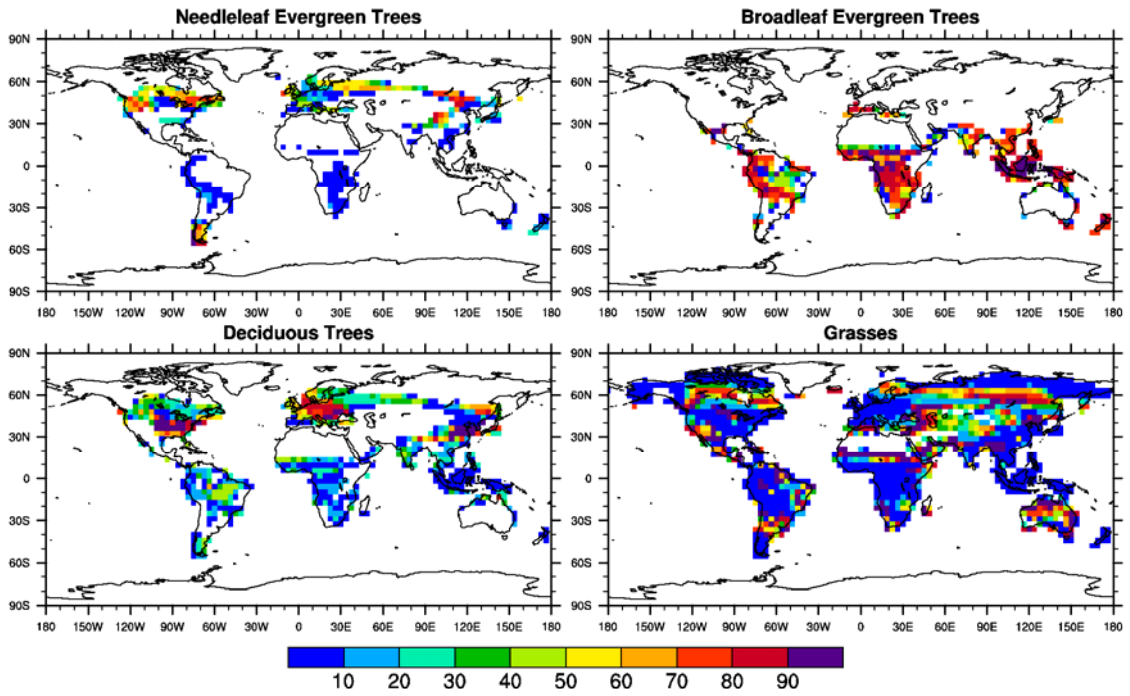
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Control Vegetation



Vegetation Years 1-4

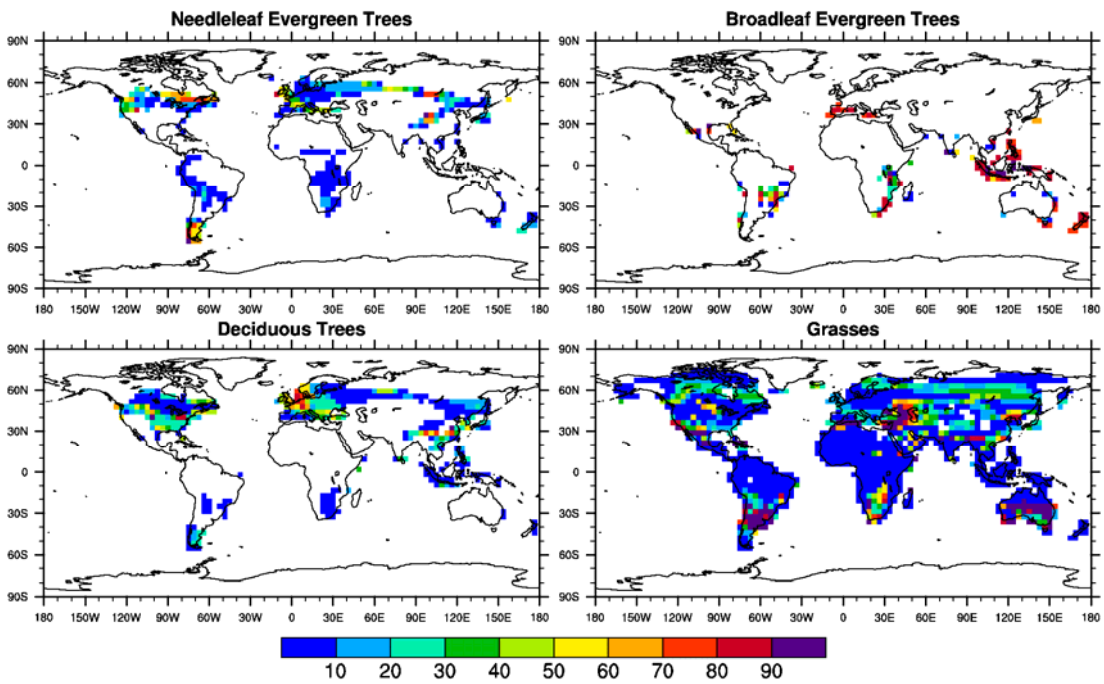


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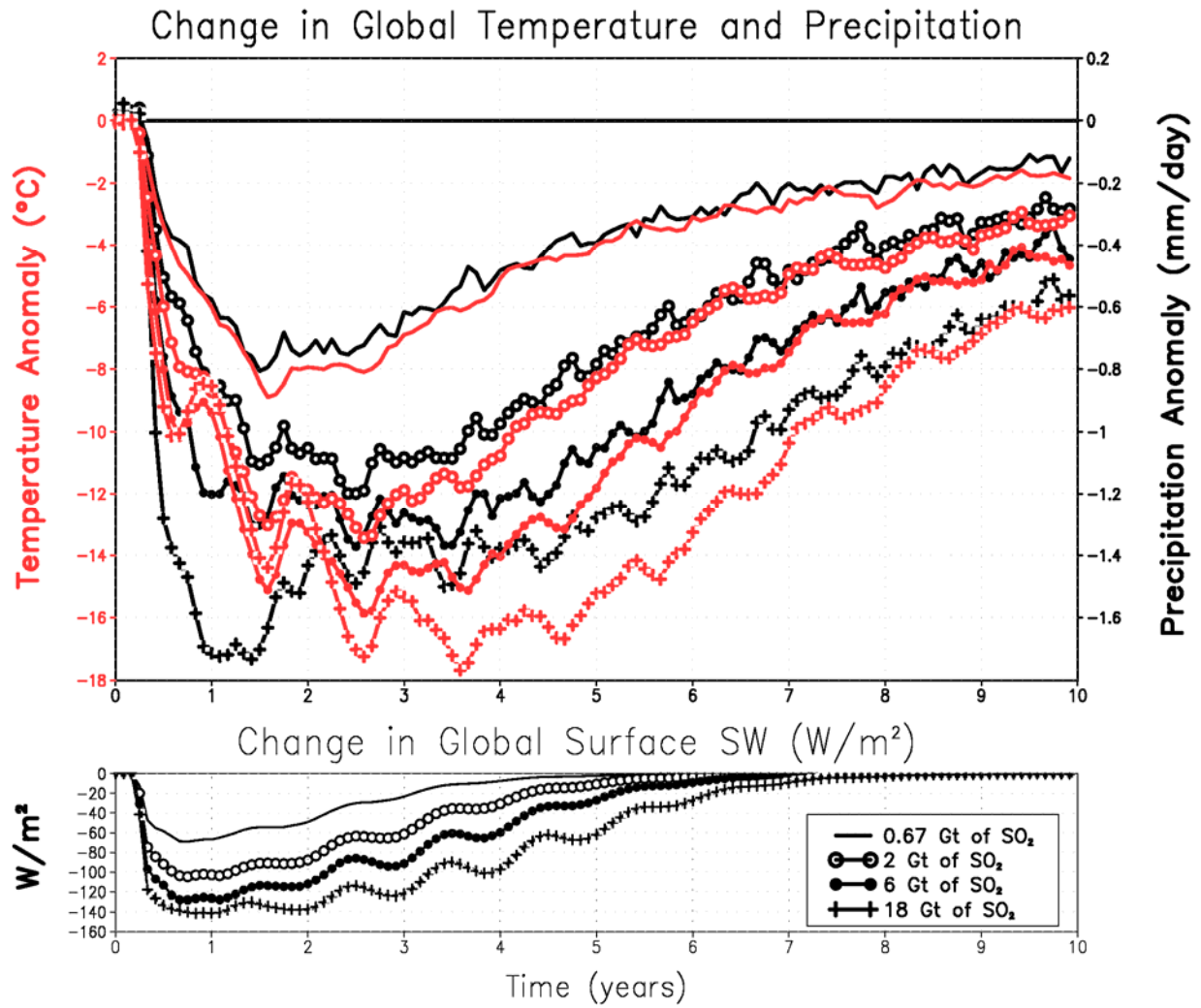


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Toba Zonal Mean Visible Optical Depth

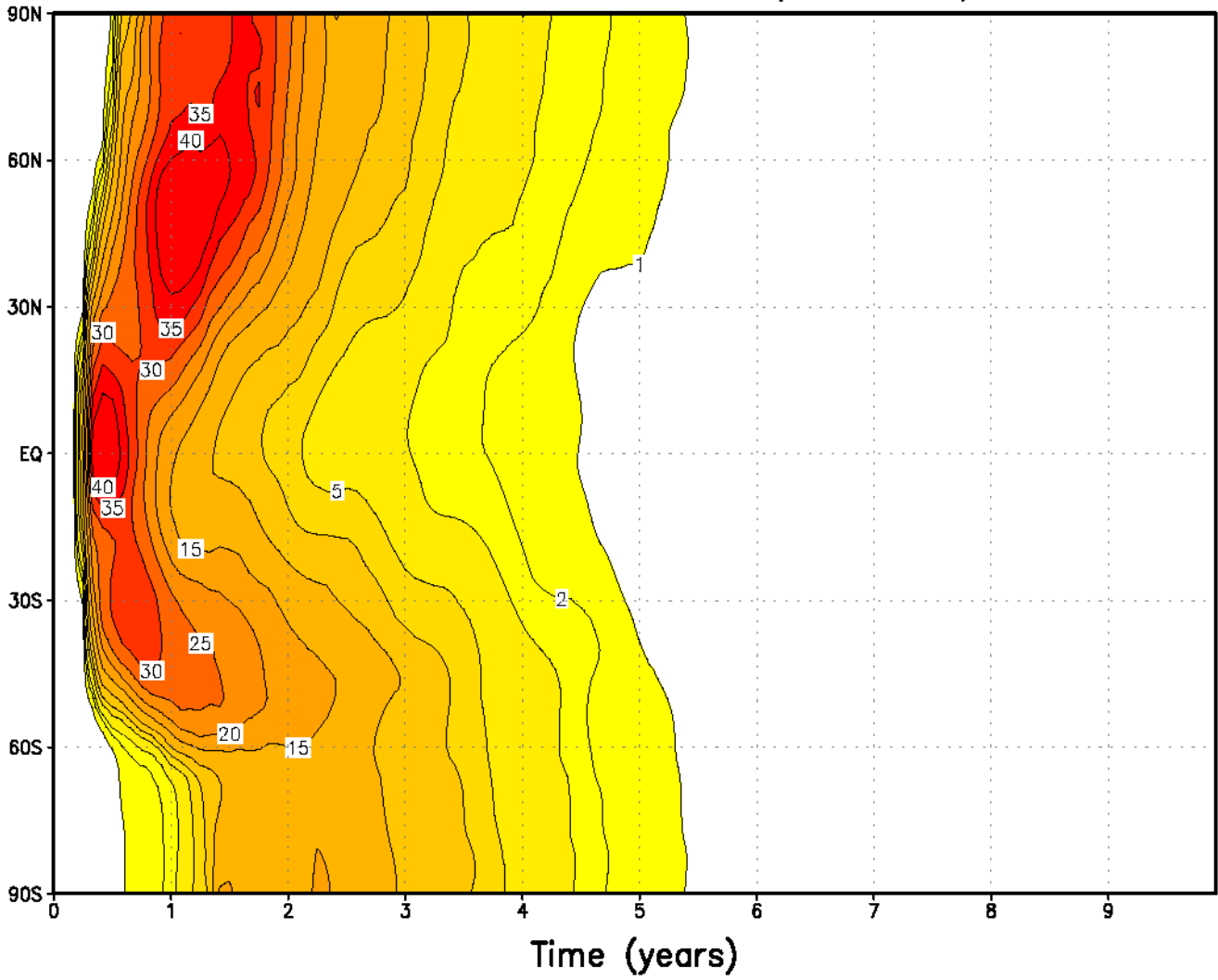


Figure 5. Latitudinal and seasonal distribution of optical depth in the mid-visible for the 300 times Pinatubo forcing used for the ModelE simulation without interactive chemistry.

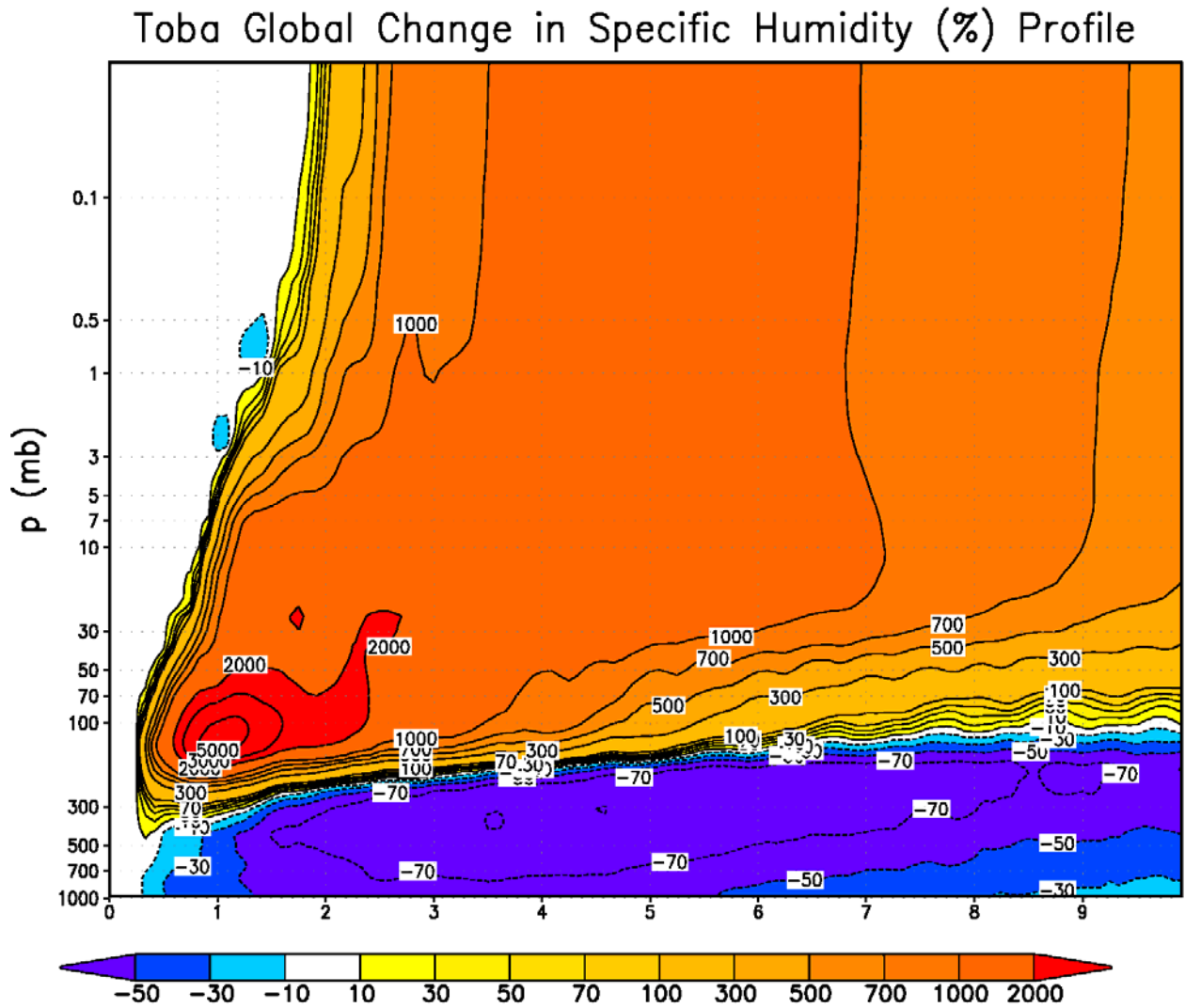


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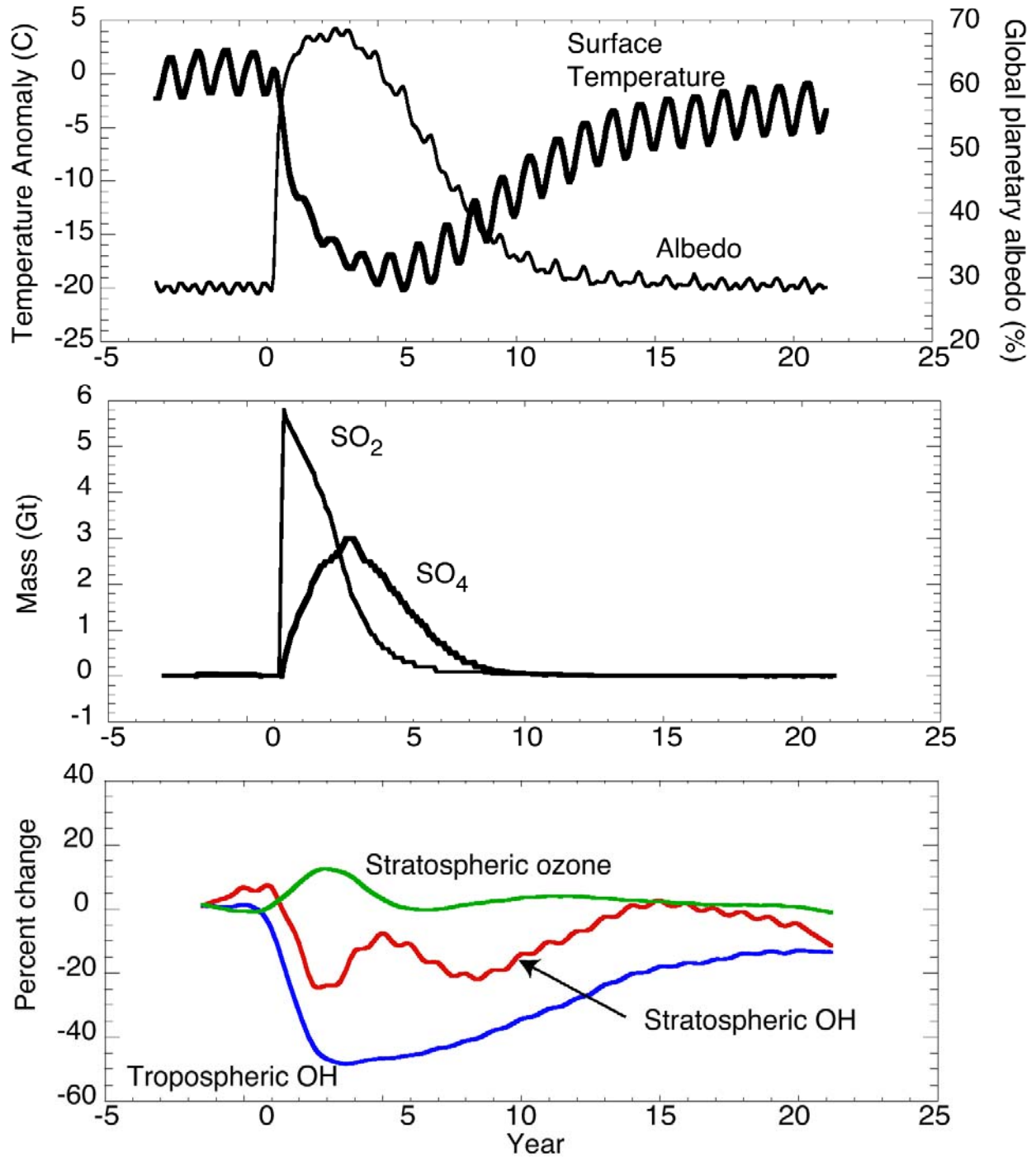


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