# A Multi-Model Assessment of Regional Climate Disparities Caused by Solar Geoengineering (Supplemental Online Material)

Ben Kravitz<sup>\*1</sup>, Douglas G. MacMartin<sup>2,3</sup>, Alan Robock<sup>4</sup>, Philip J. Rasch<sup>1</sup>,

Katharine L. Ricke<sup>3</sup>, Jason N. S. Cole<sup>5</sup>, Charles L. Curry<sup>6</sup>, Peter J. Irvine<sup>7</sup>,

Duoying Ji<sup>8</sup>, David W. Keith<sup>9</sup>, Jón Egill Kristjánsson<sup>10</sup>, John C. Moore<sup>8</sup>,

Helene Muri<sup>10</sup>, Balwinder Singh<sup>1</sup>, Simone Tilmes<sup>11</sup>, Shingo Watanabe<sup>12</sup>,

Shuting Yang<sup>13</sup>, and Jin-Ho Yoon<sup>1</sup>

<sup>1</sup>Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland, WA.
<sup>2</sup>Department of Computing and Mathematical Sciences, California Institute of Technology, Pasadena, CA.
<sup>3</sup>Department of Global Ecology, Carnegie Institution for Science, Stanford, CA.

<sup>4</sup>Department of Environmental Sciences, Rutgers University, New Brunswick, NJ.

<sup>5</sup>Canadian Centre for Climate Modeling and Analysis, Environment Canada, Toronto, Ontario, Canada.
<sup>6</sup>School of Earth and Ocean Sciences, University of Victoria, Victoria, British Columbia, Canada.

<sup>7</sup>IASS Institute for Advanced Sustainability Studies, Potsdam, Germany.

<sup>8</sup>State Key Laboratory of Earth Surface Processes and Resource Ecology, College of Global Change and Earth System Science, Beijing Normal University, Beijing, China.

<sup>9</sup>School of Engineering and Applied Sciences, Harvard University, Cambridge, MA.

<sup>10</sup>Department of Geosciences, University of Oslo, Oslo, Norway.

<sup>11</sup>National Center for Atmospheric Research, Boulder, CO.

<sup>12</sup>Japan Agency for Marine-Earth Science and Technology, Yokohama, Japan. <sup>13</sup>Danish Meteorological Institute, Copenhagen, Denmark.

<sup>\*</sup>To whom correspondence should be addressed; Ben Kravitz, P. O. Box 999, MSIN K9-24, Richland, WA 99352, USA; E-mail: ben.kravitz@pnnl.gov. 2

#### 1 1 Simulation Choice and Linearity

In this paper, we use the abrupt4xCO2 simulation from CMIP5 and the G1 simulation from GeoMIP (Taylor *et al* 2012; Kravitz *et al* 2011), both of which are highly idealized. abrupt4xCO2 involves an instantaneous quadrupling of the CO<sub>2</sub> concentration from preindustrial levels. G1 involves a reduction in solar irradiance to counteract the radiative forcing in abrupt4xCO2. Despite these experiments being idealized, we argue that our analysis provides useful conclusions regarding modeled effects of solar geoengineering and that our choice of simulations strengthens our conclusions.

The continuously varying forcings in the Representative Concentration Pathway (RCP) 9 scenarios can be viewed as the sum of a series of step changes in forcing, similar to the 10 concept of a Riemann integral. As such, abrupt-style simulations have broader applicabil-11 ity to more "realistic" simulations. Good et al (2012, 2013) found that by using such an 12 approach, re-scaled abrupt-style simulations can capture the patterns of change of global 13 mean temperature, precipitation, and ocean heat uptake. The radiative forcing and climate 14 response of abrupt increases in  $CO_2$  are known to be nonlinear with the magnitude of the 15 forcing, so scaling the modeled effects in an abrupt-style simulation to the levels of forcing 16 in an RCP simulation is not entirely accurate. However, these nonlinearities are secondary 17 as compared to inter-model spread in determining radiative forcing and climate response in 18 these simulations (Andrews *et al* 2012). These assertions may not be true for significantly 19 larger abrupt  $CO_2$  forcings, but they hold within the range of forcings considered in our 20 study. 21

In G1, feedbacks related to global-mean temperature changes are suppressed (Kravitz *et al* 2013), meaning nonlinearities in climatic response in G1 are quite small. Furthermore, abrupt4xCO2 and G1 have high local signal-to-noise ratios, making these simulations ideal

for determining modeled climate response to solar geoengineering (Kravitz *et al* 2013). This approach may not hold for variables other than temperature and precipitation due to potentially nonlinear behavior, including tipping point thresholds that may not be well represented by climate models (Lenton *et al* 2008).

The simulated method of solar geoengineering, i.e., reducing solar irradiance, is not 29 a realistic representation of all possible uniform solar geoengineering methods. However, 30 this representation has been shown to capture the broad features of modeled temperature 31 and precipitation responses to those of a layer of stratospheric sulfate aerosols (Ammann 32 et al 2010; Niemeier et al 2013). As such, we are confident that the results of our study 33 are indicative of the response to other methods of uniform solar geoengineering. Notable 34 differences include effects on the biosphere due to changes in the direct-diffuse light balance 35 (Kravitz et al 2012) or differences in the exact value of precipitation response (Niemeier et 36 al 2013), but these are unlikely to change the sign of the response to the dominant radiative 37 effects. 38

In Section 2, we described that g ranges between 0 and 2. The choice of 2 as the upper limit for g was arbitrarily chosen as sufficiently large to capture the relevant calculations of the maximum amount of g as determined by the Pareto criterion (Equation 7). Figure 1 shows that for nearly all regions, g = 2 is sufficient for this purpose.

In all calculations, we excluded changes which were not statistically significant, i.e., if we did not have confidence in our ability to discern the sign of the change due to either  $CO_2$ increases or solar reductions. For the abrupt4xCO2 simulation, if

$$|D_i(w;0)| < \frac{1.96}{\sqrt{39}}$$

for a region i in a particular model, then we conclude that the climate change due to an

<sup>47</sup> abrupt increase in CO<sub>2</sub> is indistinguishable from natural variability, so D in Equations 3-6 <sup>48</sup> are set to 0 for that region. For g = 1, if

$$|D_i(w;0) - D_i(w;1)| < \frac{1.96}{\sqrt{39}}$$

for a region i in a particular model, then we conclude that the change due to solar reduction imposed upon an abrupt CO<sub>2</sub> increase is indistinguishable from the change solely due to the CO<sub>2</sub> increase, so  $D_i(w; 1)$  is set to the same value as  $D_i(w; 0)$  for that region. The factor of 1.96 indicates a 95% confidence interval based on a normal distribution, and the factor of 39 indicates the number of independent degrees of freedom when using averages over years 11-50 of the simulations.

There may be serial autocorrelation at the interannual time scale for temperature and 55 precipitation, meaning there may be fewer than 39 independent degrees of freedom in our 56 calculations. Tests (not pictured) using 19 degrees of freedom (a decrease in the signal-57 to-noise ratio by approximately a factor of  $\sqrt{2}$ ) and 9 degrees of freedom (a decrease in 58 the signal-to-noise ratio by approximately a factor of 2) yielded no changes in the main 59 conclusions of the paper. Use of 19 degrees of freedom resulted in fewer regions showing 60 statistically significant changes due to abrupt4xCO2 or solar geoengineering, and 8 of the 61 22 regions showed more precipitation changes for more solar reduction. Use of 9 degrees 62 of freedom showed similar results to using 19 degrees of freedom, with 5 of the 22 regions 63 showing larger precipitation changes for more solar reduction. 64

<sup>65</sup> Some modeling groups performed multiple ensemble members of these simulations. In <sup>66</sup> such cases, we performed all calculations for that model on the ensemble mean.

### <sup>67</sup> 2 Choice of Regions and Time Averaging

The regions defined by Giorgi and Francisco (Giorgi and Francisco 2000), shown in Supple-68 mental Figure 1, are often termed *Giorgi regions*. These geographically defined regions have 69 been used in recent assessments of regional climate change by the IPCC (e.g., Bindoff et al 70 2013; Hartmann *et al* 2013), as well as several past studies of the climate impacts of solar 71 geoengineering (MacMartin et al 2013; Moreno-Cruz et al 2012; Ricke et al 2013). Other 72 choices of regions could include division by climate type, population density, or economic 73 power, for example. However, as long as the regions considered here undergo differential 74 effects due to  $CO_2$  increases and solar geoengineering, the conclusions of our study do not 75 depend on the choice of regions. A similar argument could be made to justify our use of 76 40-year averages of monthly averaged model output instead of daily output, or for different 77 methods of geoengineering (e.g., marine cloud brightening). For example, although monthly 78 output is less adept at capturing changes in extreme events than daily output, as long as 79 the effects are experienced differentially across regions, our basic conclusions still hold. We 80 primarily chose to use Giorgi regions in this study because their use in geoengineering studies 81 has precedent and has been shown to be useful in revealing important findings regarding the 82 climatic effects of geoengineering (MacMartin et al 2013; Moreno-Cruz et al 2012; Ricke et 83 al 2013). 84

In Section 2, we claimed that the period of averaging the abrupt4xCO2 temperature and precipitation values would not change the conclusions of our paper. In most of this paper, the temperature and precipitation values were averaged over years 11-50 of the abrupt4xCO2, which is during a period of rapid climate transition. For comparison, we performed calculations in 8 of the 12 models using averages over years 101-140, which is a period of much less rapid climate transition. These results are shown in Supplemental Figure 16. The quantita-

We also claim in the text that changing analysis to a seasonal scale would not change 93 the conclusions of our paper. In many of the supplemental figures that follow (specifically, 94 Supplemental Figures 3-6, 8-9, 11-12, and 14-15), we repeat our analyses but for June-July-95 August and December-January-February averages. Although the individual values of the 96 different quantities do change when using seasonal averages instead of annual averages, the 97 conclusions do not. When considering only temperature, all models in all regions show 98 reduced values of D for a moderate amount of geoengineering. When considering only 99 precipitation, several models show the maximum amount of geoengineering as determined by 100 the Pareto criterion to be q = 0, indicating D will increase for any amount of geoengineering. 101

## 102 **References**

103	1.	Ammann C M, Washington W M, Meehl G A, Buja L, Teng H 2010 Climate engi-
104		neering through artificial enhancement of natural forcings: Magnitudes and implied
105		consequences J. Geophys. Res. 115 D22109 doi:10.1029/2009JD012878
106	2.	Andrews T, Gregory J M, Webb M J, Taylor K E 2012 Forcing, feedbacks and climate
107		sensitivity in CMIP5 coupled atmosphere-ocean climate models Geophys. Res. Lett.
108		<b>39</b> L09712 doi:10.10292012GL051607
109	3.	Bindoff N L et al 2013 Detection and Attribution of Climate Change: from Global
110		to Regional. In Climate Change 2013: The Physical Science Basis. Contribution of
111		Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on
112		Climate Change [Stocker T F, Qin D, Plattner G-K, Tignor M, Allen S K, Boschung J,
113		Nauels A, Xia Y, Bex V, Midgley P M (eds.)]. Cambridge University Press, Cambridge,
114		United Kingdom and New York, NY, USA.
115	4.	Giorgi ${\rm F},$ Francisco R 2000 Evaluating uncertainties in the prediction of regional climate
116		change <i>Geophys. Res. Lett.</i> <b>27</b> 1295-1298
117	5.	Good P, et al 2012 A step-response approach for predicting and understanding non-
118		linear precipitation changes Clim. Dynam. <b>39</b> 2789-2803 doi:10.1007/s00382-012-1571-
119		1
120	6.	Good P, Gregory J M, Lowe J A, Andrews T 2013 Abrupt $CO_2$ experiments as tools for
121		predicting and understanding CMIP5 representative concentration pathway projections
122		<i>Clim. Dynam.</i> <b>40</b> 1041-1053 doi:10.1007/s00382-012-1410-4

123	7.	Hartmann D L $\mathit{et}$ al 2013 Observations: Atmosphere and Surface. In Climate Change
124		2013: The Physical Science Basis. Contribution of Working Group I to the Fifth
125		Assessment Report of the Intergovernmental Panel on Climate Change [Stocker T F,
126		Qin D, Plattner G-K, Tignor M, Allen S K, Boschung J, Nauels A, Xia Y, Bex V,
127		Midgley P M (eds.)]. Cambridge University Press, Cambridge, United Kingdom and
128		New York, NY, USA.
129	8.	Kravitz B et al 2011 The Geoengineering Model Intercomparison Project (GeoMIP)
130		<i>Atmos. Sci. Lett.</i> <b>12</b> 162-167 doi:10.1002/asl.316
131	9.	Kravitz B, MacMartin D G, Caldeira K 2012 Geoengineering: Whiter skies? <i>Geophys.</i>
132		<i>Res. Lett.</i> <b>39</b> L11801 doi:10.1029/2012GL051652
133	10.	Kravitz B $et~al2013$ Climate model response from the Geoengineering Model Intercom-
134		parison Project (GeoMIP) J. Geophys. Res. 118 8302-8332 doi:10.1002/jgrd.50646
135	11.	Lenton T M et al 2008 Tipping elements in the Earth's climate system Proc. Nat.
136		Acad. Sci. 105 1786-1793
137	12.	MacMartin D G, Keith D W, Kravitz B, Caldeira K 2013 Managing trade-offs in
138		geoengineering through optimal choice of non-uniform radiative forcing Nature Climate
139		<i>Change</i> <b>3</b> 365-368 doi:10.1038/nclimate1722
140	13.	Moreno-Cruz J B, Ricke K L, Keith D W 2012 A simple model to account for regional
141		inequalities in the effectiveness of solar radiation management $Climatic \ Change \ 110$
142		649-668 doi:10.1007/s10584-011-0103-z
143	14.	Niemeier U, Schmidt H, Alterskjær K, Kristjànsson J E 2013 Solar irradiance reduction
144		via climate engineering–Climatic impact of different techniques J. Geophys. Res. 118

#### 145 11905-11917 doi:10.1002/2013JD020445

- 146 15. Ricke K L, Moreno-Cruz J B, Caldeira K 2013 Strategic incentives for climate geoengi 147 neering coalitions to exclude broad participation *Environ. Res. Lett.* 8 014021
- 148 16. Taylor K E, Stouffer R J, Meehl G A 2012 An overview of CMIP5 and the experiment
- design Bull. Amer. Meteor. Soc. 93 485-498 doi:10.1175/BAMS-D-11-00094.1



Figure 1: The 22 Giorgi regions considered in this study (Giorgi and Francisco 2000).



Figure 2: Diagram illustrating three different methods (RMS, Min-Max, Pareto Criterion) of aggregating climate change over regions. Blue and red lines show example values of  $D_i(w;g)$  (Equation 3, for any value of w). The value of g that minimizes D for the three methods is shown by dashed lines. The RMS value is given by  $\min_{g\geq 0} \sqrt{\frac{1}{22} \sum_{i=1}^{22} [D_i(w;g)]^2}$ . The Min-Max value (improving the worst case) is given by  $\min_{g\geq 0} [\max_i D_i(w;g)]$ . The value ascribed to the Pareto criterion described in Equation 7.



Figure 3: Same as Figure 1 in the main text, but for June-July-August averages.



Figure 4: Same as Figure 1 in the main text, but for December-January-February averages.



Figure 5: Same as Figures 2 and 3 in the main text, but for June-July-August (JJA) averages. The value of g as determined by the Pareto criterion is slightly lower for all weights than the results for annual averages, but the annual and JJA results are qualitatively similar.



Figure 6: Same as Figures 2 and 3 in the main text, but for December-January-February (DJF) averages. The value of g as determined by the Pareto criterion is slightly lower for all weights than the results for annual averages, but the annual and DJF results are qualitatively similar.



Figure 7: The actual values of D (Equation 3) associated with Figure 2 in the main text. For each individual region, small values of D are achievable if only considering temperature. The same is true for precipitation in most, but not all, regions.



Figure 8: The actual values of D (Equation 3) associated with the top and middle panels of Supplemental Figure 5. For each individual region, small values of D are achievable if only considering temperature. The same is true for precipitation in most, but not all, regions.



Figure 9: The actual values of D (Equation 3) associated with the top and middle panels of Supplemental Figure 6. For each individual region, small values of D are achievable if only considering temperature. The same is true for precipitation in most, but not all, regions.



Figure 10: Changes in annual mean values of the climate change metric (D; Equation 3) for 100% of the weighting on precipitation (w = 1). Lines are drawn between the values of D for g = 0 (no geoengineering) and g = 1 (returning global mean temperature to the preindustrial value. In a given region (abscissa), green lines indicate individual model response (top panel) or all-model ensemble mean response (bottom panel) where D is greater for abrupt4xCO2 than for the reference level of solar reduction, red lines indicate where D is less for abrupt4xCO2 than for the reference level of solar reduction, and black lines indicate where D is plemental Section 1). Most of the regions show that geoengineering reduces precipitation D values for the D values for high CO<sub>2</sub>. Analogous plots for 100% of the weighting on temperature are not shown, as all lines are green in all regions for all models.



Figure 11: Same as Supplemental Figure 10, but for June-July-August averages. Most of the regions show that geoengineering reduces precipitation D values from the D values for high CO<sub>2</sub>.



Figure 12: Same as Supplemental Figure 10, but for December-January-February averages. Most of the regions show that geoengineering reduces precipitation D values from the D values for high  $CO_2$ .



Figure 13: Bar chart characterizing annual mean values of D (Equation 3) for each region (abscissa). All values shown are for 100% of the weighting on precipitation (w = 1). Green indicates that D(1;0) > D(1;1), or D for no geoengineering is greater than D for returning global mean temperature to the preindustrial value, i.e., geoengineering reduces D. Red indicates that D(1;0) < D(1;1), i.e., geoengineering increases D. Grey indicates that the difference between D(1;0) and D(1;1) is statistically insignificant (see Supplemental Section 1), but D(1;0) is statistically significant. Pale red indicates that D(1;0) is not statistically significant, but D(1;1) is statistically significant. White indicates that neither g = 0 nor g = 1 causes statistically significant changes in D. Analogous plots for 100% of the weighting on temperature are not shown, as all bars are green in all regions.







Figure 15: Same as Supplemental Figure 13, but for December-January-February averages.



Figure 16: Top panel is same as Figure 3 in the main text, and bottom panel is same as the top panel of Supplemental Figure 10, where D values (Equation 3) for abrupt4xCO2 are calculated over an average of years 101-140 instead of years 11-50. See Supplemental Section 2 for motivation for this figure and further description. Essentially, averaging over a later period of abrupt4xCO2 does not change the results in this paper.