A Multi-Model Assessment of Regional Climate Disparities Caused by Solar Geoengineering

(Supplemental Online Material)

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1 Simulation Choice and Linearity

In this paper, we use the abrupt4xCO2 simulation from CMIP5 and the G1 simulation from GeoMIP (Taylor et al 2012; Kravitz et al 2011), both of which are highly idealized. abrupt4xCO2 involves an instantaneous quadrupling of the CO₂ concentration from preindustrial levels. G1 involves a reduction in solar irradiance to counteract the radiative forcing in abrupt4xCO2. Despite these experiments being idealized, we argue that our analysis provides useful conclusions regarding modeled effects of solar geoengineering and that our choice of simulations strengthens our conclusions.

The continuously varying forcings in the Representative Concentration Pathway (RCP) scenarios can be viewed as the sum of a series of step changes in forcing, similar to the concept of a Riemann integral. As such, abrupt-style simulations have broader applicability to more "realistic" simulations. Good et al (2012, 2013) found that by using such an approach, re-scaled abrupt-style simulations can capture the patterns of change of global mean temperature, precipitation, and ocean heat uptake. The radiative forcing and climate response of abrupt increases in CO₂ are known to be nonlinear with the magnitude of the forcing, so scaling the modeled effects in an abrupt-style simulation to the levels of forcing in an RCP simulation is not entirely accurate. However, these nonlinearities are secondary as compared to inter-model spread in determining radiative forcing and climate response in these simulations (Andrews et al 2012). These assertions may not be true for significantly larger abrupt CO₂ forcings, but they hold within the range of forcings considered in our study.

In G1, feedbacks related to global-mean temperature changes are suppressed (Kravitz et al 2013), meaning nonlinearities in climatic response in G1 are quite small. Furthermore, abrupt4xCO2 and G1 have high local signal-to-noise ratios, making these simulations ideal
for determining modeled climate response to solar geoengineering (Kravitz et al 2013). This approach may not hold for variables other than temperature and precipitation due to potentially nonlinear behavior, including tipping point thresholds that may not be well represented by climate models (Lenton et al 2008).

The simulated method of solar geoengineering, i.e., reducing solar irradiance, is not a realistic representation of all possible uniform solar geoengineering methods. However, this representation has been shown to capture the broad features of modeled temperature and precipitation responses to those of a layer of stratospheric sulfate aerosols (Ammann et al 2010; Niemeier et al 2013). As such, we are confident that the results of our study are indicative of the response to other methods of uniform solar geoengineering. Notable differences include effects on the biosphere due to changes in the direct-diffuse light balance (Kravitz et al 2012) or differences in the exact value of precipitation response (Niemeier et al 2013), but these are unlikely to change the sign of the response to the dominant radiative effects.

In Section 2, we described that $g$ ranges between 0 and 2. The choice of 2 as the upper limit for $g$ was arbitrarily chosen as sufficiently large to capture the relevant calculations of the maximum amount of $g$ as determined by the Pareto criterion (Equation 7). Figure 1 shows that for nearly all regions, $g = 2$ is sufficient for this purpose.

In all calculations, we excluded changes which were not statistically significant, i.e., if we did not have confidence in our ability to discern the sign of the change due to either CO$_2$ increases or solar reductions. For the abrupt-4xCO2 simulation, if

$$|D_i(w; 0)| < \frac{1.96}{\sqrt{39}}$$

for a region $i$ in a particular model, then we conclude that the climate change due to an
abrupt increase in CO₂ is indistinguishable from natural variability, so \( D \) in Equations 3-6 are set to 0 for that region. For \( g = 1 \), if

\[
|D_i(w; 0) - D_i(w; 1)| < \frac{1.96}{\sqrt{39}}
\]

for a region \( i \) in a particular model, then we conclude that the change due to solar reduction imposed upon an abrupt CO₂ increase is indistinguishable from the change solely due to the CO₂ increase, so \( D_i(w; 1) \) is set to the same value as \( D_i(w; 0) \) for that region. The factor of 1.96 indicates a 95% confidence interval based on a normal distribution, and the factor of 39 indicates the number of independent degrees of freedom when using averages over years 11-50 of the simulations.

There may be serial autocorrelation at the interannual time scale for temperature and precipitation, meaning there may be fewer than 39 independent degrees of freedom in our calculations. Tests (not pictured) using 19 degrees of freedom (a decrease in the signal-to-noise ratio by approximately a factor of \( \sqrt{2} \)) and 9 degrees of freedom (a decrease in the signal-to-noise ratio by approximately a factor of 2) yielded no changes in the main conclusions of the paper. Use of 19 degrees of freedom resulted in fewer regions showing statistically significant changes due to abrupt4xCO2 or solar geoengineering, and 8 of the 22 regions showed more precipitation changes for more solar reduction. Use of 9 degrees of freedom showed similar results to using 19 degrees of freedom, with 5 of the 22 regions showing larger precipitation changes for more solar reduction.

Some modeling groups performed multiple ensemble members of these simulations. In such cases, we performed all calculations for that model on the ensemble mean.
2 Choice of Regions and Time Averaging

The regions defined by Giorgi and Francisco (Giorgi and Francisco 2000), shown in Supplemental Figure 1, are often termed Giorgi regions. These geographically defined regions have been used in recent assessments of regional climate change by the IPCC (e.g., Bindoff et al 2013; Hartmann et al 2013), as well as several past studies of the climate impacts of solar geoengineering (MacMartin et al 2013; Moreno-Cruz et al 2012; Ricke et al 2013). Other choices of regions could include division by climate type, population density, or economic power, for example. However, as long as the regions considered here undergo differential effects due to CO$_2$ increases and solar geoengineering, the conclusions of our study do not depend on the choice of regions. A similar argument could be made to justify our use of 40-year averages of monthly averaged model output instead of daily output, or for different methods of geoengineering (e.g., marine cloud brightening). For example, although monthly output is less adept at capturing changes in extreme events than daily output, as long as the effects are experienced differentially across regions, our basic conclusions still hold. We primarily chose to use Giorgi regions in this study because their use in geoengineering studies has precedent and has been shown to be useful in revealing important findings regarding the climatic effects of geoengineering (MacMartin et al 2013; Moreno-Cruz et al 2012; Ricke et al 2013).

In Section 2, we claimed that the period of averaging the abrupt4xCO$_2$ temperature and precipitation values would not change the conclusions of our paper. In most of this paper, the temperature and precipitation values were averaged over years 11-50 of the abrupt4xCO$_2$, which is during a period of rapid climate transition. For comparison, we performed calculations in 8 of the 12 models using averages over years 101-140, which is a period of much less rapid climate transition. These results are shown in Supplemental Figure 16. The quantita-
itive values expectedly differ from the values shown in Figure 3 and Supplemental Figure 10, but the conclusions of the paper are unchanged by shifting the averaging period.

We also claim in the text that changing analysis to a seasonal scale would not change the conclusions of our paper. In many of the supplemental figures that follow (specifically, Supplemental Figures 3-6, 8-9, 11-12, and 14-15), we repeat our analyses but for June-July-August and December-January-February averages. Although the individual values of the different quantities do change when using seasonal averages instead of annual averages, the conclusions do not. When considering only temperature, all models in all regions show reduced values of $D$ for a moderate amount of geoengineering. When considering only precipitation, several models show the maximum amount of geoengineering as determined by the Pareto criterion to be $g = 0$, indicating $D$ will increase for any amount of geoengineering.
References


15. Ricke K L, Moreno-Cruz J B, Caldeira K 2013 Strategic incentives for climate geoengineering coalitions to exclude broad participation *Environ. Res. Lett.* **8** 014021

Figure 1: The 22 Giorgi regions considered in this study (Giorgi and Francisco 2000).
Figure 2: Diagram illustrating three different methods (RMS, Min-Max, Pareto Criterion) of aggregating climate change over regions. Blue and red lines show example values of $D_i(w; g)$ (Equation 3, for any value of $w$). The value of $g$ that minimizes $D$ for the three methods is shown by dashed lines. The RMS value is given by $\min_{g \geq 0} \sqrt{\frac{1}{22} \sum_{i=1}^{22} [D_i(w; g)]^2}$. The Min-Max value (improving the worst case) is given by $\min_{g \geq 0} [\max_i D_i(w; g)]$. The value ascribed to the Pareto criterion described in Equation 7.
Figure 3: Same as Figure 1 in the main text, but for June-July-August averages.
Figure 4: Same as Figure 1 in the main text, but for December-January-February averages.
Figure 5: Same as Figures 2 and 3 in the main text, but for June-July-August (JJA) averages. The value of $g$ as determined by the Pareto criterion is slightly lower for all weights than the results for annual averages, but the annual and JJA results are qualitatively similar.
Figure 6: Same as Figures 2 and 3 in the main text, but for December-January-February (DJF) averages. The value of $g$ as determined by the Pareto criterion is slightly lower for all weights than the results for annual averages, but the annual and DJF results are qualitatively similar.
Figure 7: The actual values of $D$ (Equation 3) associated with Figure 2 in the main text. For each individual region, small values of $D$ are achievable if only considering temperature. The same is true for precipitation in most, but not all, regions.
Figure 8: The actual values of $D$ (Equation 3) associated with the top and middle panels of Supplemental Figure 5. For each individual region, small values of $D$ are achievable if only considering temperature. The same is true for precipitation in most, but not all, regions.
Figure 9: The actual values of $D$ (Equation 3) associated with the top and middle panels of Supplemental Figure 6. For each individual region, small values of $D$ are achievable if only considering temperature. The same is true for precipitation in most, but not all, regions.
Figure 10: Changes in annual mean values of the climate change metric ($D$; Equation 3) for 100% of the weighting on precipitation ($w = 1$). Lines are drawn between the values of $D$ for $g = 0$ (no geoengineering) and $g = 1$ (returning global mean temperature to the preindustrial value). In a given region (abscissa), green lines indicate individual model response (top panel) or all-model ensemble mean response (bottom panel) where $D$ is greater for abrupt4xCO2 than for the reference level of solar reduction, red lines indicate where $D$ is less for abrupt4xCO2 than for the reference level of solar reduction, and black lines indicate where the difference between $D_{\text{abrupt4xCO2}}$ and $D_{\text{reference}}$ is statistically insignificant (see Supplemental Section 1). Most of the regions show that geoengineering reduces precipitation $D$ values from the $D$ values for high CO$_2$. Analogous plots for 100% of the weighting on temperature are not shown, as all lines are green in all regions for all models.
Figure 11: Same as Supplemental Figure 10, but for June-July-August averages. Most of the regions show that geoengineering reduces precipitation $D$ values from the $D$ values for high CO$_2$. 
Figure 12: Same as Supplemental Figure 10, but for December-January-February averages. Most of the regions show that geoengineering reduces precipitation $D$ values from the $D$ values for high CO$_2$. 
Figure 13: Bar chart characterizing annual mean values of $D$ (Equation 3) for each region (abscissa). All values shown are for 100% of the weighting on precipitation ($w = 1$). Green indicates that $D(1; 0) > D(1; 1)$, or $D$ for no geoengineering is greater than $D$ for returning global mean temperature to the preindustrial value, i.e., geoengineering reduces $D$. Red indicates that $D(1; 0) < D(1; 1)$, i.e., geoengineering increases $D$. Grey indicates that the difference between $D(1; 0)$ and $D(1; 1)$ is statistically insignificant (see Supplemental Section 1), but $D(1; 0)$ is statistically significant. Pale red indicates that $D(1; 0)$ is not statistically significant, but $D(1; 1)$ is statistically significant. White indicates that neither $g = 0$ nor $g = 1$ causes statistically significant changes in $D$. Analogous plots for 100% of the weighting on temperature are not shown, as all bars are green in all regions.
Figure 14: Same as Supplemental Figure 13, but for June-July-August averages.
Figure 15: Same as Supplemental Figure 13, but for December-January-February averages.
Figure 16: Top panel is same as Figure 3 in the main text, and bottom panel is same as the top panel of Supplemental Figure 10, where $D$ values (Equation 3) for abrupt4xCO2 are calculated over an average of years 101-140 instead of years 11-50. See Supplemental Section 2 for motivation for this figure and further description. Essentially, averaging over a later period of abrupt4xCO2 does not change the results in this paper.